



Local Government Performance Assessment

Kamuli District

(Vote Code: 517)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	59%
Educational Performance Measures	68%
Health Performance Measures	65%
Water Performance Measures	75%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>The Annual Performance Contract for the forthcoming year 2020/21 was submitted on 17th July, 2019 and thus adhered to the adjusted submission date of 31st August, 2019.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>Kamuli LG submitted the approved Budget Estimates that included a Procurement Plan for the FY 2019/20 on 17th July, 2019 thus being within the adjusted submission date of 31st August, 2019.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			
<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The annual performance report for FY 2018/19 was submitted on 1st August, 2019 which was within the adjusted submission date of 31st August, 2019</p>	Yes

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>The quarter 4 budget performance reports were submitted within deadline of 31st July 2019 as per PFMA,2015 as shown in the table below;</p> <ul style="list-style-type: none"> • Quarter 1 report was submitted 28th November, 2018 • Quarter 2 was submitted 22 February, 2019 • Quarter 3 was submitted 7th June, 2019 • Quarter 4 report was submitted 27th June, 2019 	<p>Yes</p>
<p>Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG had provided information to the PS/ST on the status of implementation of Internal Auditor General 's findings for the previous financial year 2017/2018 on 30th May 2019 that was beyond the deadline of 30th April 2019. A total of 3 queries were raised and none had been cleared by the time of assessment.</p> <p>The LG had provided information to the PS/ST on the status of implementation of Auditor General 's findings for the previous financial year 2017/2018 on 30th May 2019 that was beyond the deadline of 30th April 2019. Information was provided to the 2 raised queries. However, none had been cleared at the time of assessment.</p>	<p>No</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The Auditor General's Opinion for the financial statements of Kamuli LG for the year ended 30th June, 2019 was unqualified.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Kamuli LG did not have a fully constituted Physical Planning Committee as per the Physical Planning Act 2010, Part III (9).</p> <p>The District had 12 out of 13 members of PPC. The Physical Planner in Private Practice was missing</p> <p>The Physical Planning Committee was appointed by the CAO on 26th March,2015 but no references were indicated in the appointment letters. The PPC comprised the following:</p> <ol style="list-style-type: none"> 1. Namanda Elizabeth designated as Chief Administrative Officer (Chairperson) 2. Bujjumbuko Fred designated as Physical Planner (Secretary) 3. Igadude Festo designated as Staff Surveyor (Member) 4. Mpaulo James designated as District Agricultural Officer (Member) 5. Mufumba Daniel designated as District Water Officer (Member) 6. Bakaki Samuel designated as District Senior Environment Officer (Member) 7. Isabiryte Robert designated as District Natural Resources Officer (Member) 8. Mulondo Grace designated as District Engineer (Member) 9. Akoyo Charles designated as District Education Officer (Member) appointed on 10. Mmere Ewoma Leo designated as District Community Development Officer (Member) 11. Dr. Aggrey Batekyazi designated as District Health Officer (Member) 12. Baganzi Ronald Rose designated as Municipal Town Clerk (Member) <p>The PPC did not have a 13th member, a Physical Planner in private practice. According to the District Physical Planner, the attempt to attract a Physical Planner in Private has been unsuccessful.</p> <p>The Committee met during the FY 2018/2019. For example;</p> <p>Date of meeting: 12th February,2019</p> <p>Matters discussed: Consideration of applications and building plans</p> <p>Under Minute No: 24/KPPC/2018/2019</p> <p>The PPC also had a plan submission register however; the date it was opened and the date for submission and approval of plans were missing.</p> <p>It was not possible for the Assessment to ascertain the turn-around time to process plan applications. The LG did not have a Physical Development Plan</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The LG Physical Planning Committee did not submit any set of minutes to MoLHUD. More so, there was no evidence of minutes for quarter 1 and 4 of FY 2018/19. Minutes for the rest of the quarters were on; 4th October, 2018 and 12th February, 2019 respectively.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The LG did not have a Physical Development Plan hence the AT was unable to measure this parameter.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>The LG did not have Action Area Plans for the previous FY 2018/19. The AT therefore, could not assess this indicator.</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that the priorities in AWP for the current FY were based on the outcomes of budget conference.</p> <p>The priorities were discussed in the Budget Conference held on 28th November, 2018</p> <p>Five prioritized investment activities were sampled and were shown in both AWP and Budget Conference report as presented below;</p> <p>Production</p> <ul style="list-style-type: none"> • Procurement of 2 laptops for Entomology District Production office (Page 44 of AWP and Page 16 of the Budget Conference report (BCR)) • Procurement of 71 tarpaulins for 71 Parishes for demonstration farmers (Page 44 of AWP and Page 17 of the BCR) <p>Education</p> <ul style="list-style-type: none"> • Construction of a Seed Secondary School in Nabwigalu Sub-County (77 of the AWP and Page 29 of the BCR) • Construction of a 5 Stance pit latrines at: Bukulube P/S, Buluyakauma P/S, Namaganda P/S, Nawangaiza P/S (Page 71 of the AWP and 29 of the BCR) <p>Water</p> <ul style="list-style-type: none"> • Drilling boreholes in various villages (Page 95 of AWP and Page 31 of BCR) • Construction of piped water supply system at Namasagali (Page 96 of the AWP and 31 of the BCR) <p>Health</p> <ul style="list-style-type: none"> • Staff recruitment and deployment (Page 84 of the AWP and 30 of BCR) <p>Roads</p> <ul style="list-style-type: none"> • Training of Headmen, Road gangs and design of roads to be constructed (Page 84 of the AWP and 30 of the BCR) 	
--	--	--	--

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>The capital investments in the AWP for the FY 2019/20 were derived from the approved five-year development plan. For example;</p> <p>Water</p> <ul style="list-style-type: none"> • Deep borehole drilling at Namasagali S/C, Kagumba S/C, Balawoli S/C, Nabwiguru S/C, Butanzi S/C, Kitawunjwa S/C, Namwenda S/S, Namweda S/C and Bulopa S/C, Bugulomya S/C, Wankole S/C, Nawanyajo S/C, Magogo S/C, Kisozi S/C and Mbulamuti S/ C (Page 51 of the AWP, Page 96of the Budget and Page 184 of the DDP) • Construction of pit latrines at Rural Growth Centres in Kamuli (Page 77 of AWP, Page 28 of the budget and 141 of the DDP) <p>Health</p> <ul style="list-style-type: none"> • Construction of a Maternity Ward at Kasambira HC III (Page 27 of AWP, Page 28 of the budget and 138 of the DDP) • Construction of a 5Stance lined pit latrine at Namwenda HC (Page 27 of AWP, Page 56of the budget and 139 of the DDP) <p>Education</p> <ul style="list-style-type: none"> • Construction of classrooms at Nile P/S, Galinandha P/S, Nakalanga P/S and Nakulabye P/S (Page 38 of AWP, of the budget and Page 142 of the DDP) • Construction of a staff house at Gumpi P/S (Page 70 of AWP, Page 38 of the budget and 142 of the DDP) <p>Production</p> <ul style="list-style-type: none"> • Construction of Slaughter Slab with 2 Stance and a pit latrine at Mbulamuti Trading Centre (Page 93 of AWP, Page 47 of the budget and 270 of the DDP) 	1
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>The District did not provide evidence that the project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline</p>	0

<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender- disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>There was no evidence that the LG compiled an Annual Statistical Abstract, with gender-disaggregated detail order to support budget allocation and decision-making.</p>	0
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>All infrastructure projects implemented by the LG in the previous FY were derived from the Annual Work Plan and budget approved by the LG Council:</p> <p>A sample of 5 Infrastructure projects implemented by the LG in the previous FY 2018/2019, indicated that they were derived from the Annual Work Plan and Budget approved by the LG Council under Minute 44/KDLC/05/2017/2018. For instance;</p> <ul style="list-style-type: none"> Construction of Slaughter Slab with 2 Stance pit latrine at Kisozi Trading Centre was on page 45 of the AWP and Budget Construction of staff house at Kityayunjwa HC III on page 53 of the AWP and Budget Construction of a class room block at Lwanyama P/S on page 61 of the AWP and budget Drilling, Test Pumping of platforms for 6 boreholes in Kamuli was on page 77 of the AWP and Budget <p>Construction of a 5 Stance lined pit latrine at the District Head Quarters on page 56 of AWP and budget</p>	2
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <p>o 100%: score 4</p> <p>o 80-99%: score 2</p> <p>o Below 80%: 0</p>	<p>There was evidence that investment projects implemented in the FY 2018/19 were completed as per the work plan. For instance;</p> <ul style="list-style-type: none"> Construction of a class room block at Lwanyama P/S completed as per work plan Construction of a pit latrine at Bulopa Rural Growth Centre; completed; as per work plan Construction of Slaughter Slab with 2 Stance pit latrine at Kisozi Trading Centre; completed as per work plan Completion of a staff house at Kitayunjwa HC III; completed as per work plan Drilling, test Pumping of platforms for 6 boreholes; completed as per work plan <p>Percentage of completed projects was calculated by dividing completed (4) by total projects sampled (4) projects multiplied by 100 and this was 100% projects completed as per the work plan.</p>	4

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>There was evidence that all investment projects in FY 2018/19 were completed within the approved budget and 5 projects were sampled as indicated below;</p> <ul style="list-style-type: none"> Construction of a slaughter slab and pit latrine at Kisozi Trading Centre at UGX 15,200,00 against budget of UGX 16,00,000 <p>Drilling of boreholes at BusangaA, Kabuledi, Kadungu A, Butaute, ButeiraBalumaga for UGX 61,298,900 against budget of 69,656,760</p> <ul style="list-style-type: none"> Drilling, test pumping of platforms for Buwunga, Bukapiso, Nambala,at UGX 74,737,200 against budget of UGX 77,029,200 Construction of a 5 Stance lined pit latrine at the District Head Quarters at UGX 17,099,000 against budget of UGX 18,00,000 <p>Total actual was UGX 168,335,314 against the total budget of UGX 180,685,960</p> $168,335,314/180,685,960*100 = 93\%$ <p>This was 9% less which was within the range of + or – 15%</p>	2
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>The District had budgeted and spent atleast 80% of O&M budget for infrastructure in the previous FY as presented below;</p> <ul style="list-style-type: none"> Renovation of classroom block at Lwamanya P/S budgeted at UGX 154,204,706 and implemented at UGX 138,518,530 Renovation of OPD block at Nawantumbi HC II budgeted at UGX 20,000,000 and implemented at UGX 17,726,720 per Voucher No. MED-Q4-11 and MED-Q4-18 Renovation of Maternity Ward at Nabirama HC II budget at UGX 24,000,000 and implemented at UGX 23,993,000 Per Voucher No. MED/R 267 Renovation of Staff house at Kitayunjwa HC III budget at UGX 30,912,990 and implemented at UGX 30,910,860 per Voucher No. MED-Q4-04C $\text{Percentage} = 211,149,390/229,149,390 *100\% = 92\%$ <p>Therefore, 92% exceeded the 80% threshold for O&M in FY 2018/2019</p> <p>Actual expenditure on O&M during the FY was of Shs. 229,117,750</p> <p>Representing approximately 92 % of the O&M budget for previous FY 2018/19 of the Budget</p>	2
Human Resource Management			

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>Kamuli LG had in place an approved staff structure that was approved by Permanent Secretary, Mrs. Salome Nyamungu on 29th August, 2017 under ARC 135/306/01. The total number of Heads of Departments was 10.</p> <p>The LG did not meet the condition of substantively filling all HoDs positions because out of the approved 10 departments, only 8 were substantively filled while 1 was not. The LG had the following approved departments;(1) Administration, (2) Finance, (3) Planning, (4) Works, (5) Education, (6) Community Based Services, (7) Natural Resources, (8) Production, (9) Trade, Industry and Local Economic Development and (10) Health.</p> <p>The HoDs positions were substantively filled as follows; Finance, was filled by Ngobi Juma Ali. He was appointed on accelerated promotion from District Internal Auditor to Chief Finance Officer on 29th January, 2010 under the direction of the Kamuli DSC under Min. No.41/2010;</p> <ul style="list-style-type: none"> Planning, was filled by Banafamu Robert. He was appointed on 4th October, 2000as Senior Economist under the direction of the Kamuli DSC under Min. No.180/00. This position was re-designated to District Planner on 8th July, 2004 vide circular standing instruction of 2003; Education, was filled by Akoyo Charles. He was appointed on 16thJanuary, 2001as Principal Education Officer by direction of the Kamuli DSC under Min 4/2001(i). The position was re-designated to District Education Officer on 20th January, 2004 under Min 4/2004 by Kamuli DSC; Community Based Services, was filled by Mmerewoma Leo. He was appointed on 20thMay, 2013 on promotion from Senior Community Development Officer to District Community Development Officer by direction of the Kamuli DSC under Min No. 206/KDSC/2013(1); Natural Resources, was filled by Isabirye Robert. He was appointed on 4thOctober, 2013 on accelerated promotion from Senior Environment Officer to District Natural Resources Officer under the direction of the Kamuli DSC under Min No. 85/KDSC/2013(1) Production, was filled by Musenero Richard. He was appointed on 31st May, 2012 on promotion from District Natural Resources Officer to District Production and Marketing Officer under the direction of the Jinja DSC under Min No. 81/JDSC/2012/KML; Health, was filled by Dr. Batessaki M.P. Aggrey. He was appointed on 28th April, 2016 on transfer of service on accelerated promotion from Principal Medical Officer to District Health Officer under the direction of the Kamuli DSC under Min No. 115/KDSC/2016(9) <p>The Department with an officers in acting capacity following the assignment of duty by the CAO in accordance with the Standing Orders (E-C) 8 (b) was;</p> <ul style="list-style-type: none"> Works, was filled by Mirondo Grace. He had been appointed as Senior Engineer on 13th June, 2008 under Min No. 116/2008(7). The DSC assigned him as Acting District Engineer under Min No. 121/JDSC/2002/KML dated 18th October, 2012; 	
--	---	---	--

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The LG did not meet the condition of appraising 100% of the HoDs because 5 out of 9 HoDs were appraised while 3 were either partially appraised or were not appraised at all.</p> <p>The following HoDs were appraised by the CAO as follows;</p> <ul style="list-style-type: none"> Banafamu Robert was appraised on 20th July, 2019 with comment: "The officer is dedicated, self-driven and has completely steered the planning in the district". Akoyo Charles was appraised on 29th July, 2019 with comment: "The officer should report on key output areas in different sectors in the department, i.e. school inspection, sports and education management" Mmerewoma Leowas appraised on 30th July, 2019 with no comments. Isabirye Robert was appraised on 25th July, 2019 with no comments. Musenero Richard was appraised on 15th July 2019 with comment: "Musenero is a very reliable and competent officer." <p>The following staff were either not appraised or were partially appraised:</p> <ul style="list-style-type: none"> Ngobi Juma Aliwas appraised with comment: The officer should improve on Human Resource outputs, performance appraisal and disciplinary control. However, this was neither dated nor stamped, Mirondo Grace was not appraised. Dr. Batesaaki M.P. Aggrey was not appraised. 	0
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>The CAO made various submissions vide letter ref: CR/156/1 to DSC for consideration and there was evidence the DSC considered 100% of the submissions.</p> <p>For instance; submission dated 31st May, 2019 contained 11 vacant positions, submission dated 15th February, 2019 contained 16 positions- some of which included; Principal HRO, Principal Medical Officer, Senior Land Management Officer, Health Assistant, Veterinary Officer among others. Submission dated 16th January, 2019 contained 38 positions, submission dated 6th May, 2019 contained 16 positions- e.g. Deputy Headteacher, Senior Education Assistant, Nursing Officer positions.</p> <p>A total of 88 vacant positions were submitted to DSC for consideration in FY 2018/19. Under a series of sittings during the FY 2018/19, the DSC considered all the submitted positions as follows; on 15th April, 2019 under MIN. NO.440/KDSC/2019(A) and MIN.No.440/KDSC/2019(B) where the Medical Officer and Clinical Officer positions respectively were filled n probation. On 11th February, 2019 under MIN. NO.195/KDSC/2019 filled on promotion the Agriculture Officer position. On 8th February, 2019 under MIN.NO.185/KDSC/2019 filled on promotion the Senior Education Officer position, On 16th April, 2019 under MIN. NO.446/KDSC/2019 considered and filled the position of Veterinary Officer on probation, on 31st May, 2019 under MIN.NO.571/KDSC/2019 filled position of PHRO, on 10th May, 2019 under MIN.NO.527/KDSC/2019 filled positions of Deputy Headteachers.</p>	2

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>All 39 submissions made by the CAO to DSC for confirmation were considered for instance, under various letters ref: KMC/500/1 for confirmation were considered.</p> <p>For instance, submission made on 6th December, 2018 contained 1 staff for confirmation, submission dated 13th November, 2018 with 1 staff as Grade III teacher, submission dated 27th November, 2018 contained 1 staff- Agriculture Officer and submission dated 11th October, 2018 contained 1 position of Procurement Officer.</p> <p>In the various sittings during the FY 2018/19, DSC considered the submissions of the CAO as follows; sitting on 12th October, 2018 under MIN. NO. 72/KDSC/2018 considered and confirmed the submitted staff into their positions- e.g. The Agriculture Officer, the 19th December meeting under Minute No. 160/KDSC/2018 confirmed the Procurement Officer, Enrolled Nurse, Agriculture Officer and Grade III teachers.</p>	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>The Assessment Team was informed that there were no disciplinary cases presented to DSC for consideration in FY 2018/19</p>	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>There was evidence that 100% of the staff recruited during the previous FY accessed the salary payroll not later than two months after appointment. The District Service Commission (DSC) recruited 37 staff during the FY 2018/19 and all accessed the salary payroll within two months depending on the date of assumption of duty. For example:</p> <ol style="list-style-type: none"> On 20th May, 2019, Ms Kantono Susan was appointed on probation as Parish Chief of Bumanya Sub County with effect from 1st July, 2019 and accessed the salary payroll in September 2019. On 5th December, 2018, Nabe Eliot was appointed on probation as Education Assistant Gr. II under Min. No. 7/KLR/DSC/11/2018. On 2nd January, 2019, the CAO posted her to Buyonjo Primary School with effect from 1st January, 2019. She accessed the salary payroll in February 2019. On 5th December, 2019, Ms Babirye Damali was appointed on probation as Education Assistant Gr. II under Min. No. 7/KLR/DSC/11/2018. On 2nd January, 2019, the CAO posted her to Kirama Fellowship Primary School with effect from 1st January, 2019. She accessed the salary payroll in February 2019. On 4th July, 2018, Nsako James was appointed by the DSC on probation as Human Resource Officer under Min. No. 6/KLR/DSC/June(i)/2018. On 23rd July, 2018, the CAO posted him as Human Resource Officer with effect from 1st August, 2018. He accessed the salary payroll in September 2018 	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Information of staff who retired during the FY 2018/19 was not provided at the time of assessment. What was provided, in soft copy were lists of staff who had already accessed payroll. The AT, however could not determine when they retired and this information was not availed either.</p>	<p>0</p>
<p>Revenue Mobilization</p>			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. If the increase is from 5% - 10 %: score 2. If the increase is less than 5 %: score 0. 	<p>Total of OSR for FY 2017/2018 Shs 256,130,973 Page 30 of Audited Final Accounts FY 2017/2018</p> <p>Total of OSR for FY 2018/2019 Shs 244,795, 083 Page 31 of Draft Final Accounts FY2018/2019</p> <p>Decrease in Local Revenue Collections</p> <p>256,130,973 minus Shs 244,795, 083</p> <p>Decrease Shs 11,335,890 Percentage 14.4.%</p> <p>The following were the factors that were attributed to poor performance</p> <ol style="list-style-type: none"> When Buyende District was curved from Kamuli District a lot of Local revenue sources were lost out which included Fish Markets along the shores of Lake Kyoga and Cattle Market in Kagulu Igwaya The District Agricultural activities were characterised with low prices for Agricultural produce that translated into low collections of Local revenue 	<p>0</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total Local Revenue Planned/Budgeted (Original not Revised Budget) FY 2018/2019 Shs 531,103,000 Page 1 of the Approved Original Budget FY 2018/2019.</p> <p>Total Local Revenue collected during FY 2018/2019 Shs 244,795, 083</p> <p>Realization was $\frac{244,795,083}{531,103,000} \times 100$</p> <p>Budget realization was 46%</p> <p>There was a deficit of 54% i.e. (100% minus 46%) = 54% This was greater than the 10% stipulated in the Performance Assessment Manual June 2018.</p> <p>Reasons for poor performance were due to unrealistic budgeting for local revenue which was based on fantasy. The Local Government Officials did not take into account what was collected in the previous financial years and instead came up with a Local revenue projection of Shs 531,103,000 which was not achievable.</p>	<p>0</p>

Local revenue administration, allocation and transparency

Maximum 4 points on this performance measure.

• Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2

Local Revenue collections subjected to sharing with LLGs was UGX 202,248,220 as indicated on page 31 of Draft Final Accounts FY2018/2019.

Amount of local revenue remitted to LLGs was Shs31,136,250 as per the Schedule of Transfer of Funds dated 7th December 2018 to the following Lower Local Governments duly signed by the CAO and CFO per below;

- Balawoli S.C received UGX 2,519,287
- Namasagali received 1,770,990
- Butansi S/C received UGX 1,549,025
- Nabwigulu S/C received UGX 2,500,213
- Kitayundwa S/C received UGX 1,990,816
- Namwendwa S/C received UGX 2,75,501
- Bulopa S/C received UGX 2,524,261
- Kagumba S/C was UGX 1,989,261
- Bugulumbya S/C received UGX 2,744,030
- Kisozi S/C received UGX 1,972,121
- Wankole S/C received UGX 1,976,441
- Nawanyago S/C received UGX 1,989,521
- Mbulamuti S/C received UGX 2,649,609
- Magogo S/C received UGX 2,202,174

Total remitted was UGX 31,136,250 Percentage of local revenue remitted to LLGs

$\frac{31,136,250}{202,248,220} \times 100 = 15.4\%$

202,248,220

The LG remitted 15,4 % of Local Revenue Share to LLGS contrary to the requirement of 65% Stipulated under Section 85 (4) of Local Governments ACT CAP. 243.

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Total expenditure on council allowances and emoluments during FY 2018/2019 was Shs 81,410,000 as per the following verified payment vouchers below;</p> <ul style="list-style-type: none"> Council meeting of 3rd October, 2018 received UGX 10,270,000 per voucher No.40/10 Council meeting of 25th April, 2019 at UGX 9,970,000 per voucher No.22191265 Council meeting of 15th May, 2019 at UGX 10,070,000 per voucher No.23274037 Standing Committee meetings of 3rd October, 2018 at UGX 9,930,000 Standing Committee meetings of 16th May, 2019 at UGX 9,970,000 Standing Committees on 20th February, 2018 at UGX 9,620,000 Standing Committees of 24th April, 2019 at UGX 9,340,000 on voucher NO.226736 <p>Total Expenditure was UGX 81,410,000</p> <p>Percentage expenditure on Council allowances was arrived at as follows</p> <p>$\frac{81,410,000}{244,410,000} \times 100 = 33.2\%$</p> <p>244,410,000 (Local Revenue Y2017/2018).</p> <p>Council expenditure on allowances was 33.2 % which exceeded the limit of 20% of the Local Revenue Collected in FY 2017/2018. There was no documentary evidence that the LG had been granted permission by the Minister responsible for Local Government to Spend beyond 20% on Council allowances in relation to Local revenue collected in FY 2017/2018.</p> <p>The Local Government therefore, contravened regulation 4 (4A) to the First Schedule of the Local Governments ACT CAP. 243.</p>	<p>0</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The LG had two positions of Procurement Officer, according to staff structure approved by P.S, Ministry of Public Service vide letter ref: ARC 135/306/01 dated 29th August, 2107</p> <p>The two approved positions were a Senior Procurement Officer and a Procurement Officer and they were filled as follows;</p> <ul style="list-style-type: none"> The procurement Officer- Mugomba Samuel was substantively appointed on probation vide letter ref: CR/156/1 dated 15th March, 2018 by direction of DSC under Min. No. 154/KDSC/2018(B) <p>The personal file for the Senior Procurement Officer was not availed to the Assessment team, as it was reportedly with the DSC at the time of assessment. The CAO promise to avail this file did not materialize to the time AT left the LG without seeing the Officer's file</p>	<p>0</p>

The LG has in place the capacity to manage the procurement function

Maximum 4 points on this performance measure.

• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1

Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2018/2019 on the following dates:

- For the expansion and Renovation of a maternity ward at Nabirama Health Centre II in Butansi S/C, Ref: Kamu517/wrks/18-19/00012, the EC prepared a report on 18th December 2018 and submitted it to the CC on the same date with a recommendation to award the contract to the best evaluated bidder – M/s Kipede Investments Ltd, under open domestic bidding at a contract sum of UGX 23,993,000 VAT inclusive
- For the construction of a 2-stance VIP latrine with a bathroom at Kinu HC II in Namwendwa S/C, Ref: Kamu517/wrks/18-19/000, the EC sitting on 18th December 2018 prepared and submitted a report to the contracts committee on 18th December, 2018 recommending the award of the contract to the best evaluated bidder – M/S Stalwart International Ltd under open bidding at a contract sum of UGX 11,842,480 VAT inclusive
- For the construction of a slaughter slab with a 2 stance VIP Latrine with a bathroom at Kisozi T/C, the EC sitting on 18th December 2018 prepared and submitted a report to the contracts committee on the same date recommending the award of the contract to the best evaluated bidder – M/s Lyana Investments Ltd, under selective bidding at a contract sum of UGX 16,000,000 VAT inclusive.
- For the renovation of OPD at Nawantumbi HC II in Nawanyago S/C, Ref: Kamu517/wrks/18-19/00013, the EC sitting on 18th Dec 2018 prepared and submitted a report to the CC recommending the award of the contract to the best evaluated bidder – M/s Tech Engineering Ltd under selective bidding at a contract sum of UGX 19,850,000 VAT inclusive
- For the Drilling , test pumping and casting of 6 boreholes lot 2, Ref: Kamu517/wrks/18-19/00002, the EC sitting on 18th Dec 2018, prepared and submitted a report on the same date to the CC recommending the award of the contract to the best evaluated bidder – M/s East African Boreholes Ltd under open domestic bidding at a contract sum of UGX 77,736,040 VAT inclusive
- For the renovation of a 5-classroom block with offices, store at Lwanyama P/S in Magogo S/C, Ref: Kamu517/wrks/18-19/000014, the EC sitting on 13th December 2018, prepared and submitted a report on the same date to the CC recommending the award of the contract to the best evaluated bidder – M/s Muloki Investments Ltd under open domestic bidding at a contract sum of UGX 154,204,760 VAT inclusive.

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered recommendations of the EC and provided justifications for any deviations from those recommendations. For instance;</p> <ul style="list-style-type: none"> • For the Drilling, test pumping and casting of 6 boreholes lot 2, Ref: Kamu517/wrks/18-19/00002, the CC sitting on 2nd November 2018, under Min. KDCC 102/2018/4/2, upheld the EC recommendation and approved the award of the contract to M/s East African Boreholes Ltd without variation. • For the expansion and Renovation of a maternity ward at Nabirama Health Centre II in Butansi S/C, Ref: Kamu517/wrks/18-19/00012, the CC sitting on 18th February 2019, under Min. KMC.CC1/2019/5/7 approved the EC submission and awarded the contract to M/s Kipede Investments Ltd without variation • For the construction of a 2-stance VIP latrine with a bathroom at Kinu HC II in Namwendwa S/C, Ref: Kamu517/wrks/18-19/000, the CC sitting on 18th February 2019, under Min. KDCC 1/2019/5/6 upheld the EC submission and awarded the contract to M/s Stalwart International Ltd without variation. • For the construction of a slaughter slab with a 2 stance VIP Latrine with a bathroom at Kisozi T/C, the CC sitting on 18th February 2019, under Min. KMCC; 1/2019/5/5 upheld the submission of the EC and awarded the contract to M/s Lyana Investments Ltd without variations. • For the renovation of OPD at Nawantumbi HC II in Nawanyago S/C, Ref: Kamu517/wrks/18-19/00013, the CC sitting on 18th February 2019 under Min. KMCC;1/2019/5/8 upheld the submission of EC and awarded the contract to M/s Tech Engineering Ltd without variations. • For the renovation of a 5-classroom block with offices, store at Lwanyama P/S in Magogo S/C, Ref: Kamu517/wrks/18-19/000014, the CC sitting on 18th February 2019, under Min. KMCC;1/2019/4/1 approved the submission of EC and awarded the contract to M/s Muloki Investments Ltd without variation. 	<p>1</p>
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The LG made procurement in previous FY as per plan for FY 2019/20. For instance:</p> <ul style="list-style-type: none"> • Drilling, test-pumping and casting of platforms for 7 boreholes –lot 2 at estimated sum of UGX 106,162,000 under open domestic bidding, appears on page 3 of the PDP and page 95 of the AWP. • Construction of a 5-stance lined latrine at Mbulamuti Trading Centre at estimated sum of UGX 19,089,000 under selective bidding appears on page 3 of the PDP and on page 95 of the AWP. • Construction of a 2-classroom block at Nakalanga P/S under open domestic bidding at estimated sum of UGX 70,000,000 appears on page 3 of the PDP and page 70 of the AWP. • Completion of a Maternity ward at Kisambira Health centre under open bidding at estimated sum of UGX 71,578,000 appears on page 3 of the PDP and page 58 of the AWP. <p>For FY 2018/19 projects implemented adhered to the procurement plan as follows;</p> <ul style="list-style-type: none"> • Expansion and Renovation of a maternity ward at Nabirama HC II awarded to at a contract sum of UGX 24,000,000 appeared on page 3 of the PDP FY2018/19 • Renovation of a 5-classroom block with office / store including extension of electric power to the newly built 8 classrooms and 2 No. 2 unit staff houses at Lwanyama P/S in Magogo S/C awarded to at a contract Sum of UGX 200,000,000 appeared on page 3 of the PDP FY 2018/18. • Drilling, test pumping and Casting of platforms for 6 boreholes–lots 1 awarded to at a contract sum of UGX 89,097,080 appeared on page 3 of the PDP FY2018/19. 	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>By 30th August, 2019, the PDU had prepared bids for the open domestic bidding projects FY 2019-2020 published in Daily Monitor of 21st June, 2019. The notice contained 5 infrastructure projects including; construction of a 2 and 3-classroom blocks at 4 schools of Galinadha, Nakalanga, Nile, and Nakulabye primary schools under SFG, drilling, test-pumping and casting of platforms for 7 and 8 boreholes-Lot 1 and Lot 2 respectively, construction of piped water supply system phase 1 and construction of a maternity ward at Nawandyo HCII, under PHC funding. All bid documents for the 5 projects under open domestic bidding had been prepared as follows;</p> <ul style="list-style-type: none"> • Bid for project(s); KAMU517/WRKS/19-20/00008- Construction of a 2-unit teachers' house at Nakyaka P/S with bid security was UGX 1,500,000 was prepared in June, 2019. • Bid for construction of a 2-classroom block at Nakalanga P/S Procu..Ref: No. KAMU517/WRKS/19-20/00005 with bid security of UGX 1,500,000 as indicated in bid opening form was prepared before 30th August, 2019; • Construction of a 3-classroom block at Galinanda P/S Procu: ref. No. KAMU517/WRKS/19-20/00007 under SFG. Bid security was at 1,500,000 • Drilling and installation of 7 and 8 boreholes in Kamuli district Procu. Ref: No. KAMU/WRKS/19-20/00002/3 Lots 1&2 under RWSCG had bid security of UGX 1,500,000 for each of the Lots 1 and 2. • Construction of a 2-classroom block at Nile P/S Procu. Ref. No. Buye853/WRKS/19-20/00006. Bid security was UGX 1,500,000. <p>Bid document for construction of maternity ward at Nawandyo was not seen during the assessment. The Procurement Officer indicated this project was postponed, but AT was not provided documentation that postponed it. To calculate bid documents prepared $4/5 \times 100 = 80\%$</p> <p>80% of open bid documents for FY 2019/20 were prepared by 30th August, 2019.</p>	2
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG had an updated contract register with complete procurement activity files for all the procurements in the FY 2018/19. For instance;</p> <ul style="list-style-type: none"> • Drilling, Test-pumping and Casting of platforms for 6 boreholes lot 2 awarded to East Africa Boreholes was item 2 of the contracts register • Partial construction of a Maternity ward at Kasambira HCIII in Bugulumbya S/C awarded to Lyana Investments Ltd was item 8 of the contracts register • Construction of an incinerator at Nankandho Health Centre IV in Magogo S/C awarded to Lyana Investments Ltd was item 11 of the contracts register • Supply of 60-3-seater desks to Education department awarded to Lyana Investments was item 18 of the contracts register • Supply of 3,300 fish fingerings for stocking for selected farmer ponds awarded to Bensa Investments Ltd was item 26 of the contracts register. <p>The register had a total of 28 procurements implemented and/or in progress items. The register had the following; Procurement Ref. no., Subject of procurement, Provider, Contract value, 1st payment UGX Q1, 2nd payment UGX Q2, 3rd payment UGX Q3, 4th payment UGX Q4, Contract start-end date, Contract manager, and Procurement process.</p>	2

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>All the procurements undertaken during the FY under review complied with Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4). The LG used two procurement methods; Open Domestic and Selective bidding.</p> <p>The LG in FY 2018/19 had 28 implemented during the FY 2018/19. The advertisement for the bids was availed to the Assessment Team during the assessment time. The advert appeared in the New Vision Newspaper of 17th May, 2018 for procurement notice No. 1, 2018/19 and on 19th November, 2018. Projects were awarded under two forms of bidding, selective and open domestic bidding.</p> <p>Open domestic bidding was applied to the following projects;</p> <ul style="list-style-type: none"> Sitting and supervision of construction of 6 boreholes lot 1 Ref: Kamu517/SVS/18-19/00002 was awarded to LHM Ground Water Exploration and Geo mapping services Ltd at a contract sum of UGX 11,700,000 Supply of assorted hand – pump spare parts was awarded to Relief line Uganda Ltd at a contract sum of UGX 112,380,000 Upgrading of Kagumba Health Centre II to HC III awarded to Green Heat (U) Ltd at a contract sum of UGX 476,505,577 <p>Projects awarded under selective bidding were among others;</p> <ul style="list-style-type: none"> Supply and installation of one 10,000 litre water tank to Nabirama HC II in Butansi S/C was awarded to Shamul Enterprises Ltd at a contract sum of UGX 9,000,000 Completion of a staff house at Kitayunjwa HC IV in Magogo S/C, was awarded to Pakasa General Contractors Ltd, at a contract sum of UGX 30,910,860 Supply of 37 wooden chairs with armrest and pad, one table with a chair for speaker, podium, mace and 3 gowns for district council awarded to Mukumuta Enterprises Ltd at a contract sum of UGX 16,050,000 	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>Works projects implemented in the FY 2018/19 were appropriately certified based on technical supervision. For instance;</p> <ul style="list-style-type: none"> Completion of a 2-unit teachers' House at Nagwenyi P/S, project Id: KAMU517/Wrks/18-19/00004, interim certificate dated 13th June, 2019 was issued by the district Engineer. Renovation of OPD at Nawantumbi HCII, project Id: KAMU518/wrks/18-19/00013, an interim certificate dated 18th June, 2019 and endorsed by the district engineer was issued Renovation and expansion of a maternity ward at Nabirama HCII, interim certificate dated 14th June, 2019 was issued by the district Engineer Construction of a 2-stance pit latrine with a bathroom at Kinue Health Centre, an interim certificate dated 29th June, 2019 was issued by the district Engineer 	<p>2</p>

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>The projects for FY 2019/20 had not yet started by the time of assessment.</p>	<p>2</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>There was no documentary evidence that the LG had carried out Bank reconciliations as from July 2019 up to date as at 30th September 2019 at the time of Assessment. However, there was documentary evidence that the LG carried out monthly bank reconciliations up to end of FY 2018/2019 as at 30th June 2019.</p> <p>The Following were the Bank Accounts that were reconciled as at 30th June 2019 BUT not as at 30th August, 2019;</p> <ul style="list-style-type: none"> Kamuli DLG TSA. A/C No. 00517528000000 at Bank of Uganda was only reconciled up to 30th June, 2019; Kamuli DLG General Fund A/C No. 9030005785900 at Stanbic Bank Kamuli Branch; Kamuli District Global Fund A/C No. 9030005785900 at Stanbic Bank Kamuli Branch Kamuli District UNICEF A/C No. 6410500105 at Centenary Bank Kamuli Branch Kamuli SDS A/C No. 9030005734214 at Stanbic Bank Kamuli Branch Kamuli District Manifest A/C No. 6410500104 at Centenary Bank Kamuli Branch; Kamuli LG IFMS CashA/C No. 6412100004 at Centenary Bank Kamuli Branch Kamuli DLG YLP Funds Recovery A/C No. 6410500126 at Centenary Bank Kamuli Branch Kamuli District Women Empowerment Program A/C No. 6412200002 at Centenary Bank, Kamuli Branch Kamuli District Women Empowerment Program Recovery A/C No. 6412200003 at Centenary Bank, Kamuli Branch 	<p>0</p>

<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The LG made timely payment of suppliers during the previous FY 2018/2019 as per the following sampled payment Vouchers</p> <ul style="list-style-type: none"> • Voucher No. Edc/202M dated 16th May 2019 of Shs 15,000,000 to Asobola Stationers Kamuli for the supply of 60 Foot balls, 60 Net Balls, 60 Net Balls. LPO Number 209 signed by DEO on 15th May 2019 CAO signed on LPO on 15th May 2019 and CFO Signed on 15th May 2019 Goods Delivery Note dated 10th March 2019 was in place Goods received by Storekeeper. Invoice issued by the supplier on 14th March 2019. Request for payment was made by the DEO on 19th March 2019. CAO endorsed the request on 29th March 2019. Payment effected on 16th May 2019. • Voucher No. Educ/DEG02M dated 22nd May 2019 of Shs 8,400,000 to Lyana Investments Ltd for the supply of 60 3-Seater Desks in Education Contract. LPO dated 4th April 2019 DEO signed the LPO on 4th April 2019. CAO Signed the LPO on 14th May 2019. Head of Finance Signed the LPO on 5th April 2019. Goods Delivery Note Number 014 dated 1st April 2019 was in place 603-Seater desks were received by the Store Keeper. Goods Received Note dated 1st April 2019. Request for Payment made by the Supplier on 1st April 2019. DEO recommended that the Supplier be paid by endorsing the request for payment on 20th May 2019. Payment Certificate was issued by the District Engineer on 20th May 2019. Payment effected within two days that is on 22nd May 2019 • Voucher No. EDUC/SFG/288 dated 22nd June 2019 to M/s. Naminage Investments (U) Ltd for the completion of 2-unit Teachers Houses at Nagwenyi Primary School in Bulopa Sub county. Contract dated 24th April 2019 was in place for contract Sum of UGX 21,945,000. Payment Certificate No.1 dated 13th June 2019. Request for payment made by the Supplier on 12th June 2019. Payment effected on 22nd June 2019 	2
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG had a Substantive Principal Internal Auditor by the names of Alex Richard Kifuse as per his Appointment Letter dated 31st May 2012 Ref CR/159/1 under Minute Number 110/JDSC/2012/KML</p>	1
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG had produced all quarterly internal audit reports for the previous FY 2018/2019 as indicated below:</p> <ul style="list-style-type: none"> • Quarter 1 report was produced on 21st November, 2018 • Quarter 2 was produced 15th March, 2019 • Quarter 3 was produced 15th May, 2019 • Quarter 4 produced 18th July, 2019 	2
<p>The LG executes the Internal Audit function in</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the</p>	<p>There was no documentary evidence that the LG had provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous FY F2018/2019. LGPAC did not review the internal audit reports for FY 2018/2019 because it was not in existence. PAT found from the Secretary to</p>	0

accordance with the LGA section 90 and LG procurement regulations

Maximum 6 points on this performance measure.

previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.

LGPAC/ Clerk to Council that the Term of Office of LGPAC expired during the FY2018/2019 i.e. in September 2019

The District Executive Committee reportedly nominated the persons who were Supposed to Constitute the new LGPAC but the District Council was yet to approve the Nominees. A total of 142 audit queries were and none had been responded to by the time of assessment.

The Following were some of the internal audit Queries that were raised;

First Quarter

1. Lack of decommissioning costs in the SFg BOQs' specifications.
2. Lack of environmental certification for SFG Construction works
3. Gross misuse of hygiene facilities, schools, furniture (desks), Buildings, Thunder Arrestors
4. Lack of SFG inventory and Asset registers of schools
5. Lack of clear repair and maintenance program for the SFG facilities no O+M budget.

Quarter Two

Finance and Planning.

1. Un vouched expenditure of shs 538,021,102.
2. Inadequately supported expenditure of UGX 6,428,236.
3. Failure to charge WHT worth UGX3,500,000
4. Cash payment ofUGX 1,930,000

Production and Marketing

1. Inadequate reports
2. Unverified supplies of Agricultural inputs
3. Un authorized farmers registers.
4. Delayed funding for activities.
5. Lack of vital supporting documents of shs 7,407,400.

Community Based Services

1. Lack of payment vouchers and payment registers
2. Poor recovery of UWEP funds
3. Un accounted for funds of shs 8,194,000 under Youth Livelihood

Management and Support

1. Un vouched expenditures of shs 247,583,678.
2. Lack of Information communication and Technology Policy.
3. Failure to maintain fleet and Inventory Management Policy.
4. Abandoned construction of the new Administration Block.

Procurement

1. Anomalies Identified.
 - Arbitrary prices for contracted Local revenue and contracted projects
 - Failure to conduct, Orient members of contract evaluation committee
 - Poor Records keeping.
2. Lack of standard prices for goods, services and works
3. Failure to provide departments with a list of pre-qualified firms.

4. Non-Functional District Contract Committee.

Boards and Commissioners

1. Expired Local Government Public Accounts Committee.
2. Lack of substantive Secretary to the District Service Commission.
3. Lack of A representative member of Kamuli Municipal Council to the District Service Commission.

Quarter Three

Community Based Services

1. Un accounted for funds of shs 1,572,000
2. Mismanagement of the Youth Centre
3. Lack of approved inventory for cultural sites in the district.

Production and Marketing

1. Lack of payment vouchers and payment register.
2. Lack of accountability and vital attachments of shs 5,496,224.

Fourth Quarter

Community Based Services

1. Slow recovery process of YLP funds standing at just 21.3% since inception.
2. Partially signed YLP Agreements.
3. Failure to pay 2 youth groups (YLP).
4. Low recovery rate of UWEP funds (28%) Since its inception in 2016.
5. Failure to account for shs 1,500,000

B. Production and Marketing

1. Staffing gaps of 6(six) officers
2. Presence of insecurity threats at the departmental offices.
3. Failure to engrave assets.
4. Un accounted funds of shs 29,560,024.
5. Lack of payment vouchers and Payment registers.

C. Works and Technical Services

1. Un accounted for funds and lack of supporting documents of shs 534,161,845.
2. Un accounted for funds in Water Sector of shs 18,850,550.

D. Education Sector

1. Failure to obtain acknowledgement receipts from contractors and administrative advances to staff worth shs 241,686,394.

1. Lack of fire extinguisher at the registry office.
2. Lack of Lockable doors and cabinets in the main records room.

Health Sector

KAMULI GENERAL HOSPITAL.

1. Lack of staff to operate the Ultra Sound machine at Kamuli General Hospital
2. Lack of appropriate Dental chairs and Sterilization Equipment at Kamuli General Hospital.
3. Tendencies of dodging by staff in Kamuli General Hospital (Nurses and doctors).
4. Lack of access road to the Hospital Mortuary

		5. Inadequate fencing at the general hospital.																
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>There was documentary evidence by way of a delivery book that internal audit reports for the previous FY were submitted to LG Accounting Officer</p> <p>However, the internal audit reports were not submitted to LPAC because it was not in existence as its term of office expired during the FY 2018/2019 in September 2019. As a consequence the internal audit reports were not reviewed.</p> <p>PAT Found that The District Executive Committee nominated the persons that were supposed to constitute the new LGPAC but the District Council was yet to approve the said nominees.</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference for report review</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>21st Nov 2018</td> <td>CR/2532//2</td> </tr> <tr> <td>Quarter 2</td> <td>15th March 2019</td> <td>CR/252/2</td> </tr> <tr> <td>Quarter 3</td> <td>15th May 2019</td> <td>CR/252//2</td> </tr> <tr> <td>Quarter 4</td> <td>18th July 2019</td> <td>CR/252/2</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference for report review	Quarter 1	21st Nov 2018	CR/2532//2	Quarter 2	15th March 2019	CR/252/2	Quarter 3	15th May 2019	CR/252//2	Quarter 4	18th July 2019	CR/252/2	0
Quarter	Date of submission	Reference for report review																
Quarter 1	21st Nov 2018	CR/2532//2																
Quarter 2	15th March 2019	CR/252/2																
Quarter 3	15th May 2019	CR/252//2																
Quarter 4	18th July 2019	CR/252/2																
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The LG had one Single as opposed to the requirement of 3 prescribed categories of Assets Registers outlined on Pages 156 to 168 of Local Governments Financial and Accounting Manual 2007. The Assets Register that was in place contained a Mixture of Land Motor Vehicles Laptop Computers PAT the Staff that were interacted the dynamics of preparing the required three Categories of Assets Registers outlined in the Local Governments Financial and Accounting Manual 2007.</p>	0															
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The Auditor General's Opinion for the financial statements of Kamuli LG for the year ended 30th June, 2019 was unqualified.</p>	4															
Governance, oversight, transparency and accountability																		

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>Kamuli District Council met and discussed service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY:</p> <p>The LG Council held meetings in QTR II, QTR III and QTR IV quarters to discuss service delivery related issues as provided in the minutes below:</p> <p>Quarter 1: The District Local Council did not meet during this Quarter. According to the Clerk to Council, the failure to hold this meeting was due to delay by the Government to release the funds for the Council business</p> <p>Quarter 2: Meeting held on 6th December,2018 discussed under Minute no 9/12/KDLC/2018/2019 the following issues:</p> <p>Education</p> <ul style="list-style-type: none"> Political leaders to mobilise and sensitise the community on child labour Prioritisation of staff houses in Hard to Reach Areas <p>Health</p> <ul style="list-style-type: none"> To Head Hunt for critical Theatre staff such as Anaesthetist and theatre Attendant <p>Finance</p> <ul style="list-style-type: none"> Revival of the Local Revenue Enhancement Bill, Compilation of updated Asset Register <p>Quarter 3: Meeting held on 20th March,2019 and discussed under Minute no. 15/KDLC/03/2018/19the following:</p> <p>Security</p> <ul style="list-style-type: none"> Security in the District Head Quarters to be improved after thieves broke in and stole the furniture from the Council Hall Need to install culverts in some of the roads <p>Quarter 4: Meeting held on 30th May,2019 and discussed under Minute no 36/KDLC/05/2018/2019 the following: Presentation and Approval of District Budget estimates for FY 2019/2020</p>	<p>2</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1. 	<p>The LG had designated Esther Kyabaki (District Communications Officer) to coordinate response to feed-back (grievance /complaints) and respond to feedback and complaints. She was appointed by the CAO on 20th November, 2019 under reference number CR/156/1. There was no evidence that he responded to any complaint. She claimed that he had not received any since her appointment was made in late November, 2019</p>	<p>1</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The LG had a specified a system for recording, investigating and responding to grievances, which was displayed at LG offices notice board.</p> <p>According to Notice displayed on the Public Notice board which was dated 23rd November, 2018, the public was being informed of the availability of a suggestion box. It further stated the person responsible for of receiving complaints and providing feedback (Esther Kyabaki-the Communications officer)</p> <p>The AT was also able to see the suggestion box clearly marked as Suggestion/Complaints box located outside the Reception Area</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The LG had displayed the Payroll and Pensioner Schedule on public notice board on 16th August,2019 in the District Head Quarters, for the month of October, 2019. The AT Sampled details of one employee as presented below:</p> <p>Name: Erick Mudaku</p> <p>Pay Code: Local Government Payroll</p> <p>Employee Number:00000000521672</p> <p>The pensioners schedule was displayed on the notice board in the District Head Quarters on 16th October, 2019. The District had over 600 pensioners One pensioner was sampled as seen below:</p> <p>Name: Eyiga, Godfrey</p> <p>Supplier Number: 500400</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>The District displayed and published the procurement plan, awarded contracts and amounts for the FY 2019/2020. For example;</p> <p>Procurement reference: KAMU 517/WRKS/19-20/00007</p> <p>Subject of procurement: Construction of a 3 class room block at Galinda Primary School under Education department</p> <p>Total Contract Price: UGX97,682,441</p> <p>Name of Contractor: M/S Paujose Investment Limited</p> <p>Date of display:31st October,2019</p> <p>Date of removal:13th November,2019</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The previous performance results were displayed on the public notice board in the District Headquarters on 2nd August,2019 and the results were as follows;</p> <p>Local Government Performance Assessment</p> <p>Kamuli District(Vote Code: 517)</p> <p>Assessment Scores:</p> <p>Accountability Requirements 33%</p> <p>Cross-cutting Performance Measures 44%</p> <p>Educational Performance Measures 49%</p> <p>Health Performance Measures 80%</p> <p>Water Performance Measures 62 %</p>	1
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The District communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY. An email dated 11th March ,2019 was sent to the Sub-County Chiefs of Bugulumbya, Mbalamuti, Wankole, Bulopa, Namwenda, Nawanyayo, Butansi, Kagamba, Katuyunjwa, Namsagali, Balawoli, Waikoke and Kisozi. The AT saw the e-mail which had attachments on LLG local revenue guidelines, circular on second budget call and key policy issues. The author (District Planner; Banafamu Robert) also emphasized to the LLG heads about the need to provide funds for the Sub-County Development Plans</p>	1
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was evidence that the LG conducted discussions with the public to provide feed-back on status of the implementation of activities. For instance;</p> <p>The District conducted a radio talk show hosted by SSebo FM on 11th July,2019 led by the DEO; Mr. Akoyo Dennis who talked about high drop rates in Upper Primary levels and lower Secondary schools, child marriages, teenage pregnancies, child abuse, HIV infections, negative peer pressures and negative cultural values</p>	1
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>The gender focal person and the CDO provided guidance and support to sector departments to mainstream gender into their activities for the FY 2018/19.</p> <p>For instance, a training workshop on gender awareness, HIV/AIDS and Environment mainstreaming was conducted between 26th to 27th June, 2019. It was conducted at Kamuli Youth Centre and targeted district technical staff, Sub County Chiefs, among others. A total of 91 stakeholders were trained. A report dated 28th June, 2019 resulting from the exercise was availed to the Assessment team.</p>	2

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>The gender focal point person planned a minimum of 2 activities to strengthen women's roles and address vulnerability and social inclusions for the FY 2019/20. They included;</p> <ol style="list-style-type: none"> Conduct radio talk shows on gender-based violence and/or violence against women budgeted UGX 3,600,000 Conduct a gender mainstreaming training targeting TPC members budgeted at UGX 3,600,000 <p>The above activities appear on page 110 of the approved annual work plan FY 2019/20</p> <p>For FY 2018/19, the LG budgeted UGX 2,000,000 for gender mainstreaming activities. However, the activities implemented were linked to women Councils. They included;</p> <ul style="list-style-type: none"> District women Council executive meeting implemented at UGX 1,437,000 paid on Voucher Nos. 22398188 and 21121961 dated 8th May, 2019 Facilitation for district women Council implemented at UGX 2,1785,500 paid on voucher Nos. 21121961 dated 8th May, 2019 and payment requisition approved by CFO on 22nd May, 2019 Women day celebration implemented at UGX 1,600,000 paid on voucher No. 222084449 dated 25th April, 2019 <p>Monitoring women activities by the gender focal person costed UGX 2,222,050 and the facilitation of Chairperson Office for gender mainstreaming activities cost UGX 1,040,000</p> <p>From above, the budget for activities related to gender mainstreaming in FY 2018/19 exceeded 100% budget.</p>	2
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>There was evidence that environmental screening or EIA where appropriate are carried out for activities, projects and planned and budgeted for.</p> <p>The SEO Kamuli DLG provided screening reports for the following projects sampled.</p> <ul style="list-style-type: none"> Expansion and Renovation of Maternity Ward at Nabirama Health Centre II in Butansi S/C, Ref: Kamu517/wrks/18-19/00012 was screened on 2nd August 2018 by Bakaki Samuel with recommendation to implement environmental mitigation measures as proposed in the ESMP in the bid document. The renovation of OPD at Nawantumbi HCII in Nawanyago S/C, Ref: Kamu517/wrks/18-19/00013 was screened by the SEO and forms seen were dated 30th July 2018 Renovation of a 5-classroom block with offices/store at Lwanyama P/S in Magogo S/C, Ref: Kamu517/wrks/18-19/00014 was screened and screening forms seen dated on 25th January 2019 with the recommendation of the SEO to plant trees to replace lost vegetation. Construction of a slaughter slab with a 2 stance VIP pit latrine with a bathroom at Kasozi T/C was screened by the SEO as seen from the screening forms dated 5th March 2019 compiled by the SEO. For Drilling, Test pumping and Casting of 6 boreholes lot 2, Ref:Kamu517/wrks/18-19/00002 , the SEO screened all the six boreholes sites as dated on; 18th July 2018, Kawaga village, Balawoli S/C19th July 2018, Kavule B, Namasagli S/C18th July 2018, Buteira, Bulamaga, Balawoli16th July 2018, Busanga A village, Magogo S/C16th July 2018, Busige village Butansi S/C12th January 2019, Buwanga village Namwendwa S/C 	1

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents.</p> <p>All the 5 infrastructure projects sampled had social and environmental mitigation measures integrated in the bid documents.</p> <p>The expansion and renovation of Maternity ward at Nabirama Health Centre II in Buansi bid document integrated Environmental mitigation on page 1/1 of the BOQ, Bill no.1 preliminaries, item E as Environmental issues and cost UGX 300,000.</p> <p>The renovation of OPD at Nawantumbi HCII in Nawanyago S/C had environmental issues integrated on page 1/1 of the BOQ under item E of the bill no.1 under special and general conditions of contract. It was captured as Environmental issues and cost UGX 300,000.</p> <p>The renovation of a 5 – classroom block with offices/store at Lwanyama P/S bid document integrated environmental mitigation on page 1/1 of the BOQ under Bill no.1 preliminaries item G Environmental issues costing UGX 3,000,000.</p> <p>Construction of a slaughter slab with a stance VIP latrine with a bathroom at Kisozi T/C has environmental mitigation mentioned page 1/1/ of Bill no.1, of the BOQ, costing UGX 120,000 in the bid document.</p> <p>The drilling, Test pumping and casting of 6 boreholes bid document integrated environmental mitigation in the bid document in Part 3: Section 7 of the General conditions of contract under technical specifications, item 3 as Environmental protection of the site.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There was no evidence that all projects are implemented on land where the LG has proof of ownership.</p> <p>The Physical planner of was not available to provide any documents to prove ownership of land where Kamuli DLG implemented all projects. The district planner explained that the physical planner had left office by the time he called him to meet the AT. He further stated that to the best of his knowledge they do not have documents such as land titles, Agreements, or MoUs for land where they implemented the different projects.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>No certification forms were available to for evidence that all completed projects have environmental and social mitigation completed and signed by EO and DCDO. The SEO Bakaki Samuel explained that his office in not facilitated all the sampled projects. The SEO had a certification one project – construction of a lined 5 stance pit latrine at the District Administration block dated 30th July 2019. The report indicated compliance with mitigation measures. He stated that he lacked facilitation to do certification of the other projects.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>The contract payment certificated does not include prior environmental and social clearance.</p> <p>A sample of the interim payment certificate dated 18th June 2019 for the Renovation of OPD at Nawantumbi Health Centre in Nawanyago S/C, provided for certification by the HOD Health, the District Engineer and the Certifying Officer, thus no provision for prior environmental clearance.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>The SEO provided a quarterly report ref: CR/55/1, dated 18th September 2018 compiled by Bakaki Samuel, the SEO and SCDO for different department projects for 2018/19 covering;</p> <p>i) Health projects: Upgrading of Kagumba HC III in Kagumba with completed checklists and corrective actions.</p> <p>ii) Water projects FY 2018/19 in various S/Cs, parishes, villages dated 22nd January 2019</p> <p>iii) Production department – Abattoir at Kasozi Trading Centre dated 24th April 2019.</p> <p>The reports included Completed checklists and Corrective actions but no Deviations observed with pictures.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The department budgeted for 165 head teachers and a minimum of 7 teachers per school for FY 2019/20. The budget was UGX 12,572,019,890 as indicated on page 30 of the of the approved budget estimates for FY 2019/20</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>From the staff lists reviewed, the education department deployed a head teacher and a minimum of 7 teachers per school for the current FY 2019/20.</p> <p>For instance;</p> <ul style="list-style-type: none"> Mugabi Edward was deployed to Galinandha P/S together with 11 teachers. Mutaasa Patrick was deployed to P/S as headteacher together with 6 teachers. The school had up to 6 classes by the time of assessment. Kabooto Aloni was deployed to Nabulezi P/S as headteacher together with 8 teachers Waiswa David was deployed to Edhirumamwino P/S as headteacher together with 11 teachers; Manda Godfrey Muyita was deployed to Bupadhengo P/S as head teacher together with 41 teachers Ofamba Peter was deployed to Nawanende P/S as headteacher together with 14 teachers Basalirwa Jameson was deployed to Kisozi P/S as headteacher together with 9 teachers Naimuli Hamza was deployed to Buwagi P/S as headteacher together with 15 teachers 	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>The LG had 1890 primary school teachers on payroll for FY 2019/20, according to a manual count from the staff lists. The wage bill for teachers in position in FY 2019/20 was projected at UGX 13,146,030,044 against the IPF figure of UGX 12,655,809,243.</p> <p>The department had a wage bill deficit of UGX 490,220,801</p> <p>To calculate the wage bill consumption</p> $13,146,030,044/12,572,019,890 * 100 = 104\%$	6

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The LG had three positions of Inspector of Schools in the staff structure- One Senior Inspector of Schools and Two Inspector of Schools. The three positions were filled as follows as the time of assessment;</p> <ol style="list-style-type: none"> The Senior Inspection of Schools- Kanakulya Ibrahim was substantively appointed into the position on letter vide ref: CR/156/1 dated 15th November, 2006 by direction of DSC under Min. 145/2006 (iii) Badaza Thomas was was appointed Inspector of Schools vide letter ref: CR/156/1 on 14th May, 2003 by direction of DSC under Minute No. 61/2003. However, this officer had reportedly retired on 29th September, 2019 and a letter to the CAO ref: EDU/156/2 and dated 19th November, 2019 declaring his position vacant was on file. Kisa Alitwala Annet was substantively appointed Inspector of Schools vide letter ref: CR/156/1 dated 13th May, 2019 under Min. No.463/KDSC/2019 	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> Primary Teachers: score 2 	<p>The department prepared and submitted a recruitment plan to HRM office for FY 2019/20 on 23rd September, 2019. The plan contained the following declaration of vacant positions for 3 headteachers, 5 deputy headteachers and 27 teachers.</p>	2
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> School Inspectors: score 2 	<p>A letter declaring the position of Inspector of Schools dated 19th November, 2019 was also seen on file.</p>	2
Monitoring and Inspection			
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> 100% school inspectors: score 3 	<p>The department appraised the Inspector of Schools as follows;</p> <ul style="list-style-type: none"> Kanakulya Ibrahim- the Senior Inspector of Schools was appraised by the DEO for FY 2018/19 on 23rd July, 2019 Badaza Thomas- Inspector of Schools was appraised on 23rd July, 2019. Kisa Alitwala Annet- Inspector of Schools was not yet due for appraisal as she was appointed in that position on 13th May, 2019 	3

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>Whereas there were dully signed Performance Agreement forms in the headteachers files, there was no evidence all the headteachers were appraised for the FY 2018/19. From the sampled headteachers staff files, the following information was picked;</p> <ul style="list-style-type: none"> • Bakisasa Johnson, V/98/511 headteacher of Kasambira P/S was appraised by the sub County Chief on 31st December, 2018 • Mukyala Jesca Oliva, V/2004/1379 of Nawaansaso P/S had no evidence of appraisal on her file by the time of assessment • Samanya Martin, GT/2010 of Namaira SDA P/S had no evidence of appraisal for calendar year 2018 at the time of assessment • Naigaga Ruth Rachael, GT/2008/2859, headteacher of Bulogo P/S had no evidence that she was appraised for calendar year 2018 • Bukakande P/S headteacher- Nakiranda Fatuma GT/2010/2866 had no evidence that she was appraised for calendar year 2018 • Isanga Daniel- GT/2010/2528 of Kisozi P/S had no evidence that he was appraised for calendar year 2018 • Kisoko Samuel-GT/2015/2019 was not appraised for calendar year 2018 • Waiswa George- GT/2015/1672 of Nankandulo P/S had not evidence that he was appraised for calendar year 2018 • Ngadu David-V/2003/004 was not appraised for the calendar year 2018 <p>Out of 10 headteachers, only 1 was appraised which gave a percentage of 10%</p>	<p>0</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The department communicated guidelines, policies and circulars to schools as received from the national level. For instance;</p> <ul style="list-style-type: none"> • Circular on illegal boarding sections in unregistered schools issued 29th October, 2018 was communicated 29th January, 2019 per the registration roaster by the headteachers • Circular on enforcement of Kavera ban in education institutions in Uganda issued 4th October, 2018 was communicated to all schools by the DEO on 24th May, 2019 • Circular on ban of buying examinations from commercial bureaus issued 13th March, 2019 was communicated to all schools on 24th May, 2019 • Budgeting implementation guidelines for primary and secondary schools received May, 2019 was disseminated on 14th September, 2019 which date was outside the FY of assessment. A copy of the guidelines was seen at Nawansaso P/S during the field visit 	<p>1</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The Education department held meetings with headteachers for primary schools and explained as well as sensitized them on the guidelines, policies and circulars as issued from the national level. For instance;</p> <ul style="list-style-type: none"> • Meeting held 14th September, 2018 under MIN 3/9/KDHTRS/2018, the DEO argued headteachers to always implement circulars as issued by the national level • Meeting held 24th May, 2019 had no evidence of discussion of circulars issued by the national level • Meeting held on 29th January, 2019 under Min 7/1/DHTRS/Ass/2019, the DEO informed headteachers about the circular released by the MoES on stopping unlicensed schools and that same should not be permitted to open in 2019 	<p>2</p>

<p>The LG Education Department has effectively inspected all registered primary schools²</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>The department carried out school inspections for the FY 2018/19 as per the inspection reports;</p> <ul style="list-style-type: none"> • Inspection report for term III, 2018 dated 16th January, 2019 indicated that 88 out of 185 schools were inspected, putting the inspection rate for that term at 47% • Inspection report for term I, 2019 dated 26th June, 2019, a total of 111 schools were inspected for that period, putting the inspection rate at 60% • Inspection report for term II, 2019 and dated 19th September, 2019 indicated a total of 101 schools were inspected out of the 185 schools in the LG. Inspection rate was at 54% <p>From the sampled schools, inspection was carried out as follows;</p> <ul style="list-style-type: none"> • For Nawansaso P/S On 1st October, 2018- DEO visited school to monitor teachers' attendance, on 23rd October, 2018- support supervision by DIS. Other visits were on 15th March, 2019, 8th April, 2019. Percentage visits were $2/3 \times 100 = 67\%$ • At Butende P/S, the AT was not availed evidence of inspection for FY 2018/19 <p>Percentage inspection $67 + 0 + 54 + 60 + 47/5 = 46$</p> <p>Only 46% percentage of the schools were inspected during the FY 2018/19</p> <p><i>Note, only 2 schools were visited because of poor roads during the rainy season</i></p>	0
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The sector held department meetings to discuss, among others school inspection reports and used same to make recommendations. For instance;</p> <ul style="list-style-type: none"> • Meeting held 1st June, 2019 under MIN.03/2019 noted inadequate number of teachers in most schools as well as failure by most teachers to make teaching schemes. • Meeting on 28th November, 2018 under Min.04/2018 discussed the challenges of absenteeism of headteachers, teachers and pupils from school, some schools lacked classrooms and seats, therefore pupils were either studying under trees or seating on improvised seats and the increased number of unlicensed schools in the LG. Under Min.06/22018- the CAO announced that national policy to close unlicensed schools should be enforced as well as adequate tracking of teachers and pupil's attendance of classes • Meeting held 8th July, 2018 under Min. 04/2018 heard that sanitation in some schools was not good. Under Min. 05/2018, the DEO argued his team to ensure that schools have good sanitation 	4
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Inspection reports for FY 2018/19 were submitted as follows;</p> <ul style="list-style-type: none"> • Inspection report for term III, 2018 was submitted 15th April, 2019 • Inspection report for term II, 2019 was submitted on 30th September, 2019. <p>However, AT was not availed evidence for submission of inspection report for term I of 2019.</p>	0

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was no evidence availed to AT that inspection recommendations were followed up.</p>	0
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The LG submitted a list of 165 schools to MoES on 18thDecember, 2018 which was consistent with both EMIS and PBS.</p>	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>The LG submitted enrollment data of 98,914 for the calendar year 2018 to MoES on 18th December, 2019. The submitted data was consistent with EMIS and PBS. For FY 2019/20, the submission was made on 13th September, 2019 with enrollment data of 94,464 which was consistent with EMIS and PBS. Some of the enrollment data for schools was;</p> <ul style="list-style-type: none"> Butansi P/S had 489 pupils Malugulya C/U P/S with 487 pupils Kabaale P/S with 486 pupils Kakindu P/S with 482 pupils Matuumu Bumegeere P/S with 475 pupils 	5
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>There was evidence that the Education and Health Committee of the Council met and discussed service delivery issues during the FY 2018/19. For instance;</p> <ul style="list-style-type: none"> Meeting held on 30th May, 2019 under Min.No.10/May/SC/2018/19 heard quarter II report and it was reported that there was no latrine at Kibuye primary school. Committee recommended that to Council the urgent handling of the issue as it will lead to cases of Cholera if not handled urgently. Meeting on 10th April, 2019 under Min.No.4/April/2019, the Committee discussed and passed department work plans for FY 2019/20 Meeting on 17th October, 2018 under Min.No.24/01//SC/2018-19 received and discussed sector report for quarter III 	2

<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>The education department presented sector implementation plan for approval by Council. For instance;</p> <ul style="list-style-type: none"> • Meeting held 30th May, 2019 under MIN.NO.38/KDLC/05/2018/2019 presented sector work plan for approval • Meeting held 29th May, 2019 under MIN.NO.33/KDLC/05/2018/19 for presentation and approval of sector draft budget of UGX 19,612,386, 000 for FY 2018/19. • Meeting held 20th March, 2019 under MIN.NO.9/12/KDLC/2018/19, the Education Committee Chairperson presented Quarter 1 report of FY 2018/19 as well as Q4 standing committee recommendations. • Meeting on 18th October, 2018 under MIN.NO. 03/KDLC/10/2018/19 discussed and passed allocation of committee members, where Hon. Wambi Wilber was allocated to the Education and Health Committee. 	2
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (estab- lished, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>The primary schools had functional SMCs and held meetings to discuss budget and resources issues of their respective schools. For instance;</p> <ul style="list-style-type: none"> • Nawansaso P/S SMC meeting on 23rd May, 2019 under Min. 5/SMC/2019 discussed UPE budget estimates for May, 2019. Meeting held 15th February, 2019 discussed UPE estimates for the month of February,2019 and the budget was approved under Min. 6/SMC/2019. Other meetings were on 4th October, 2018 and 26th March, 2019 which was particularly for inauguration of the SMC membership • Butende P/S held SMC meetings on; • Bugulumbya P/S SMC meetings as held on; 24th June, 2019 discussed UPE budget estimates for term II, 2019 under Min. 8/SMC/2019. Meeting on 14th March, 2019 discussed and approved a min boarding for P.7 under Min.8/SMC/2019 • Buteme Light P/S SMC meetings were held on; 20th May, 2019 to discuss UPE accountability for May releases under Min.VIII/05/2019, on 11th February, 2019 to discuss and approve strategies for term I of 2019 under Min.VI/2019, on 1st October, 2018 to discuss UPE accountabilities for month of October, 2018 under MIN.VII/10/2018 • Kasambira P/S held SMC meetings on; 11th October, 2018, on 24th may, 2019, e.t.c <p>SMC minutes were available at the education office, meaning they had been submitted per the guidelines</p>	5
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>The education department displayed a list of schools that were receiving UPE grants and it was posted on the education notice board. The list was noted dated nor signed, but it had a total amount of UGX 341,759,244 disbursed in term III of 2018/19 as well as term I of 2019. For instance, Balawoli P/S received UGX 4,210,122, Wansale received UGX 1,797,466 in term III of 2018 and term I of 2019 respectively.</p>	3
Procurement and contract management			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>The department submitted procurement in puts to PDU on 25th May 2019, beyond the stipulated time frame of 30th April.</p>	<p>0</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>The LG made timely payment of suppliers during the previous FY 2018/2019 as per the following sampled payment Voucher;</p> <ul style="list-style-type: none"> Voucher No. Edc/202M dated 16th May 2019 of Shs 15,000,000 to Asobola Stationers Kamuli for the supply of 60 Foot balls, 60 Net Balls, 60 Net Balls. LPO Number 209 signed by DEO on 15th May 2019. CAO signed on LPO on 15th May 2019 and CFO Signed on 15th May 2019. Goods Delivery Note dated 10th March 2019 was in place. Goods received by Storekeeper. Invoice issued by the supplier on 14th March 2019. Request for payment was made by the DEO on 19th March 2019. CAO endorsed the request on 29th March 2019. Payment effected timely within a period of One Month and Two weeks on 16th May 2019. Voucher No. Educ/DEG02M dated 22nd May 2019 of Shs 8,400,000 to Lyana Investments Ltd for the supply of 60 3-Seater Desks in Education Contract. LPO dated 4th April 2019 DEO signed the LPO on 4th April 2019. CAO Signed the LPO on 14th May 2019 Head of Finance Signed the LPO on 5th April 2019... Goods Delivery Note Number 014 dated 1st April 2019 was in place 60 3-Seater desks were received by the Store Keeper. Goods Received Note dated 1st April 2019. Request for Payment made by the Supplier on 1st April 2019. DEO recommended that the Supplier be paid by endorsing the request for payment on 20th May 2019. Payment Certificate was issued by the District Engineer on 20th May 2019. Payment effected within two days that is on 22nd May 2019 Voucher No. EDUC/SFG/288 dated 22nd June 2019 to Naminage Investments (U) Ltd for the completion of 2-unit Teachers Houses at Nagwenyi Primary School in Bulopa Sub county. Contract dated 24th April 2019 was in place for contract Sum of UGX 21,945,000. Payment Certificate No.1 dated 13th June 2019. Request for payment made by the Supplier on 12th June 2019. Payment effected within Ten days on 22nd June 2019 	<p>3</p>
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The education department submitted the annual performance report FY 2018/19 to the Planner for consolidation on 12th July, 2019.</p> <p>Quarterly accountability reports were submitted as follows;</p> <p>Q1 was submitted 8th October, 2018;</p> <p>Q2 was submitted 9th January, 2019</p> <p>Q3 was submitted on 12th April, 2019</p> <p>Q4 submitted 12th July, 2019</p>	<p>4</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>There was no documentary evidence that the sector had provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: FY 2018/2019. Total number of 11 queries were raised by the Internal Auditor and none were responded to by the time of assessment.</p> <p>The Following were the internal audit Queries that were raised</p> <p>Quarter one</p> <ul style="list-style-type: none"> • Lack of decommissioning costs site clearing. In the SFG BOQs Specifications • Lack of Environmental Certification for SFG construction works • Gross Misuse of hygienic facilities, buildings, furniture, Thunder Arresters at SFG Schools. • Lack of enough sitting and latrine facilities in SFG Schools <p>Quarter Two</p> <ul style="list-style-type: none"> • Lack of pre and Post Inspection Reports • Failure to hold Site meetings for SFG construction projects • Lack of Approved inventory List <p>Quarter Four</p> <p>Acknowledgement receipts from Contractors and Administrative Advance of Shs 241,634,394</p>	0
Social and environmental safeguards			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: <p>Score 2</p>	<p>The department in consultation with the gender focal person disseminated guidelines on how Senior Women and men teachers were to provide guidance to boys and girls on sanitation.</p> <p>For instance, between 14th to 15th May, 2019, a workshop for primary and secondary school teachers on menstrual hygiene management, HIV/AIDS as well as violence against children in school was conducted. The workshop was used to disseminate relevant government documents including; the national policy on HIV/AIDS, among others. The workshop report was dated 22nd March, 2019. A report dated 11th September, 2018 on the adolescent friendly methodologies project implemented together with UNICEF was also on file.</p>	2
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: <p>score 2</p>	<p>There was some evidence the education department in collaboration with gender focal person issued and explained sanitation guidelines for PWDs. However, a meeting held on 27th June, 2019 in RDC's office, adolescent development issues, including vulnerability of children with special needs were discussed. Members in attendance were urged to give special attention to children with special needs/disabilities in all programs implemented at school.</p>	2

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>The School Management Committees did not meet guidelines on gender composition per the guidelines.</p> <p>For instance;</p> <ol style="list-style-type: none"> Butende P/S SMC appointed by the DEO on 1st February, 2019 had 11 members with 2 females among the foundation body Bugulumba P/S 13 members with only One female on the foundation body. However, the Committee has a total of 3 females Buteme Light P/S had 2 female members on the SMC foundation body Kasaambira P/S had 2 females on the foundation body of the school as well as other 2 females on the SMC making a total of 4 females on the Committee. 	0
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was no evidence that the Education department issued guidelines to schools on environmental management.</p>	0
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>The LG screened the only infrastructure project before approval for construction. There was a screening form for the renovation of a 5-classroom block with offices at Lwanyama primary school. The screening form clearly indicated the mitigation actions- address the safe handling and disposal of chemicals, where applicable. The form was dated 25th January, 2019 and signed by the Environmental Officer. Apparently, this was the only education infrastructure project undertaken by the LG in FY 2018/19. It was item no.7 on page 2 of the Contracts Register</p>	1
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1 	<p>There was no evidence that the Environmental officer together with the CDO visited education construction sites to check whether the mitigation plans were complied with. The monitoring report availed to AT dated 24th April, 2019 was for the construction of Abattoir at Kisozi Trading Center. This was not a school project.</p>	0

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>According to the IPF for the district PHC wage allocation for current FY 2019/2020, the approved budget for conditional grant (wage) for PHC workers was UGX 6,218,889,000 on page 22 of the approved budget estimates for Kamuli DLG.</p> <p>The actual wage bill as per wage bill analysis for 517 PHC workers in post for FY 2019/2020 was UGX 5,610,055,878 leaving the department with a wage surplus of UGX 608,833,375</p> <p><u>5,610,055,878</u> X 100 = 90.2%</p> <p>6,218,889,000</p> <p>Efforts were seen towards recruitment to fill the staffing gap. There was a letter dated 10th October, 2019 written by the CAO to the PS, Ministry of Public Service, requesting for clearance to fill 7 vacant positions for the department with a planned recruitment expenditure of UGX 526,013,692. The letter was received at ministry of Public on 28th October, 2019.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DLG health department approved 620 positions for PHC workers while those in post were 555 and those vacant were 65, representing a staffing level of 89.5%.</p> <p>Recruitment plan to cover the staffing gap was submitted by DHO on 19th September, 2019 to the CAO. The plan was in two-fold. Recruitment on replacement basis of 11 health workers worth UGX 92,635,980 annual salary and new planned recruitment of 53 health workers worth UGX 446,177,712 for 2019/2020.</p>	6

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>Not all the in-charges were appraised for financial year 2018/2019. Out of the the 5 sampled health facilities, only 3 in-charges were appraised which resulted in 60% appraisal.</p> <p>The in-charges were appraised as indicated below:</p> <ol style="list-style-type: none"> 1. Atuma Zaidi, Principal Medical Officer, MS Kamuli General Hospital. File No: KAML/P.13351. Not appraised 2. Kizala Susan Nyende, Senior Medical Officer I/C, Nankandhulo H/C IV, File No: CR/P/13540. Appraised on 16th August, 2019 by the DHO. Comment on file was “the officer should be supported to perform her roles especially in formalization of the new theatre”. Counter signed by the ACAO on 27th November, 2019. 3. Wambi Grace, Medical Clinical Officer I/C Namwendwa HC IV. No file Number. Not appraised. 4. Tenywa Paul, Medical Clinical Officer I/C, Balawoli HC III, File No: KAML/P.10992. Appraised on 10th June, 2019 by the SAS, Balawoli S/C. Comment/ recommendation was “Paul is hard working and exhibits a high level of professionalism in his work. I recommend him for any available opportunity in the department”. Counter signed by Senior Hospital Administrator (Kamuli General Hospital) on 14th June, 2019. 5. Nansubuga Esther, Senior Medical Clinical Officer I/C Butansi H/C III, File No: KAML/P.13490. Appraised on 30th June, 2019 by the HSD I/C (Namwendwa HC IV). Comment of the appraiser was “she is a very active health worker. Kindly consider promotion to motivate her leadership role”. Counter signed by ADHE on 13th August, 2019 and CAO on 20th August, 2019. 	0
<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG allocated health workers in line with lists submitted with the budget for FY 2019/2020 in PBS system.</p> <p>Kamuli DLG had 1 General Hospital, 2 HC IVs and 31 lower level health facilities (totaling to 34 governments facilities). The health department had a staffing norm of 620 staff of which 555 were in post with a gap of 65 health workers indicating 89.5% staffing level. Kamuli General Hospital: 186 health workers were deployed out of the 190 staffing norm.</p> <ul style="list-style-type: none"> • Namwendwa HC IV: 45 health workers were deployed out of the 48 staffing norm. • Butansi HC III: 21 health workers were deployed out of the 19 staffing norm. <p>At each facility, the staff list at the facility matched with the deployment list at DHO’s office (generated on 20th November, 2019).</p>	4
Monitoring and Supervision			

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The DHO received Sector Grant and Budget Guidelines for Local Governments, 2019/2020 on 28th October, 2019 (by email) from Dr. Sarah Byakika (Commissioner Health Services, Planning, Financing and Policy). The guidelines were shared by the ADHO – MCH on Kamuli DHMT WhatsApp on 21st August, 2019.</p> <p>Other guidelines/policies and circulars were received by DHO's office in financial year 2018/2019 and were also disseminated to the health facilities.</p> <p>For instance:</p> <p>National Sanitation and Hygiene Guidelines, 2017</p> <p>Measles-Rubella, Polio Vaccination and Introduction of Rubella into Routine Immunization, 2019.</p> <p>Uganda Clinical Guidelines, 2016</p> <p>Circular on expansion of subcutaneous depot medroxyprogesterone acetate (DMPA SC brand name sayana Press) targeting H/C II providers and VHTs dated 29th April, 2019 (ref. ADM.428/01) from Dr. Olaro Charles (For DG Health Services).</p> <p>Due to bad weather we visited 3 health facilities i.e. Kamuli General Hospital, Namwendwa HC IV and Butansi HC III.</p> <p>During the field visits in the sampled health facilities, PAT established that the sector grant and budget guidelines for local governments for 2019/2020 and other guidelines/policies and circulars from national level were communicated.</p>	<p>3</p>
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>The PAT reviewed the DHT monthly meeting minutes. There was no evidence that the DHO held meetings with health facility in-charges to explain the guidelines, policies, circulars issued by the national level.</p>	<p>0</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>The District 1 General Hospital and 2 HC IVs.</p> <p>The PAT reviewed the quarterly support supervision reports at the DHO's office to establish whether the DHT conducted all the required 4 quarterly technical support supervision visits (at least one per quarter). The supervision was conducted as below.</p> <p>Quarter 1, 2018/19: Conducted on 24th to 27th September, 2018. It was integrated technical support supervision and joint political monitoring by the DHO, ADHO, RDC, ACAO, HRO, Sec. Finance and Planning. Issues like staff absenteeism, lost to follow up of ART clients, low ANC attendance, irregular support supervision to lower level facilities among others.</p> <p>Quarter 2, 2018/19: There was no evidence of support supervision.</p> <p>Quarter 3, 2018/19: Conducted on 22nd, 23rd January and 5th February, 2019. The report indicated that the same issues identified in 1st quarter were raised again in this quarter like staff absenteeism, low ANC attendance etc.</p> <p>Quarter 4, 2018/2019: Conducted on 13th to 16th May, 2019. The supervision team considered the following areas: Family Planning, Mortuary, OPD, Lab, Stores, In-Patient and Maternity among others.</p> <p>Therefore, based on the above, the DHT did not have had 100% supervision as required.</p>	<p>0</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>The Performance Assessment Team reviewed the quarterly support supervision reports at DHO's office. There was no evidence that the HSDs had supervised the lower level health facilities in the previous FY.</p> <p>In the 2 HSDs visited, only Kamuli General Hospital MS had conducted supervision of lower level health facilities which was done only once in 4th quarter from 24th to 26th April, 2019. The supervision was done in Nabinumba HC III, Namasagali HC III and Balawoli HC III.</p> <p>Evidence was also derived from the MoH facility support supervision book at the health facility.</p> <p>Given the bad weather conditions and the impassible roads, we visited Butansi HC III to established whether technical support supervision visits had been conducted by the HSD.</p> <p>From the MoH support supervision book at the facility, there was no evidence that the HSD I/C visited the facility for technical support supervision in FY 2018/2019.</p>	<p>0</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>We reviewed the minutes of the DHT meetings to ascertain whether all the 4 quarterly support supervision report were discussed to make recommendations.</p> <p>DHT meetings were held to discuss the support supervision reports as follows:</p> <p>Quarter 1: Meeting held on 28th September and the supervision findings for quarter 1 were discussed under Min.No.3: District Health Team 2018 brief reports following support supervision. One of the recommendations was that the DHO should write warning letters to all health facility in-charges about the organized absenteeism of the health workers.</p> <p>Quarter 2: Meeting held on 7th December, 2018 and the findings of the supervision report for the activity conducted on 3rd to 5th December, 2018 were discussed under Min.No.4: Briefs on the support supervision by the DHT. Among other recommendations, mentorship and coaching of health workers was recommended.</p> <p>Quarter 3: No evidence</p> <p>Quarter 4: No evidence</p>	0
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>There was evidence to show that supervision findings and supervision report recommendations were followed up and corrective actions undertaken.</p> <p>For instance, some of the issues that were identified during support supervision where actions were taken included the following:</p> <p>On 1st October, 2018, the DHO wrote to CAO expressing the inadequacy of PHC funds (55% of the planned) for quarter one. He requested CAO's office to consider more financial support to the department to enable them achieve their quarter 1 target in FY 2018/2019.</p> <p>On 15th March, 2019, the DHO wrote to all the health facility in-charges on the issue of indiscipline (absenteeism, poor time management in health facilities and other forms of indiscipline). He directed all health workers to register in the arrival and departure register monthly analysis of this register and to constitute a health facility rewards and sanctions committee to handle indiscipline cases. The letter was copied to CAO, RDC and the District Chairperson.</p> <p>On 17th April, 2019, the DHO wrote a circular to all HU in-charges giving them general guidance in health facility management. He reminded them of their responsibilities of supervising all lower health facilities and to provide quarterly reports to the DHO.</p>	6
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>The DLG had 45 health facilities that benefited from PHC funds. Of the 45 health facilities, 11 were PNFPs and 34 were government.</p> <p>HMIS reports for July 2018 from the 5 sampled health facilities were submitted between 3rd and 8th August, 2018. They were entered into DHIS2 system for health reporting. All facilities (45) benefiting from PHC reported HMIS data into DHIS2 and the list of health facilities that reported was consistent with the list of facilities in the PBS system for Kamuli district.</p>	10
Governance, oversight, transparency and accountability			

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The committee responsible for health discussed health issues as indicated below:</p> <p>On 17th October, 2018, Health and Education Sectoral meeting was held in the Speaker's office. The DHO presented the health reports for quarter 4 2017/2018 and quarter 1 2018/2019 and the departmental work plan which were seconded under Min.No.24/10/SC/2018-2019.</p> <p>On 27th February, 2019 in the Speaker's office, the committee responsible for health held a meeting to present and discuss the quarter one health report for FY 2018/2019. The report was presented by the DHO under Min.No.029/FEB/SC/2018-2019. The following issues were highlighted: inadequate staff accommodation, drug stock outs, lack electricity in some facilities, staff absenteeism.</p> <p>On 10th April, 2019 in the Speaker's office, the committee discussed the report health for FY 2018/2019 under Min.No.4/April/SC/2018-2019. The report was presented by the DHO and the committee recommended to work on the health facilities that were in very bad condition and with few nurses first.</p> <p>On 30th May, 2019, in the Speaker's office, under Min.No.10/May/SC/2018-2019, the DHO presented the Health department work plan and it was discussed by all members. A recommendation was made to improve on the theatre at Nankandhulo HC IV at a cost of UGX 15,000,000.</p>	2
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>The Health sector committee had presented sector issues for approval by Council.</p> <p>For Instance;</p> <p>A district council meeting held on 6th December, 2018 approved standing committee recommendations on health for FY 2017/2018 quarter 4 and 2018/2019 quarter 1 under Min.No.9/12/KDLC/2018/2019. The meeting recommended that CAO should head hunt for critical health staff in theater for Kamuli General Hospital, Namwendwa and Nankandhulo HC IVs and to lobby for increase of drugs and funds to train HUMCs and VHTs on their roles and responsibilities.</p> <p>On 29th May, 2019, a council meeting was held at Kamuli Youth Centre and under Min.No.33/KDLC/5/2018/2019, the district budget for FY 2019/2020 worth UGX 40,931,026,000 was laid on the floor of council, including a health budget of UGX 8,586,434,000.</p> <p>On 30th May, 2019, council meeting under Min.No.38/KDLC/05/2018/2019 approved district budget estimates (Health budget inclusive) for FY 2019/2020.</p>	2

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<p>The PAT reviewed HUMC minute reports in the DHO's office to establish whether the 4 mandatory meetings were held to discuss the budget and resources issues.</p> <p>The PAT also visited 3 sampled health facilities and established that HUMC's sat as indicated below:</p> <ul style="list-style-type: none"> • Kamuli General Hospital sat in 3 quarters as follows: <ul style="list-style-type: none"> Q1: 3rd July, 2018 Q2: No evidence Q3: 10th January, 2019 Q4: 4th April, 2019 • Namwendwa HC IV: HUMC sat only in 2 quarters as follows: <ul style="list-style-type: none"> Q1: No evidence Q2: 22nd December, 2018, Q3: 11th March, 2019, Q4: No evidence • Butansi HC III: HUMC sat in 2 quarters as follows. <ul style="list-style-type: none"> Q1: No evidence Q2: 18th December, 2018 Q3: 27th March, 2019 Q4: No minutes were availed to AT <p>the 3 health facilities, 12 (3x4) meetings were expected. However, only 7 were conducted translating into 58.3%.</p>	<p>0</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of all PHC recurrent non-wage beneficiaries (both public = 34 and PNFPs = 11) was displayed at the district health department notice-board.</p> <p>The list indicated the name of the health facility, the supplier number and an annual IPF allocation for FY 2019/2020, 2nd quarter limit and the invoice number for each facility totaling to annual budget of UGX 951,208,121 and quarter 2 release of UGX 237,802,030 for all the listed health facilities.</p> <p>For FY 2018/2019, 4th quarter was displayed on 24th May, 2019 and the annual budget was UGX 637,831,009 with quarter 4 limit of UGX 159,457,752.</p>	<p>4</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The Health department submitted in put to the procurement plan to PDU dated 17th August, 2019 and it was received on 28th August, 2019.</p> <p>Some of the planned investment items for procurement were:</p> <ul style="list-style-type: none"> • Construction of a 5-stance pit latrine at Luzinga HC II worth UGX 19,000,000 • Construction of a lined 5 stance pit latrine at Namwendwa HC IV worth UGX 19,000,000 • Construction of a placenta pit and power installation at Kasambira HC II worth UGX 71,577,665 	<p>2</p>

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The health department had submitted a procurement request (PP form 1) to PDU, confirmed by CAO on 3rd July, 2019.</p> <p>For instance, a requisition for the following items was made:</p> <ul style="list-style-type: none"> Construction of a 5-stance pit latrine at Luzinga HC II worth UGX 19,000,000 Construction of a lined 5 stance pit latrine at Namwendwa HC IV worth UGX 19,000,000 Construction of a placenta pit and power installation at Kasambira HC II worth UGX 71,577,665 	2
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>There was documentary evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: as per the following payment Vouchers</p> <p>Voucher No. HR4/10/June dated 24th June 2019 of Shs 15,702.360 to Tech Engineering Services Ltd. Request for Payment was made by the Supplier /Contractor 30th May 2019 DHO recommended for payment by signing the request for payment on 19th June 2019. payment was made on 24th June 2019 within a period of 5days that was timely.</p> <p>Voucher No. MED267 dated 21/6/2019 for UGX 23,993,000 to Kipede Investments Ltd for renovation and expansion of a Maternity Ward at Nabirama Health 11 in Butansi sub County. Request for payment was made by the Supplier /contractor on 15th May 2019. DHO recommended Supplier / Contractor to be paid by signing on the request for payment on 20th May 2019. Payment was effected on 21st June, 2019 within a period of one month.</p> <p>Voucher dated 20th June 2019 of Shs 10,043,480 to Stalwart International for construction of 2-Stance Pit latrine at Kinu HCII. LPO No.248 issued by DHO on 9th June 2019. Request for payment was made by the Supplier /Contractor on 27th May 2019. DHO recommended the Supplier/Contractor to be paid by Signing on the request for payment on 27th May 2019. Payment therefore effected within two weeks on 20th June 2019</p>	4
Financial management and reporting			
<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The department submitted the annual performance report for the previous FY 2018/2019 to the Planner for consolidation on 11th July, 2019.</p> <p>Submission of quarterly reports to Planner during FY 2018/2019:</p> <ul style="list-style-type: none"> Quarter 1 report was submitted on 11th October, 2018 Quarter 2 report was submitted 4th January, 2019 Quarter 3 report was submitted 9th April, 2019 Quarter 4 report was submitted 11th July, 2019 	4

<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>There was no documentary evidence that the sector had provided information to the internal audit on the status of implementation of all audit findings for the previous financial year FY 2018/2019. A total of 38 queries were issued by the Internal and none were responded to.</p> <p>The Following were the Internal Audit queries that were raised.</p> <p>Quarter 2</p> <ul style="list-style-type: none"> • Failure to make Public Awareness on the Availability of drugs in the Health facilities • Lack of Discrepancy /Variance Report on Drugs Supplied by National Medical Stores • Lack of Calculations of monthly Average drug consumption Schedules • Anomalies on expired drugs i.e. improper write off procedures <p>Quarter 3</p> <ul style="list-style-type: none"> • Lack of a Function Incinerator <p>At Kamuli General Hospital</p> <ul style="list-style-type: none"> • Rampant Stray Animals in Kamuli Hospital • Non-functional security Lights at Kamuli General Hospital • Unaccounted for funds Shs 9,676,000 at Kamuli General Hospital <p>Quarter 4</p> <p>At Kamuli General Hospital</p> <ul style="list-style-type: none"> • Lack of Staff to operate the Ultra Sound Scan Machine • Lack of appropriate Chairs and sterilization Equipment • Habitual Absenteeism of Staff at Kamuli General Hospital • To the Mortuary Lack of access <p>AT DHO's Office</p> <ul style="list-style-type: none"> • Lack of Payment Vouchers • Un Accounted for Funds Shs 2,610,000 • Lack of Acknowledgement of Shs 76,317,800 by the Contractors • Fuel use of Shs 13,995,000 Un Accounted for • Failure to deduct withholding Tax of Shs 2061,000 	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Not all the 3 sampled health facilities had the required 30% (and above) of women composition on HUMC. Only 1 HUMC had the required gender composition. The composition was as follows:</p> <p>Kamuli Hospital: 3/11 = 27.3%</p> <p>Namwmdwa HC IV: 1/9 = 11.1%</p> <p>Butansi HC III: 5/7 = 71.4%</p>	<p>0</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>The LG issued guidelines on how to manage sanitation in health facilities. The MoH Sanitation and Hygiene Management Guidelines, 2017 were available in the sampled health facilities.</p> <p>The 3 visited health facilities had separate and labeled latrines for each gender.</p>	2
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>All the health facility infrastructure projects were screened before approval for construction.</p> <p>There was a report by the SEO and SCDO dated 18th September, 2018 to CAO on screening of health department projects for the FY 2018/2019. The report reveals that the screening was to identify the likely negative impacts and to suggest mitigation measures and indicators. Some of the projects in the report that were screened included: Upgrade of Kagumba HC II to HC III in Kagumba S/C, construction of a 2 stance pit latrine at Kinu HC II in Namwendwa S/C, construction of maternity ward at Kasambira HC II in Buguhumbya S/C, construction of an incinerator at Nankandhulo HC IV in Magogo S/C among others.</p>	2
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2 	<p>There was no evidence that 2018/2019 projects under health were visited to check whether the mitigation plans were complied with.</p>	0
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>There was evidence that the department issued guidelines in FY 2018/2019 on medical waste management.</p> <p>The Assessment Team visited the following health facilities to establish the presence of waste management guidelines or posters/ charts.</p> <ul style="list-style-type: none"> Kamuli General Hospital. Namwendwa HC IV Butansi HC III <p>In the above health facilities, the Ministry of Health charts/posters (undated) guiding health workers on health care waste handling were pinned on the wall in strategic service delivery points.</p>	4

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub- counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The district Local Government safe water average was 77.0% and according to the budget and water sector work plan for 2019/2020, there were six (6) sub counties below the district safe water average with the following coverage.</p> <ol style="list-style-type: none"> 1. Nabwigulu s/c with 69.3% 2. Balawole s/c with 57.1 % 3. Kagumba s/c with 53.3% 4. Namasagali s/c with 67.0 % 5. Namwendwa s/c with 66.4% 6. Magogo s/c with 69.8% 7. Namasagali s/c with 67.0% <p>According to the 2019/2020 approved budget and work plan, the district water and sanitation sector budget was 684,242,027,447 and planned to construct 15 boreholes as indicated on page 77 of the AWP, targeting all the sub counties below the district safe water average for instance, Nabwigulu sc was targeted with 1 bore hole, Balawole sc was targeted with 2 bore holes, Kagumba s/c was targeted with 2 bore holes, Namwendwa s/c was targeted with 1 borehole, Magogo s/c was targeted with 1 bore hole and Namasagali s/c was targeted with 1 bore in addition to construction of a piped water system and the procurement process for construction of a piped water system was reported on going. $7/15 \times 100 = 46\%$</p> <p>Sub counties below the safe water average were target with 46% of water facilities in FY 2019/20</p>	0
<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>According to the Budget for the previous F Y 2018/2019, the district safe water average was 76.6% and planned to construct 12 bore holes were by 6 boreholes under Lot 1 were contracted out to Maa Technologies Uganda Ltd at UGX 77,090,580 and 6 boreholes under Lot 11 were contracted out to East African bore holes Ltd at UGX 77, 736,040. In addition to other water and sanitation planned activities.</p> <p>The sub counties below the district safe water average were Nabwigulu s/c with 69.3%, Balawole s/c with 57.1 %, Kagumba s/c with 53.3%, Namasagali s/c with 67.0%, Namwendwa s/c 66.4% and Magogo s/c with 69.8%.</p> <p>According to the annual work plan for 2018/2019, the district planned to construct 6 boreholes in the above-mentioned sub counties and the 4th quarter progress report indicated that all the planned water projects were implemented as planned giving the district 100% implementation rate.</p>	15
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the district water department monitored each of the WSS facilities at least annually for instance,</p> <p>Monthly monitoring report dated 8th February 2019 about verification of sites for construction of new water and sanitation sources.</p> <p>Monthly report about training of four water user committees in the sub counties of Kagumba, Namasagali Bugulumbya, Butansi, Nabwigulu, Balawole and Magogo sub counties and the main objective of the training was to impart knowledge and skills to water user committees in order to promote good hygiene conditions in the District was held on 4th February 2019.</p> <p>Monthly report about water quality testing of 30 water sources in Kitayinjwa and Namwendwa sub counties carried out on 4th January 2019 targeting shallow wells selected from six parishes in the above-mentioned sub counties.</p> <p>Supervision monthly which was dated 20th May 2019 about the rehabilitated bore holes to verify functionality in Bugabula and Buzaaya counties under the district water and sanitation conditional grant for instance, DWD 44957 borehole which is located in Mawembe village in Kagumba parish in Kagumba sub county was supervised and reported nonfunctional as a result of corroded pipes which need replacement.</p>
--	---	--

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 	<p>1st quarter report Ref CR/103/1 dated 22nd October 2018 and was submitted on 26th October 2018 to Ministry of water and environment contained progress report for the 1st quarter and the status of implementation of the said quarterly activities was reported that the procurement of service providers was on going.</p> <p>2nd quarter report Ref CR/103/1 was dated 21st January 2019 and was submitted to Ministry of water and environment on 23rd January 2019 contained 2nd quarter progress report and the status of implementation of the quarterly planned activities was as follows</p> <p>Procurement of service providers for the construction of the 12 planned boreholes, supplier for spare parts for rehabilitation of 20 planned boreholes and siting and drilling supervision of the construction of 20 bore holes was still in progress by end of 2nd quarter.</p> <p>3rd quarter progress report Ref CR 103/1 which was dated 23rd April 2019 was submitted to Ministry of water and environment on 30th April 2019 contained 3rd quarter progress report and the status of implementation of he said quarterly planned activities was as bellow,</p> <p>The construction of six (6) boreholes under Lot 1 were contracted to Maa Technologies (U) LTD at UGX 77,090,580 and the works were reported on going.</p> <p>The Construction of six (6) boreholes under Lot 11 were contracted to East African bores Ltd at UGX 77,336,040 and the works were reported on going.</p> <p>The siting and drilling supervision of Lot 1 and Lot 11 boreholes was contracted to LHM ground water exploration and geo mapping services LTD at UGX 11,700,000 whereas the supply of spare parts for rehabilitation of 20 bore holes was contracted to Relief line (U) LTD at UGX 112,380,000 and the works were reported completed and successful by the end of the period under review.</p> <p>4th Quarter Progress report Ref CR/103/1 which was dated 15th July 2019 and was submitted to Ministry of water and environment on 24th July 2019 contained 4th quarter progress report and the status of implementation of the quarterly planned activities was reported completed by the end of the quarter under review. However, two boreholes at Butante borehole in Kawaga parish in Balawoli s/c and Buteira –Bulumaga village in Namaira parish in Balawoli sub county were reported un successful and thus not functional.</p>	5
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>The List of water facilities that were consistent in both sector MIS reports and PBS reports was not provided at the time of assessment by the district water officer</p>	0
Procurement and contract management			

<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The sector submitted in put for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on 11th April 2019 and it was received on the same day by the head of procurement unit and it was within the required time frame and it contained projects to be procured in the current FY.</p> <p>For instance, siting and drilling supervision of construction of 8 under lot 1 deep bore holes in the district at UGX 16,000,000 and siting and drilling supervision of construction of 6 boreholes under Lot 11 at UGX 14,000,000 in addition to other procurement items</p>	<p>4</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>Mr. Waiswa Tom was appointed as a contract manager to effectively manage the WSS contracts in the district but there was no evidence that he prepared a contract management plan.</p>	<p>0</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>In line with the BOQs, the 2 sampled water facilities at Bugaya and Nambaale villages were constructed as per design. The pump head was at 1 metre from the ground with U2 pipes and connecting rods installed properly.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>The contractors handed over all the WSS projects. For instance, there was a hand over report by LHM ground water exploration and M/s. Geo mapping services addressed to the Chief Administrative officer on 12th June 2019 handing over the completed works.</p>	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>The DWO appropriately certified all WSS projects and prepared and filed reports for instance payment certificate for contract No 1 for KAMU 517/WKS/2018-2019/00001 issued to M/s Maa Technologies Uganda LTD on 15th February, 2019 for drilling ,test pumping, casting and installation of 8 boreholes at UGX 77,090,580 in the district.</p> <p>There was also payment certificate for contract No KAMU/517/SUPLS/2018-2019/00001 to Relief line Uganda Limited for supply of Assorted hand pump spare parts for rehabilitation of 20 old boreholes in the district at UGX 95,237,230 and there was evidence that the DWO prepared and filed completion reports.</p>	2															
<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was documentary evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: Score as per the following Sampled payment Vouchers</p> <p>Voucher No. WTR/2019/03 Dated 27th March 2019 Amount Shs 112,380,000 Payee Reliefline U Ltd Purpose Supply of Borehole Parts Contract dated 3rd January 2019 was in place Certification District Water Engineer Certified the work done on 15th February 2019 Contract Agreement dated 3rd March 2010 was in place Goods Delivery Note indicating that the Supplies were delivered and received by the Store Keeper Joseph Gubi Goods Received Note Number 910 was in place indicating that the Goods were received on 7th February 2019.</p> <p>Request for Payment was made on 5th February 2019 DWO recommended the Supplier Relief line (U) Ltd to be paid by endorsing the request for payment on 22nd March 2019 Payment effected within a period of one month and 3 weeks.</p> <p>Voucher No. WT2/2019/03/27/29 dated 27th March 2019 of Shs 74,737,294 to Maa Technologies for drilling Pumps Testing and Casting of 6 boreholes Contract Agreement dated 30th January, 2019. Certificate No. 1 made by the District Water Officer on 15th February 2019. Request for Payment was in place and DWO recommended for payment by signing on the Request for payment on 18th February 2019. Payment effected within a period of 9 days on 27th March 2019</p> <p>Voucher No. WTR/0/21/06/2019 dated 21st June 2019 of Shs10,972,500 to LHM Ground Water Exploration Geomapping Services Ltd. Contract dated 3rd January 2019 was in place. Purpose Siting Supervision of Drilling, testing and Installation of 6 borehole. Certification was issued out by the DWO on 30th May 2019. Requisition for Payment was made by the Contractor2 on 25th May 2019. DWO recommended the Contractor to be paid by signing on the Request on 30th May 2019 payment effected within a period of 3 weeks.</p>	3															
Financial management and reporting																		
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2018/2019 on 15th July 2019 to Ministry of Water and Environment and it was received on 24th July 2019.</p> <table border="1" data-bbox="667 1713 1422 1951"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>10th October 2018</td> <td>PBS</td> </tr> <tr> <td>Quarter 2</td> <td>11th January 2019</td> <td>PBS</td> </tr> <tr> <td>Quarter 3</td> <td>12th April 2019</td> <td>PBS</td> </tr> <tr> <td>Quarter 4</td> <td>12th July 2019</td> <td>PBS.</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference	Quarter 1	10th October 2018	PBS	Quarter 2	11th January 2019	PBS	Quarter 3	12th April 2019	PBS	Quarter 4	12th July 2019	PBS.	5
Quarter	Date of submission	Reference																
Quarter 1	10th October 2018	PBS																
Quarter 2	11th January 2019	PBS																
Quarter 3	12th April 2019	PBS																
Quarter 4	12th July 2019	PBS.																

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>There was no documentary evidence that the sector provided information to the internal audit on the status of implementation of a total of 5 audit findings for the previous financial year: FY 2018/2019.</p> <p>The Following were the Queries that were raised</p> <p>Quarter Two</p> <ul style="list-style-type: none"> • Non-functional boreholes and Hand Swallow Wells • Nonfunctional water user Committees <p>Quarter Three</p> <ul style="list-style-type: none"> • Delayed implementation of Borehole Construction • Frequent breakdown of Boreholes and Hand pumps due to poor Quality materials • Low absorption Capacity of the Conditioned water and Sanitation Grant 	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The district council committee responsible for water was the works and technical services committee and in its sitting on 12th October 2018, under min No 04/10/SC/2018/2019/ the committee received and discussed the water department performance report and it was indicated that sanitation and hygiene activities were implemented in the district.</p> <p>Safe water coverage was maintained at 76.5% and latrine/ hand washing coverage was reported at 30% and this indicates that service delivery for instance sector performance reports</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>In its sitting held on 30th May 2019, under min No 38/KDLC/05/2018/2019, the district council discussed and approved the water sector standing committee report which recommended re-allocation of 1 borehole from Namwendwa s/c to Bugulumbya S/C, 1 borehole from Namasagali s/c to Magogo s/c and re-allocation of the piped water project from Naminage s/c to Namasagali s/c because of the water scarcity in the area.</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>There was no evidence that the district water department shared information widely to the public to enhance transparency as AWP, budget and water development releases and expenditures were displayed on district notice boards.</p> <p>Although the district held a water sector advocacy meeting on 24th September 2018, there was no evidence to the effect that quarterly grant releases and expenditures were discussed in the meeting.</p>	0
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>The WSS projects I visited were labelled as DWD 61573, funded by DSCG, FY 2018/2019, constructed on 2nd February 2019 by M/s Maa Technologies (U) LTD at Bugaya village in Nabwigulu parish in Nabwiguru sub county.</p> <p>The 2nd bore hole was labelled as DWD 61571 and was funded by DWSCG, FY 2018/2019 constructed on 1st February 2019 by Maa Technologies (U) LTD</p>	2
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was display of contract information for instance contract No KAMU/5517/SURS/2018-2019/00002 for Consultancy services for siting and drilling supervision of 6 bore holes in the district for FY 2018/2019 at a contract sum of UGX 11,700,000 was awarded to M/s LHM ground water exploration and geo mapping services LTD and this information was displayed on 22nd October 2018.</p> <p>Contract No KAMU/571/WKS/ 2018-2019/00001 for drilling, testing pumping, casting and installation of 6 boreholes at a contract sum of 77,090,580 in the district was awarded to awarded to M/s Maa Technologies (U) LTD and this information was displayed on 22nd October 2018.</p>	2
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities apply for water/public sanitation facilities as per the sector critical requirements including payment of community contribution for instance Butatyama village in Bugaywa parish in Butansi sub county application was dated 21st October 2019 and community contribution of UGX 200,000 was paid on 22nd November 2019 via General receipt No 2592.</p> <p>Application form for Malugulya village in Bwiiza parish in Namasagali sub county was dated 19th July 2014 and community contribution of UGX 200,000 was paid on 13th March 2019 via General receipt No 1031.</p> <p>Application form for Bulondo village in Bulunga parish in Mbulamuti s/c was dated 9th January 2019 and community contribution of UGX 200,000 was paid on 14th February 2019 on A/c No 903005785900 in the Names of Kamuli District General fund A/c.</p>	1

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There was evidence of functionality of the district water and sanitation meeting which was held on 19th December 2018 under minute no 05 in which the report from the district water officer was and it indicated that functionality status of 1,216 protected drinking water sources, 91.3% were functional.</p> <p>The 2nd water and sanitation coordination committee meeting sat on 18th April 2019 and under min 05, it was recommended that follow up and repair of 19 community boreholes be carried out by the water department</p>	2
Social and environmental safeguards			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence that the LG water department devised strategies for environmental conservation and management for instance all water projects were environmentally screened on 22nd January 2019 by the district Environment officer for instance, under Environment and social screening, all the sites involved clearing grass, leveling and sinking the bores to reach underground water levels and all the soils produced to be disposed off as per the district waste management procedures. Also, human waste from workers will be disposed properly in latrines and sanitary facilities around the site.</p> <p>According to environmental screening carried out on 22nd January 2019 by the district Environment officer, there was no adverse impacts on natural environment in terms of forests types, natural critical habitats or threatened species that required protection under international agreements.</p> <p>The mitigation measures proposed are that all identified sites should be constructed as the design and layout, construction materials and excavated soils to be disposed off in a regulated manner and priority should be dumping it in marrum barrow pits and measures to enhance local participation in order for the community to own the project were agreed upon between the contractor and the district environment officer on 22nd January 2019.</p>	2
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence availed to the Assessment Team to this effect</p>	0
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was evidence available in contract agreement dated 25th September 2019 for drilling, pump testing, casting and installation of 8 boreholes were clause 2.6 which states that utmost care will be taken during handling and storage of all drilling fluids, oils and greases to avoid environmental and care will be taken to avoid destroying trees but where it's necessary, written permission of the concerned must be sought</p>	1

<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>There was evidence that at least 50% of the WSCs were women and at least one occupying a key position for instance on Budaya village bore which was located in Budaya parish in Namwendwa s/c, the WSC was comprised of 7 members out of which 4 were women and the committee chairperson, Treasurer and Secretary were all women.</p> <p>On Isagazilya village bore hole which was located in Kagumba parish in Kagumba s/c, the WSC was comprised of 7 members and 4 of which were women and both the committee chairperson and secretary were all women.</p> <p>On Busanga village bore hole which is was located in Magogo parish in Magogo s/c, the WSC was comprised of 7 members and 5 of which were women and both the chairperson and Treasurer were women.</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>There was no Public sanitation facilities implemented in the FY 2018/2019.</p>	<p>3</p>