



Local Government Performance Assessment

Kamuli District

(Vote Code: 517)

Assessment	Scores
Crosscutting Minimum Conditions	46%
Education Minimum Conditions	60%
Health Minimum Conditions	55%
Water & Environment Minimum Conditions	70%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	38%
Educational Performance Measures	54%
Health Performance Measures	60%
Water & Environment Performance Measures	53%
Micro-scale Irrigation Performance Measures	10%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Service Delivery Outcomes of DDEG investments Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>The infrastructure projects implemented using DDEG funding were functional and utilized as per the purpose of the project, for instance;</p> <p>Renovation Works at Kinu H/C II (Ref. Page 59 of the AWP and APR page 66 was 100%). The shutters were replaced, the floor and ceiling renovated. The facility was functional and used accordingly as evidenced by high numbers of patients.</p> <p>Improving of operating theatre at nankandulo HC IV (Ref: page 59 of the AWP, and page 67 was 108 %). It was observed that the air condition was installed as well as theatre surgical lights and rubber door stoppers installed. The theatre was functional and utilized by Nankandulo HC IV.</p>	4
2	Service Delivery Performance Maximum 6 points on this performance measure	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	Not applicable.	0

Service Delivery
Performance

Maximum 6 points on
this performance
measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

There was evidence that DDEG funded investment projects implemented in FY 2019/2020 were completed as per the work plan; Ref: Kamuli District approved workplan and quarter 4 performance report.

The LG had planned for the following projects as per the LG approved workplan 2019/2020 as indicated below;

1. Rehabilitation of Kinu HCII II (Ref. PAGE 59 of the AWP and APR page 66 was 100%

2. Five stance latrines at Namwendwa HC IV and Luzinga HCII was 9% page 66 of APR not in the AWP

3. Remodeling of Theatre at Nankandulo HC IV (Ref: page 59 of the AWP, and page 67 was 108 %

4. Rehabilitation of boreholes was (page 95 of the AWP, 100 % page 88 of the APR

The Percentage of completed projects was $(\frac{3}{4}) \times 100 = 75\%$

3

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

There was evidence that the District had budgeted and spent DDEG funds on eligible projects for the previous FY on eligible projects; Ref Q4 LG performance reports, page 56

The total DDEG grant was Ugx. 145,634,000, Ref: page 19 of the LG budget estimates.

1. Construction of Five stance latrines at Namwendwa HC IV and Luzinga HCII budget Ugx.38,000,000 (Ref: page 26 budget estimates and page 65 expenditure was 34%)

2. Monitoring and supervision allowances budgeted at 3 5,000,000 (Ref: page 27 of budget and page 65 performance was 100%)

3. Rehabilitation of KINU HCII at 11,250,000 (Ref: page 27 of the budget and page 66 expenditure was 9%)

4. Remodeling of Theatre at Nankandulo HC IV HC II Ugx, 17,250,000 (Ref: page 28 budget and page 67 of the performance was 107%)

5. Furniture and fixtures at headquarters (desks), budget Ugx 50,000,000 (Ref: page 39 of the budget and page 74 of the performance was 99%)

6. Transport and maintenance equipment budget 8,700,000 (Ref: page 50 of the budget and page 86 of the performance report was 100%)

7. Rehabilitation of boreholes budget Ugx. 31,300,000 (Ref: page 52 of the budget and page 88 of the performance report was 100%)

Total expenditure was (121,182,500/145,634,000) * 100=83.2%

From the above analysis the LG had spent 83% of the budgeted DDEG funds hence was non-compliant.

0

3

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.

0

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0</p>	<p>There was no evidence that information on positions filled in LLGs as per minimum staffing standards was accurate. when the AT visited the three LLGs, they did not find the staff to provide the staffing lists to verify the accuracy of information on positions filled in LLGs</p>	0
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The infrastructure constructed using the DDEG were in place as per reports produced by the LG. For instance.</p> <p>1. Replacement of shutters, working on the floor and the ceiling at Kinu H/C II were completed as per page 66 of the Annual performance report. The renovations were well done and the renovated structure was in place.</p> <p>2. An Incinerator constructed at nankandulo HC IV was completed as per page 67 of the Annual performance report and was in place.</p>	2
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	<p>Not applicable.</p>	0
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>Not applicable.</p>	0

5	Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	Not applicable.	0
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Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	The LG prepared the consolidated recruitment staffing plan for 2021/2022 and submitted it to MoPS. The staffing requirement document was prepared on 29/9/2020 by Ms. Nalumansi Agnes the PHRO and approved by the CAO Mr. Erisu Peter Emwos. It was received at MoPS on 30/9/2020. The recruitment plan covered a total of 235 staff places for recruitment.	2
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	The LG had not conducted the tracking and analysis of staff attendance. The SHRO Officer only had the staff attendance book opened on 19/9/2018 and closed on 22/10/2019	0

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

The LG had 9 departments and appraised HoDs for the FY 2019/2020 as indicated in the following reports.

Mr. Musenero Richard the DPO head of Production was appraised on 2/7/2020 by the CAO with overall performance rating of 66%.

Mr. Mmerewoma Leo the DCDO head of Community based Services was last appraised on 2/7/2019

Mr. Isabirye Robert the DNRO was appraised by the CAO – Ms. Elizabeth Namanda Elizabeth on 30/7/2020 with overall performance rating of 75%

Mr. Bunafamu Robert the District planner was appraised by the CAO on 30/7/2020 with overall performance rating of 70%

Mr. Mulondo Grace the Senior Engineer head of Works and Technical services was appraised by the D/CAO on 29/7/2020 with overall performance rating of 77%.

Mr. Akoyo Charles the DEO head of Education was last appraised by the CAO on 26/7/2018

Dr. Duku Fred the Ag. DHO was last appraised by the A/CAO on 12/8/2015.

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG had a rewards and Sanctions committee was in place established by appointment of members by the CAO on 27/8/2020</p> <p>The committee is comprised of 8 members all appointed on 27/8/2020 by letter Ref: CR/156/1. The committee met in one of its meeting on 13/2/2020 with the following members present.</p> <p>Mr. Erisu Peter Emmwos (D/CAO) – Chairperson</p> <p>Mr. Musenero Richard (DPO) – member</p> <p>Mr. Mulya Nicholas (Ag. PHRO) – Secretary</p> <p>Mr. Isabirye Robert (DNRO) – Member</p> <p>Mr. Wambuga Winfred (For-DEO) – Member</p> <p>Dr. Batesaaki MP Aggrey (DHO) – Member.</p> <p>Under minute 04/KDLG/RSCM/2019/2020: Presentation of sanctions cases for discussion: the members discussed a case of extortion of money from clients by Ms. Tibita Agnes. The members recommended further investigations and requested the CAO to interdict Ms. Kyebatalya Eseza immediately. This was found on page 3 of the minutes endorsed by the chairperson and Secretary.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG had in place the staff grievance redress committee comprised of 4 members appointed by the CAO on 23/7/2020.</p> <p>The members were;</p> <p>Mr. Erisu Peter Emmwos D/CAO – Coordinator of the grievance and consultative committee.</p> <p>Mr. Aduma Geoffrey Will (A/CAO) – Chairperson</p> <p>Nassali Josephine (Principal Nursing Officer) – Member</p> <p>Mr. Makosi Baker (PHRO) – Secretary.</p> <p>However, the committee did not have any minutes or case register log. The Secretary stated that the committee had never registered any complaints and therefore had never met to deliberate.</p>	0

8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	The LG did not provide information on the list of staff recruited in the Previous FY and their payroll.	0
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	The LG provided the list of staff who retired in 2019/2020 and the Pension payroll. However not 100% of staff that retired accessed the pension payroll within two months after retirement. Mr. Mbogo Stephen a parish chief IPPS 749219 retired on 10/4/2020 and accessed Pension payroll in July 2020 Mr. Dhimukika Baidhi Joyce a Head teacher IPPS 170529 retired on 6/1/2020 and accessed pension in November 2020 Ms. Mpangu Florence a Stenographer IPPS 870208 retired on 26/1/22019 and had not accessed her pension. Mr. Kagoda Andrew an ACDO IPPS 169511 retired on 23/10.2019 and accessed Pension in July 2020 Mr. Wakaisuka Mirembe Tafasi an Agriculture Officer IPPS 966122 was retired on 29/10/2019 and accessed pension in June 2020.	0

Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The LG transferred direct DDEG to LLGs in accordance with the requirements of the budget in FY 2019/20. The LG had 14 sub counties and total DDEG funds were 697,899,000, Ref: page 6 of the Performance contract. A total of Ugx. 431,621,784 was transferred to all sub counties in three quarters. Quarter 1 DDEG was transferred to LLGs on 29th August 2019 as verified from vouchers below; • Vr. No. 25197525 to Balowoli S/C worth Ugx. 8,125,284 • Vr. No.25198178 to Namasagali S/C worth Ugx.12,061,797 • Vr. No.25197563 to Butansi S/C worth Ugx.10,037,305 • Vr. No.25198179 to NabwiguluS/C worth Ugx. 8,102,790 • Vr. No.25198180 to Kitayunjw S/C worth Ugx. 12,691,639	2
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- Vr. No.25198177 to Namwenda S/C worth Ugx. 17,145,523
- Vr. No.25197521 to Bulopa S/C worth Ugx. . 9,857,350
- Vr. No.25197776 to Kagumba S/C worth Ugx. 10,712,136
- Vr. No.25197523 to Bugulumbya S/C worth Ugx. 11,724,382
- Vr. No.25197520 to Kisozi S/C worth Ugx. 9,047,553
- Vr. No.25197524 to Wankole S/C worth Ugx. 7,607,914
- Vr. No.25197522 to Nawanyango S/C worth Ugx. 8,597,666
- Vr. No.25197564 to Mbulamuti S/C worth Ugx. 10,014,810
- Vr. No.25197815 to Magogo S/C worth Ugx. 8,147,779

Total transferred= 143,873,928

Quarter 2 DDEG was transferred to LLGs 05th November 2019 as verified from vouchers below

- Vr. No. 26253473 to Balowoli S/C worth Ugx. 8,125,284
- Vr. No.26253535 to Namasagali S/C worth Ugx. 12,061,797
- Vr. No.26253474 to Butansi S/C worth Ugx. 10,037,305
- Vr. No.26253536 to Nabwigulu S/C worth Ugx. 8,102,790
- Vr. No.26253537 to Kitayunjwa S/C worth Ugx. 12,691,639
- Vr. No.26253534 to Namwenda S/C worth Ugx. 17,145,523
- Vr. No.26253469 to Bulopa S/C worth Ugx. 9,857,350
- Vr. No.26253507 to Kagumba S/C worth Ugx. 10,712,136
- Vr. No.26253471 to Bugulumbya S/C worth Ugx. 11,724,382
- Vr. No.26253468 to Kisozi S/C worth Ugx. 9,047,553
- Vr. No.26253472 to Wankole S/C worth Ugx. 7,607,914
- Vr. No.26253470 to Nawanyango S/C worth Ugx.

8,597,666

Vr. No.26253475 to Mbulamuti S/C worth Ugx.
10,014,810

Vr. No.26253518 to Magogo S/C worth Ugx.
8,147,779

Total transferred =143,873,928

Quarter 3 DDEG was transferred to LLGs on 31st
January 2020 as verified from vouchers below

Vr. No. 27753572 to Balowoli S/C worth Ugx.
8,125,284

Vr. No.27841047 to Namasagali S/C worth
Ugx.12,061,797

Vr. No.27753582 to Butansi S/C worth Ugx.
10,037,305

Vr. No.27753575 to Nabwigulu S/C worth Ugx.
8,102,790

Vr. No.27753576 to Kitayunjwa S/C worth Ugx.
12,691,639

Vr. No.27753573 to Namwenda S/C worth Ugx.
17,145,523

Vr. No.27841034 to Bulopa S/C worth Ugx.
9,857,350

Vr. No.27840864 to Kagumba S/C worth Ugx.
10,712,136

Vr. No.27841043 to Bugulumbya S/C worth Ugx.
11,724,382

Vr. No.27841033 to Kisozi S/C worth Ugx. 9,047,553

Vr. No.27841044 to Wankole S/C worth Ugx.
7,607,914

Vr. No.27841035 to Nawanyango S/C worth Ugx.
8,597,666

Vr. No.27753574 to Mbulamuti S/C worth
Ugx.10,014,810

Vr. No.27753581 to Magogo S/C worth Ugx.
8,147,779

Total transferred to LLG= Ugx. 143,873,928

The percentage of DDEG transferred to LLGs was
(431,621,784 / 697,899,000) x 100= 61%

Thus, DDEG funds were transferred to LLGs as per
guidelines and therefore the LG was compliant.

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:</p> <p>Score: 2 or else score 0</p>	<p>From the IFMIS report, GOU Approved Warrant Report Kamuli District Ref: 01-Jul-2019 to 30-Jun-2020, dated 7-12-2020, the District had warranted DDEG funds for the FY 2019/2020 as follows;</p> <ul style="list-style-type: none"> • Q1 DDEG funds cash limits were received on 24th July 2019, warranted on 6th August 2019 • Q2 DDEG funds cash limits were received on 07th October 2019, warranted on 21st October 2020 • Q3 DDEG funds grant cash limits were received on 8th January 2020, warranted on 17th January 2020 <p>From the above, all DDEG transfers were warranted after 5 days from the time of receipt of cash limits from MoFPED, thus the LG was not compliant.</p>	0
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG CAO invoiced and communicated a breakdown on DDEG funds for all quarters as follows.</p> <ul style="list-style-type: none"> • Q1 funds were invoiced on 6th August 2019 and a communication sent on 13th August 2019; Ref CAOs release letter dated 30th August 2019; Ref CAOs release letter dated 13th August 2019 • Q2 funds were warranted on 21st October 2019 and a communication sent on 23rd October 2019; Ref CAOs release letter dated 23rd October 2019 • Q3 funds were warranted on 17th January 2020 and a communication sent on 29th January 2020, Ref CAOs release letter dated 29th January 2020 <p>From the above, there was a delay in communicating Q1 and Q3 DDEG releases to LLGs.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG conducted supervisory/mentoring visits as per DDEG guidelines during FY 2019/2020</p>	0

11	Routine oversight and monitoring Maximum 4 points on this Performance Measure	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/Municipality to make recommendations for corrective actions and followed-up: Score 2 or else score 0	There was no evidence that the TPC discussed the supervision and monitoring visits reports.	0
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Investment Management

12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: Score 2 or else score 0 Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0	The LG had an asset register; however, it was not as per the accounting manual format. Kamuli District maintained one Single asset register as opposed to the requirement of 3 prescribed categories of Assets Registers outlined on Pages 156 to 170 of Local Governments Financial and Accounting Manual 2007. The Assets Register that was in place contained a Mixture of Land Motor Vehicles Laptop Computer. the Staff that were interacted the dynamics of preparing the required three Categories of Assets Registers outlined in the Local Governments Financial and Accounting Manual 2007. In addition, some assets had the purchase values while many others especially land had no values indicated.	0
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12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets: Score 1 or else 0	The LG had the Board of Survey of FY 2018/19 that recommended disposal of assets on page 67 of 82. The LG had a Board of Survey of FY 2019/20 which was submitted to the Accountant General, MoFPED on 29th September 2020 in a letter dated 29th September 2020. The board of survey report of FY 19/20, incorporated recommendations that were part of the previous board of survey. These recommendations included disposal of assets that were non-functional on pages 93 to 100. However, the LG was awaiting clearance from the line Ministry to dispose off assets that were non-functional at the time of the assessment.	1
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12	Planning and budgeting for investments is conducted effectively Maximum 12 points on	c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning	The CAO, on 11th June ,2019 under Ref; CR/156/1. appointed 13 members of the Physical Planning Committee. However, no references were indicated in the appointment letters. Erisu Peter Emwos designated as Chief	2
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this Performance Measure

Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

Administrative Officer (Chairperson)

Bijjumbuko Fred designated as Physical Planner (Secretary)

Lubanga Salimu designated as a Physical Planner in Physical Practice (Member) appointed on 22/7/2019; Ref: CR/156/1

Igadude Festo designated as Staff Surveyor (Member)

Mpaulo James designated as District Agricultural Officer (Member)

Mufumba Daniel designated as District Water Officer (Member)

Dr.Duku Fred designated as a District Medical Officer (Member)

Bakaki Samuel designated as District Senior Environment Officer (Member)

Isabirye Robert designated as District Natural Resources Officer (Member)

Mulondo Grace designated as District Engineer (Member)

Akoyo Charles designated as District Education Officer (Member) appointed on

Mmere Ewoma Leo designated as District Community Development Officer (Member)

Baganzi Ronald Rose designated as Municipal Town Clerk (Member)

The committee was functional during the FY 2019/2020, the minutes of meetings held were submitted to the MoLHUD as presented below:

Meeting 1 was held on 8th January 2020, Ref: Min 05/KPPC/2019/2020 submission of proposed Bugulyumbya Market and Namwendwa Lock Ups. Minutes of the physical planning committee proceedings were submitted to the Ministry of Lands Housing and Urban Development Jinja MZO a letter dated 17th August 2020

Meeting 2 was held on 18th February 2020, Ref: Min 05/DPPC/2019/2020 approval of applications. Minutes of the physical planning committee proceedings were submitted to the Ministry of Lands Housing and Urban Development Jinja MZO a letter dated 17th August 2020

Meeting 3 was held on 11th March 2020, Ref: Min 05/Mar/DPPC/2019/2020 discussed building plans and applications. Minutes of the physical planning committee proceedings were Ministry of Lands Housing and Urban Development Jinja MZO a letter dated 17th August 2020

Meeting 4 was held on 1st June 2020, Ref: Min

05/June/DPPC/2019/2020 discussed physical applications for land and buildings. Minutes of the physical planning committee proceedings were submitted to the Ministry of Lands Housing and Urban Development Jinja MZO a letter dated 17th August 2020

All the 4 sets of minutes were submitted.

12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	d.For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP: Score 2 or else score 0	There was no evidence on desk appraisal of DDEG funded investment projects by the District in FY 2019/2020 at the time of the assessment.	0
12	Planning and budgeting for investments is conducted effectively Maximum 12 points on this Performance Measure	For DDEG financed projects: e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY: Score 2 or else score 0	There was no evidence that the LG conducted field appraisal for investment projects to check for feasibility, environmental and customized design for investment projects.	0

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:

Score 1 or else score 0.

There was evidence that project profiles were developed and prepared for investments in the AWP and the formats were in line with the LG planning guidelines.

The TPC meeting held on 04 March 2020; under Min 04th March 2020 discussed prioritized departmental work plans and project profiles for all departments, page 3.

From a sample of projects, the format of project profiles was as below,

Department Education and Sports

Sector: Education

Budget Code: D-6-312101-20/21-001

Project Name: Construction of a 2-classroom block at Izanyhiro PS

Implementing Agency: Kamuli District Local Government

Location: Izanyhiro Parish, Kisozi Sub-County

Beneficiary: Direct: 106 PUPILS, INDIRECT 766 pupils

Total Planned Expenditure: Ugx. 80,000,000

Funds secured: NIL

Funding Source: School Facilities Grant

Operating expenditure: UGX: NIL

Start Date: July 2020

Completion date: March 2021

Project objectives: To reduce on the pupil: classroom ratio

Background: The schools had four permanent classrooms most of them had temporarily materials which affected concentration and subsequently performance

Technical Description: Construction of classrooms with lightening arrestors

Environmental Plan: Tree planting, flowers and making backfills.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>The LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for;</p> <p>i. Construction of Administration Block phase 3 at the District Headquarters, FY 2020-2021 Kamuli. Screening form filled and endorsed by the Environment Officer Bakali Samuel on 23rd September 2020.</p> <p>ESMP prepared and endorsed by the Senior Environment Officer and Senior CDO Namusoke Susan on 23rd September 2020.</p> <p>Project phase: Construction. Project activity: extraction and transportation of materials. Potential impacts: risks of wasting raw materials. Mitigation measures: accurate estimate of needed materials. Indicator: Quality of waste un used materials. Implementation responsibility: Contractor. Monitoring agency: Project manager, site supervisor, Environment Officer. Time frame: Project completion costed at UGX 700,000. However, since the Senior CDO had not endorsed on the screening forms, the LG scored zero.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>The only 2 Infrastructure projects for the FY2020/21 implemented using the DDEG were incorporated in the LG procurement plan of 2020/2021. For instance:</p> <p>a) Construction of administration block phase III S/No 001 Page 1</p> <p>b) Installation of electricity in all offices and council halls in Nabwigulu Sub-county S/No. 027 Page 3</p> <p>The date for the District Local Government Council Meeting was held on 29th May 2019 at Kamuli Youth Center and so was the Minute. Mim32/KDLC/05/2018-</p> <p>However, PPDA-Office (Home) received on 27th November 2020.</p>	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	Minute of the 108th District Contracts Committee Meeting held in PDU office on 15th September 2020 for the construction of Administration block phase III. Min108/2020/4/4 Procurement Reference No. KAMU 517/Wrks/20-21/00001 worth UGX. 178, 649,582 Vat inclusive. The contractor was: Interbuild Technical services Ltd. The second project is pending procurement. It's under selective bidding, and BOQs have not yet been provided.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	There was evidence of Project Implementation Team also known as Project Management Team in the LG. It included the DCAO= Peter Emwos Erisu, DEO- Charles Akoyo, Project Supervisor- Eng. Mufumba Daniel, DNRO-Isabirye Robert, and DCDO- Mmerewoma Leo. Contract management plan in place received on 12th October 2020.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	From the sample, the following were observed: •Completion of a maternity ward at Kasambira HCII under the medical department Site Visit: Completion of Maternity ward at Kasambira HCII (Bugulumya Sub-county) was not based on the MoH Standard infrastructure designs. The floor was cracked, cracks in the ceiling and incomplete. There was no Completion report. • Construction of a five stance Pit latrines at Kibuye (Balawoli Sub county) Site Visit: Spot measurements of a 5 stance pit latrine at Kibuye primary school (Balawoli Sub county) was generally in good shape with good finishing works. There was no Completion report. • of a five stance Pit latrines at Kakidu Primary school (Namasagali sub-county) Site Visit: A 5 stance pit latrine at Kakidu primary school (Namasagali Sub-county), has a missing apron (Rear veranda was missing) with too steep ramp and missing nail roofs. There was no Completion report.	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	From the sampled infrastructure projects: Completion of a maternity ward at Kasambira HCII under the medical department and construction of two five stance Pit latrines at Kibuye (Balawoli Sub county) and Kakidu Primary school (Namasagali sub-county). However, there was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The evidence available clearly indicated that there was missing information to ascertain whether the LG had verified works (certified) and initiated payments of contractors within specified timeframes as per contract. Therefore, critical information on certifications, requisition by contractor, voucher number, and payment dates were missing on the three sampled projects below: a) Construction of a five-stance line pit latrine at Kibuye Primary school (Balawoli Sub-county) b) Construction of a five stance line pit latrine at Kakindu Primary school (Namasagali Sub-county) c) Construction of a two-classroom block at Nakalanga primary school (Mbulamuti sub-county).	0
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG had a complete Procurement plan for the current FY and it was on file. Contracts register 2020/2021 available. Five pages in total. Procurement files available: For instance: a) Construction of Administration block phase III. Min108/2020/4/4 Procurement Reference No. KAMU 517/Wrks/20-21/00001 worth UGX. 178, 649,582 Vat inclusive. Advert: Open National Bidding dated Friday 21st June 2019 in the Daily Monitor Requisition forms PPF1: 17th June 2020 The Evaluation report dated: 7th September 2020 BEB Notice: 15th September 2020 Letter of Bid Acceptance: 28th September 2020 Bidder's acceptance: 14th October 2020 The contractor: Ms. Interbuild Technical Services Ltd	1

Contract agreement: 14th October 2020

Amount: worth UGX. 179,649,582

b) Supply of assorted Borehole hand pump parts.
Min108/2020/4/3 Procurement Reference No. KAMU
517/SUPLS/20-21/00001 worth UGX. 186, 700,760
Vat inclusive.

Advert: Open National Bidding dated Friday 21st
June 2019 in the Daily Monitor

Requisition forms PPF1: 22nd June 2020

The Evaluation report dated: 7th September 2020

BEB Notice: 15th September 2020

Letter of Bid Acceptance: 28th September 2020

Bidder's acceptance: 8th October 2020

The contractor: Ms. Relief line Uganda

Contract agreement: 14th November 2020

UGX. 186, 700,760 Vat inclusive

c) Construction of a two classroom block at Izanyiro
Primary school (Kisozi Sub county) Min108/2020/4/6
Procurement Reference No. KAMU 517/Wrks/20-
21/00007 worth UGX. 75,024,000 Vat inclusive.

Advert: Open National Bidding dated Friday 21st
June 2019 in the Daily Monitor

Requisition forms PPF1: 22nd June 2020

The Evaluation report dated: 7th September 2020

BEB Notice: 15th September 2020

Letter of Bid Acceptance: 28th September 2020

Bidder's acceptance: 28th September 2020

The contractor: Ms. GKK General Contractors and
Civil Engineers Ltd

Contract agreement: 14th October 2020.

Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	The LG had no evidence of a designated person to coordinate grievance / complaints for the FY 2019-2020. Therefore the LG scored zero.	0
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	At the time of assessment there was no evidence that the LG had specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action, therefore the LG scored zero.	0
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. If so: Score 1 or else 0	At the time of assessment, there was no evidence that the LG had publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. There was no grievance redress committee in place therefore the LG scored zero.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was no evidence that the Environment Socio and Climate change interventions had been integrated into the LG Development Plan, AWP and Budgets Development.	0

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence which indicated that DDEG guidelines were disseminated to LLGs as observed in an email sent to Heads of Departments and Sub County Chiefs dated 11th October 2019, sent by the District Planner, Kamuli District.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>Titling of Institutional land under Natural Resources. However there were no significant environment and social impacts identified and no evidence that the LG incorporated costed Environment and Social Management Plans.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There was evidence of projects with costings of the additional impact from climate change for example;</p> <p>Tree planting at Mafudu Local Forest reserve. Re-afforested with 1 Ha Eucalyptus and 3 Ha Terminalia superba variates. Remarks: Taungya management system adopted. Survival rate at 75%.</p>	3

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There was evidence of a certificate of title for Namwendwa Health Center IV, where the LG had a construction of five (5) stance pit latrine. Detailed: Freehold, Register, Volume JJA 26A FOLIO area 2.860 hectares, Bugabulo County. Ownership Kamuli District. Known as Block (Road) 12 Plot 95 and 96 at Buyingo-Nankandulo Health Center IV. P.o Box 88, Kamuli dated 20th July 2016.</p> <p>However Kasambira Health Centre II, Luzinga Health Centre II and Nankandulo Health Centre IV were not titled therefore the LG scored zero because the indicator required that all projects are implemented on land where the LG has proof of ownership, access and availability in terms of land title, agreement, letter of consent, MoU.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>There was evidence of a monitoring report on construction of five (5) stance lined pit latrines at Namwendwa Health Center IV at Namwendwa. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by Senior Environment Officer Bakali Samuel and Senior CDO Namusoke Susan.</p> <p>ii. Monitoring Report for construction of a five (5) stance lined pit latrine at Luzinga Health Center II at Wankole sub county dated. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by the Senior Environment Officer and Senior CDO.</p> <p>iii. Monitoring Report for completion of maternity ward at Kasambira Health Center II at Bugulumbya sub county. Ref: CR/550/1. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by the Senior Environment Officer and Senior CDO.</p>	1

<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:</p> <p>Score 1 or else score 0</p>	<p>There was evidence of Environment and Social compliance certification forms completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects for example;</p> <p>i. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/01 by M/s Kipede Investments Ltd for construction of five (5) stance lined pit latrine at Namwendwa Health Center IV. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p> <p>ii. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/02 by M/s Kiira Development Group Limited for construction of five (5) stance lined pit latrine at Luzinga Health Center II. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p> <p>iii. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/04 by M/s Pakasa Construction and General Contractors supply and installation of air condition system at Nankandulo Health Center IV. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p>
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Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had carried out Bank reconciliations up to 30th October 2020 at the time of Assessment. For instance,</p> <ul style="list-style-type: none"> • Kamuli DLG TSA. A/C No. 00517528000000 at Bank of Uganda was reconciled up to 30th October 2020 • Kamuli DLG General Fund A/C No. 9030005785900 at Stanbic Bank Kamuli Branch was reconciled up to 30th October 2020 • Kamuli DLG YLP Funds Recovery A/C No. 6410500126 at Centenary Bank Kamuli Branch was reconciled up to 30th October 2020 <p>Kamuli District Women Empowerment Program Recovery A/C No. 6412200003 at Centenary Bank, Kamuli Branch was reconciled up to 30th October 2020</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had prepared all quarterly Internal Audit Reports as indicated below;</p> <p>Quarter 1 was prepared on 19/11/2019</p> <p>Quarter 2 was prepared on 15/03/2020</p> <p>Quarter 3 was prepared on 25/06/2020</p> <p>Quarter 4 was prepared on 23/10/2020</p>	2

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG had provided IA information to the LG Council/Chairperson and the LG PAC.</p> <p>The status reports on IA were submitted and acknowledged by the LG Chairperson and the LG PAC on the following dates;</p> <ul style="list-style-type: none"> • Quarter 1 was submitted on 13/12/2019; Ref: CR/252/1, in a letter dated 13th December 2019, addressed to the Speaker Council • Quarter 2 was submitted on 25/06/2020, Ref:CR/252/1, in a letter dated 25th June 2020, addressed to the Speaker Council • Quarter 3 was submitted on 27/06/2020; Ref: CR/252/1, in a letter dated 27th June 2020, addressed to the Speaker Council • Quarter 4 was submitted on 23/10/2020; Ref: CR/252/1, in a letter dated 23rd October 2020, addressed to the Speaker Council <p>These reports were submitted to the District Speaker and copied to the, Office of the Internal Auditor, Ministry of Finance, Planning and Economic Development, Permanent Secretary, Ministry of Local Government, Inspector General of Government, Auditor General, Chairman Audit, Resident District Commissioner, District Public Accounts Committee, Chief Administrative Officer.</p>	1
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was no evidence provided on review of the internal audit reports by the LG PAC by the time of the assessment</p>	0
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The revenue collected was not within the required +/- 10 %.</p> <p>From the Draft financial statements 2019/20, page 28 of the Statement of Local revenue was projected at Ugx 504,176, 947 and the Actual local revenue collection realized was Ugx 184, 289,937, Ref: page 28 of the statement revenues collected during the year . This translated into a revenue collection ratio of 36.5% which was 63.4 % short of target and was outside the required range of +/- 10% range.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The LGs OSR decreased in FY2019/20 compared to FY 2018/19</p> <p>Total of OSR for FY2018/2019 was Ugx. 244,695,083 as shown on page 31 of the Audited Financial Statements ended 30th June 2019.</p> <p>Total of OSR for FY 2019/2020 was Ugx. 184,289,937 as shown on page 28 of Financial statement ended 30th June 2020.</p> <p>Thus Ugx. 184,289,937 (FY 2019/2020) minus Ugx 244,695,083 (FY 2018/2019)</p> <p>There was a decrease of Ugx 60,405,146</p> <p>Which was $(60,405,146 / 244, 695, 083) \times 100 = 24.6\%$</p> <p>This was a decrease in revenue collection, of 24.6% which was not within the required range of 5-10%.</p>	0
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>There was evidence that the LG remitted the mandatory LLG share of local revenues as per 85 of LGA and fifth schedule (part V)</p> <p>Local Revenue collected was Ugx. 184,289,937, as shown on page 28 of Draft Final Accounts FY 2019/2020</p> <p>The LG shareable revenues was LST worth Ugx 31,136,250 which was transferred in a release letter dated 17th March 2020 prepared by the CFO and approved by the CAO and as per verified vouchers below</p> <p>Vr.28452834 to Balawoli S/C worth Ugx. 2,519,287</p> <p>Vr.No.28452826 to Namasagali S/C worth Ugx. 2,202,174</p> <p>Vr.No.28452835 to Butansi S/C worth Ugx. 1,549,025</p> <p>Vr.No.28452827 to Nabwigulu S/C worth Ugx. 2,500,213</p> <p>Vr.No.28452828 to Kitayunjwa S/C worth Ugx.</p>	2

1,990,816

Vr.No.28452825 to Namwendwa S/C worth Ugx.
2,758,501

Vr. No. 28452830 to Bulopa S/C worth Ugx.
2,524,261

Vr.No.28452818 to Kagumba S/C worth Ugx.
1,789,261

Vr.No.28452832 to Bugulubya S/C worth Ugx.
2,744,030

Vr.No.28452829 to Kisozi S/C worth Ugx. 1,972,121

Vr.No. 28452833 to Wankole S/C worth Ugx.
1,976,441

Vr.No.28452831 to Nawanyango S/C worth Ugx.
1,989,521

Vr. No. 28452836 to Mbulumuti S/C worth Ugx.
2,849,609

Vr.No. 28452821 to Magogo S/C worth Ugx.
1,770,990

Total remittances were Ugx. 31,136,250

On 27th March 2020, the LG transferred loyalties
worth Ugx. 8,863,750 to LLGs as follows;

Vr.No. 28794420 to Magogo S/C worth Ugx.
2,663,750

Vr.No. 28794423 to Mbulamuti S/C worth
Ugx.3,500,000

Vr.No.28794422 to Kisozi S/C worth Ugx.2,700,000

Total loyalties = Ugx. 8,863,750

The District remitted mandatory LST and loyalties to
LLGs as per Section 85 of LGA and Fifth Schedule
(Part V).

Transparency and Accountability

21

LG shares information
with citizens

Maximum 6 points on
this Performance
Measure

a. Evidence that the
procurement plan and
awarded contracts and all
amounts are published: Score
2 or else score 0

The procurement plan and awarded contracts and
amounts were published on notice board by Mawejje
Andrew- The CAO.

2

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the LG published the LGPA results for 2018/19 as observed from the Kamuli website http://www.kamuli.go.ug which was accessed on 8th December 2020.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence on discussions with the public to provide feedback on status of activity implementation as observed from;</p> <p>Radio talk show on community service that was held on 30th June 2020 at Sebo FM between 7-8, compiled by the Community Service Officer.</p> <p>A report on the radio talk show dated 29th June 2020 by the Kamuli District Local Government of Wetland Management held at Kamuli Broadcasting Services (KBS), prepared by the Senior Environment Officer</p> <p>Radio Talk show report on community service that was dated 26th February 2020 at Kamuli Broadcasting Services (KBS FM), prepared by the Community Service Officer</p> <p>Radio talk show report on Community Service that was held on 10th February 2020 at Sebo FM prepared by the Community Service Officer. Aim to inform what community service is, benefits, who qualifies</p> <p>Radio talk show to sensitize all stakeholder's on the Striga Ordinance in 4 subcounties, Nabwigulo, Bulopa, Namwendwa, Kitayunjwa, on 24th September 2019 prepared by the District Agricultural Officer</p> <p>A report on radio talk show held at Kamuli Broadcasting Services (KBS FM), held on 3rd January 2020. Prepared by the Natural Resources Department. Focused on discussing activities under Natural Resources by the District</p> <p>Radio talk show held on 3rd September 2019 at Ssebo FM, inspector of schools and discussed activities accomplished Term II, major activities, term III, planning for term II, REPORT was prepared by Inspector of schools.</p> <p>Radio talk show held 27th September 2019 by the health department in collaboration with implementing partners, sensitization on health FP, prepared by the DHO,Kamuli.</p>	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was no evidence that information related to tax rates, collection procedures and procedures for appeal were displayed to the public.</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There was no evidence on preparation of the IGG report because fraud related issues were minor and were discussed by management and dropped thus there was no need to prepare an IGG report with issues and actions taken by the LG.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The LG PLE pass rate had not improved by negative 10.4% between the previous school year but one and the previous year. For example, in 2018 the total number of candidates for PLE was 9,837. Those who passed were 6,644. The total number of those who passed between Grade 1 and III was 6,644 which gave a % of $6,644/9,837 \times 100 = 67.4\%$</p> <p>In 2019 the candidates who sat for PLE were 9,843 and those who passed in between Grade I and III were 5,615 pupils who passed between Grade 1 and III. Therefore, the % increase was $5,615/9,843 \times 100 = 57.0\%$</p> <p>Therefore, Increase was $57.0\% - 67.4\% =$ negative 10.4%</p> <p>There was no increase.</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The UCE pass rate had not improved by a negative 1.0% between the previous school's year but one and the previous year, for example:</p> <p>In 2018, the number of candidates who sat for UCE was 1,441. Those who passed between Division 1 and 3 were 455.</p> <p>Therefore, the pass rate was $455/1,441 \times 100 = 31.5\%$.</p> <p>In 2019, the number of candidates was: 1,577.</p> <p>The total number who passed between grade I and III was 480 out of 1,577 candidates.</p> <p>Therefore, the pass rate was $480/1,577 \times 100 = 30.4\%$</p> <p>Therefore, the performance improvement was $30.4\% - 31.5\% =$ negative 1%.</p>	0
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	<p>There was no information availed by from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The education development grant had been used on eligible activities as defined in the sector guidelines. For example, the grant was used for construction of 5 stance lined latrine costing UGX 18,471,645 at Kibuye P/S and at Nakalanga P/S where they had constructed 2 classroom block at the cost of UGX 70,115,089, The DEO certified the request for payment on 17/6/2020 and payment was made on 25/6/2020 for the amount of UGX 12,315,360 and DEO certified payment for 2 classroom block at Nile P/S costing 54,094,403 where payment was made on 25/6/2020 in 2019/2020.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors. For example, the DEO certified works for construction of 5 stance lined latrine costing UGX 18,471,645 at Kibuye P/S dated 18/6/2020 which was paid 25/6/2020 and at Nakalanga P/S where they had constructed 2 classroom block at the cost of 70,115,089 and 2 classroom block at Nile P/S costing 54,094,403, certified on 21/2/2020 and paid on 18/3/2020.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>There was no information availed by from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>There was no information availed by both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	<p>The LG had recruited 1,920 primary school teachers in 164 schools with a budget of UGX 13,309,126,665 as per the prescribed MoES staffing guidelines.</p>	3
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>129 Primary schools out of 164 Primary schools in the LG met the basic requirements and minimum standards. Therefore $129/164 \times 100 = 78.6\%$. The % was above 70%.</p> <p>The percent was above 70%. For example, the 3 sampled schools, Butende P/S, Butegere P/S and Nabwigulu P/S had the following:</p> <p>National Flag and Flag pole, a Mission statement, a School Motto, a Vision, a School Management Committee and school records.</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The LG had not accurately reported on teachers and where they were deployed according to teacher deployment list dated 10/6/2020. For example, in Butegere P/S which was sampled had Awora Robinah on the list and yet she had been transferred to another school while at Nabwiguli P/S, Mutamba Juliet was missing on the school list and Sarah Nabirye had been transferred but not removed from the staff list.</p>	0
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was evidence that LG had a school asset register accurately reporting on the infrastructure in all 164 registered primary schools dated 16/6/2020.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>The LG had not ensured that all 164 registered primary schools complied with MoES annual budgeting and reporting guidelines.</p> <p>No reports were available at the time of assessment.</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>Some UPE schools were supported to prepare and implement SIPs during a training by the DEO's office in partnership with UNICEF dated 11/9/2019.</p> <p>However, no School Improvement plans were prepared by the 3 sampled schools namely, Butende P/S, Butegere P/S and Nabwigulu P/S, at the time of assessment.</p>	0

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>There was evidence that the LG had collected return forms from all the 164 registered schools from the previous year, dated 20/9/2019 and acknowledged on 20/9/2019.</p> <p>Therefor $164/164 \times 100 = 100\%$.</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>There was evidence that the LG had budgeted for a head teacher and a minimum of 7 teachers per school for the current FY 2020/2021.</p> <p>For example, the approved current year budget was UGX 13,309,126,665 to pay 1,920 (Head teachers and teachers).</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>There was evidence that the LG had deployed 1,920 teachers. For example:</p> <p>Butende P/S had a head teacher and 17 teachers, Butegere P/S had a head teachers and 10 teachers and NabwiguluP/S had a head teachers and 20 teachers.</p>	3
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>There was evident that the teacher deployment data was disseminated and displayed at the district education offices dated 1/7/2020. However, the 3 sampled schools did not display the information on their noticeboards outside. However, the information was instead, displayed in their offices.</p>	1
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8 Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

The LG had 165 primary schools with each of them headed by a Head Teacher. Only 74 head teachers had performance reports for the year 2019.

0

8 Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM

Score: 2 or else, score: 0

The LG did not provide performance reports for Secondary School Head Teachers.

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

c) If all staff in the LG Education department have been appraised against their performance plans

score: 2. Else, score: 0

The LG had recruited 8 staff in the Education Department and these were appraised as follows.

1. Ms. Kisa Alitwala Annet the Inspector of Schools was appraised by the SIS on 20/8/2020 and endorsed by the Principal Education officer and the D/CAO on the same date with overall performance rating of 4.

2. Ms. Aliyinza Betty Mulemezi the SEO was appraised by the DEO on 2/7/2020 and endorsed by the D/CAO on 18/8/2020 with overall performance rating of 4 (80%)

3. Mr. Akoyo Charles the DEO head of Education was last appraised by the CAO on 26/7/2018

4. Mr. Kanakulya Ibrahim the SIS was appraised by the Principal Education Officer on 20/8/2020 and endorsed by the DEO and D/CAO on the same date with overall performance rating of 4.

5. Lyada Denis the Inspector of Schools was appraised by the SIS on 20/8/2020 and endorsed by the DEO and D/CAO on the same date with overall performance rating of 4

6. Mr. Waibi Joseph the PEO was appraised by the DEO on 16/7/2020 and endorsed by the D/CAO on 19/8/2020 with overall performance rating of 4

7. Mr. Bamwange Florence the Sports Officer was appraised by the SEO on 17/8/2020 and endorsed by the D/CAO on 19/8/2020 with overall performance rating of 4.

7.

8. Mr. Mulondo Simon Andrew the Education officer was appraised by the SEO on 19/8/2020 and endorsed by the DEO and D/CAO on the same date with overall performance rating of 4.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,

score: 2 Else, score: 0

The LG had prepared a training plan dated 3/1/2020 to address the capacity gaps namely, lack of School Assets Registers which was raised in Term 3 Inspection Report dated 15/8/2019 under Min.4/2019.

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The LG had confirmed in writing the list of 164 schools, their enrolment of 94,436 pupils and 1,920 teachers and budget allocation of 13,309,126,665 in the Programme Budgeting System (PBS) by December 15th as indicated in the submission on 20/9/2019.</p>	2
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines as indicated in the Annual work plan and budget for 2019/2020. The total amount allocated for inspection and monitoring was UGX 69,641,955.</p>	2
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG submitted the PBS time stamp capitation grants for three quarters. This was on the PBS, GOU Approved Warrant Report Kamuli District Ref: 01-Jul-2019 to 30-Jun-2020, dated 7-12-2020 and GoU Cash Limits Report as indicated below;</p> <ul style="list-style-type: none">• Term 1 capitation grant cash limits were received on 24th July 2019 and warranted on 6th August 2019.• Term 2 capitation grant cash limits were received on 08th January 2020 and warranted on 28th January 2020• Term 3 capitation grant cash limits were received on 14th April 2020 and warranted on 28th April 2020 <p>All capitation warrants were submitted beyond 5 days; from the date of receipt of cash limits thus the LG was non-compliant.</p>	0

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was evidence that the Capitation release information was publicized on the notice boards at the DEO's office and in the schools sampled.</p> <p>For example, in Quarter 1, Butegere P/S received UGX 3,090,000 on 15/9/2019, in quarter 2, they received 3,190,000 on 3/2/2020 and received UGX 3,190,000 on 15/5/2020 in quarter 3.</p> <p>In Quarter 1, Butende P/S received UGX 3,120,000 on 17/9/2019, in quarter 2, the school received UGX 3,160,000 on 19/2/2020 and in quarter 3, the school received UGX 3,160,000 on 6/7/2020(due to COVID 19). Nabwigulu P/S received UGX 3,454,000 on 11/9/2019 in quarter 1, received UGX 3,454,000 on 11/2/2020 in quarter 2 and received UGX 3,454,000 on 18/5/2020 in quarter 3.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that the LG Education department had prepared inspection plans dated 9/8/2019 and the amount allocated was UGX 69,641,955.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 	<p>There was evidence that all the 164 UPE schools were inspected and monitored according to the report dated 19/9/2019 in 2019.</p> <p>For example, ButendeP/S was inspected on 15/8/2019, Nabwigulu P/S was inspected on 16/10/2019 and Butegere P/S was inspected on 24/10/2019. Therefore $3/3 \times 100 = 100\%$.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that the inspection reports had been discussed and used for corrective actions which were subsequently followed-up. For example, in a meeting held on 2/8/2019, the DEO's office discussed the issues of, Teachers' failure to make schemes of work, under Min.03/2019, raised in the inspection report of term II in 2019.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was evidence that the DIS and DEO had presented findings from inspection and monitoring results to the Directorate of Education Standards (DES) on 30/9/2019 and acknowledged on 30/9/2019. However, no Inspection copies of term 3 were left at Butegere P/S, Butende P/S and Nabwigulu P/S which were sampled.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Council committee responsible for education discussed and reviewed service delivery issues as follows:</p> <p>The LG had an Education and Health Committee which had discussed education service delivery issues as follows.</p> <p>The Committee meeting held on 05/09/2019, Min 04/SEPT/2019, discussed seed school construction, monitoring and supervision of teachers, inspection of schools to curb absenteeism and recommendations made for follow up. Page 2-5.</p> <p>The Committee held a meeting on 13/11/2019, and under Min No.11/Nov/2019, discussed education departmental service delivery issues which included construction of laboratory at Mbulamuti, irregular attendances that was exhibited by headteachers, lack of teachers houses, toilets and furniture, headteachers with ungraded pupils were summoned, page 3.</p> <p>Council meeting held on 9th March 2020, and under Min. No.16/March/SC/2020, discussed supervision of 123 primary schools, mentoring teachers, monitoring attendance, reporting of PLE exams 2019, presented the budget framework, irregular attendance pages 2-3.</p> <p>Council meeting held on 7th May 2020 Min. No.22/May/SC/2020, discussed activities conducted in quarter 2, training of 100 teachers in 40 UNICEF supported schools, status on capital development projects, presented the education workplan 2020/21 which was scrutinised and approved pages 3-4.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, score: 2 or else score: 0</p>	<p>There was evidence that the LG Education department had conducted activities to mobilize, attract and retain children in a training which was conducted on 11/9/2019 at Kamuli Township P/S for sensitisation of SMC members on roles and responsibilities and elimination of violence against children.</p>	2

12	Planning and budgeting for investments	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, score: 2, else score: 0	The 3 sampled schools, namely, Butegere P/S, Butende P/S and Nabwigulu P/S had not prepared an up to-date LG assets' register which set out school facilities and equipment relative to basic standards	2
	<i>Maximum 4 points on this performance measure</i>			
12	Planning and budgeting for investments	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0	<p>The investment projects for education were derived from the development plan, prioritized in the AWP and discussed by the DTPC.</p> <p>From Kamuli LG District Development Plan (DDP II) 2015/16-2019/20 dated May 2015, page 142 of 254 of the DDP. The following projects were derived from the DDP plan and incorporated into the AWP</p> <ul style="list-style-type: none"> • Construction of a three-classroom block with office and store projected at 700,000,000, which was projected at Ugx. 280,000,000 on page 65 of the AWP • Construction of 5 stance lined pit latrines projected at Ugx. 400,000,000 which was reflected on page 65 of the AWP at 42,849,000 • Construction of teacher houses projected at 700,000,000 was reflected page 66 at 150,000,000 in AWP • Procurement of a 3-seater desks projects at 50,000,000 was reflected on page 66 in the AWP at 53,917,000 <p>However, there was no evidence that the LG had conducted the desk appraisals for all for the sampled projects mentioned above.</p>	0
	<i>Maximum 4 points on this performance measure</i>			
12	Planning and budgeting for investments	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0	By the time of the assessment, there was no evidence provided on project field appraisal for education projects.	0
	<i>Maximum 4 points on this performance measure</i>			

Procurement, contract management/execution

Maximum 9 points on this performance measure

a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, *score: 1, else score: 0*

Education infrastructure projects were incorporated in the Kamuli District LG consolidated procurement plan. However, there was evidence of receipt and submission date to CAO: Unknown.

The department of Education submitted their 10 sector infrastructure projects to PDU on unknown date.

a) Construction of a 2 classroom block at Bulimira Primary school (Balawoli Sub-county). S. No. 016 page 2

b) Construction of a 2 classroom block at Kinawapere Primary school (Namwenda Sub-county). S. No. 016 page 2

c) Construction of a 2 classroom block at Izanyiro Primary school (Kisozi). S. No. 016 page 2

d) Construction of a 2 unit teacher's house at Kasaka Primary school (Bulopa Sub-county). S. No. 018 page 2

e) Construction of a 5 stance line pit latrine at Nabirama Primary school (Butasi Sub-county). S. No. 019 page 2

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>From the District Contracts Committee minutes, the following information was captured:</p> <p>Minutes of the 108th District Contracts Committee Meeting for the FY 2020/21 held on 15th September 2020 in PDU Office KDCC108/2020/4 for awarding.</p> <p>Contract Committee Chairperson: Mr. Isabirye Robert the DNRO.</p> <p>Minutes of the 108th District Contracts Committee Meeting for the FY 2020/21 Awarding contracts held on 15th September 2020 in PDU Office.</p> <p>a) Construction of a 2 classroom block at Kinawapere Primary school (Namwenda Sub-county). S. No. 016 page 2. Procurement Ref. No. KDCC108/2020/4/7</p> <p>b) Construction of a 2 classroom block at Izanyiro Primary school (Kisozi). S. No. 016 page 2 Procurement Ref. No. KDCC108/2020/4/6</p> <p>c) Construction of a 2 unit teacher's house at Kasaka Primary school (Bulopa Sub-county). S. No. 018 page 2 Procurement Ref. No. KDCC108/2020/4/8</p> <p>However, for the FY 2020/2021, there was a Kitayunjwa Seed Secondary School Procurement Ref. No. MoES536.Ugift/Wrks/18-19/00119 Lot 27 whose cost was UGX. 1919700640 Vat Exclusive</p> <p>Therefore, there were no education infrastructure investments requiring SG approval apart from the Seed school 9th April 2019.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>Not all the Education/ school infrastructure projects followed the MoES technical designs.</p> <p>Spot measurements of a 5 stance pit latrine at Kibuye primary school (Balawoli Sub-county),</p> <p>Specifically:</p> <ul style="list-style-type: none"> • Spot measurements of a 5 stance pit latrine at Kibuye primary school (Balawoli Sub-county) were generally in good shape with good finishing works. • A 5 stance pit latrine at Kakidu primary school (Namasagali Sub-county), has a missing apron (Rear veranda was missing) with too steep ramp and missing nail roofs. • The two classroom block at Nakabaga primary school had cracked floors and each had one door as opposed to the two-door on the architectural doors. However, the roofs were G.28 and installed lightning arrestors as per specifications in the BOQ. • Kitayunja Seed Secondary School. The school is generally behind schedule and incomplete on all the buildings including the classroom blocks, science laboratories, ICT-Library room block, multi-purpose hall, Administration block, teachers houses, 2& 5 stance pit latrines, external works, rainwater harvest, and sports field all be 55% actual status. <p>There was Clerk of Work's monthly construction reports for 22nd June 2020. Clerk of Works' names was withheld and he was not readily available on-site at the time of the visit.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>	0

Procurement, contract management/execution

Maximum 9 points on this performance measure

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

There was evidence that the sector infrastructure projects had been properly executed and payments to contractors made within specified timeframes as per the contracts, for instance ;

- For the construction of 1 classroom Block at Nakulabye P/S the Contractor submitted the request for works/payment certification on 25th May,2020 by MS Pakasa General contractors Ltd, was certified by the DEO on 11th June,2020 and by DEO on 10th June,2020 and IPC1 paid on 11th June,2020 with Voucher No.30503867 on 13th July,2020 amounting to Ugx.29,790,926 (taking 1 working day)

- For the construction of a 1 classroom Block at Nile P/S in Kisozi sub county the Contractor submitted the request for works/payment certification on 21st February,2020 by MS Jonah Associates Ltd, was certified by the DE on

25th February,2020 and by DEO on 25th February,2020 and IPC1 paid on 24th July,2020 with Voucher No.28428922 amounting to Ugx.59,854,555 (taking 4 working days)

Payments were made based on certification and completion of works.

Procurement, contract management/execution

Maximum 9 points on this performance measure

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0

Sector procurement plan was not submitted

Contract implementation progress reports: for instance:

a) Quarter one FY2019/20 Procurement Report for Kamuli District Local Government dated 10th October 2019 written to the PPDA (Home)

b) Quarter two FY2019/20 Procurement Report for Kamuli District Local Government dated 4th February 2020 written to the PPDA (Home)

c) Quarter three FY2019/20 Procurement Report for Kamuli District Local Government dated 14th May 2020 written to the PPDA (Home)

d) Quarter four FY2019/20 Procurement Report for Kamuli District Local Government dated 15th July 2020 written to the PPDA (Home)

Contract Committee Chairperson: Mr. Musenero Richard, Production Officer

Member present:

Isabirye Robert- Member

Mulemezi Betty- Member

Mwiru Emmanuel- Senior Procurement Officer

Minutes of the 106th District Contract Meeting held on 31st October 2019 at the PDU offices. Approval of Evaluation reports for Education projects approved under Open National Bidding FY 2019/20.

Construction of a 2 CLASSROOM BLOCK AT Nakalaga Primary School (Mbulamuti Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00005

Construction of a 2 classroom block at Nile Primary School (Kisozi Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00006

Construction of a 2 Unit Teachers house at Nakyaka primary School (Butasi Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00008

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

Procurement files for school infrastructure projects evidence on file showed that the LG had a complete procurement file for each school infrastructure contract with all records in the Previous FY.

Minutes of the 106th District Contract Meeting held on 31st October 2019 at the PDU offices.

Construction of a 2 classroom block at Nakalaga Primary School (Mbulamuti Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00005

Advert: Open National Bidding dated 21st June 2019

in the Daily Monitor

Requisition forms PPF1: 13th September 2019

The Evaluation report dated: 24th October 2019

Minutes of KDCC106/2019/3/6 District Contracts Committee Meeting decision date: 31ST October 2019

Procurement Ref. No. KAMU517/WRKS/19-20/00005

BEB Notice: 31st October 2019- 13th November 2019 for display and removal.

Letter of Bid Acceptance: 13th November 2019

Bidder's acceptance: 13th November 2019

The awardee contractor: Ms. Mulungi Investments Ltd

Contract agreement: 28th November 2019

Amount: worth UGX. 70,115,069.

Construction of a 2 classroom block at Nile Primary School (Kisozi Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00006

Open National Bidding dated 21st June 2019 in the Daily Monitor

Requisition forms PPF1: 13th September 2019

The Evaluation report dated: 24th October 2019

Minutes of KDCC106/2019/3/7 District Contracts Committee Meeting decision date: 31st October 2019

Procurement Ref. No. KAMU517/WRKS/19-20/00006

BEB Notice: 31st October 2019- 13th November 2019 for display and removal.

Letter of Bid Acceptance: 13th November 2019

Bidder's acceptance: 25th November 2019

The awardee contractor: Ms. Jofah Associates Ltd

Contract agreement: 28th November 2019

Amount: worth UGX. 67,026,377.

Construction of a 2 Unit Teachers house at Nakyaka primary School (Butasi Sub county) Procurement Ref. No. KAMU517/WRKS/19-20/00008

Advert: Open National Bidding dated 21st June 2019 in the Daily Monitor

Requisition forms PPF1: 12th September 2019

The Evaluation report dated: 24th October 2019

Minutes of KDCC106/2019/3/8 District Contracts Committee Meeting decision date: 31st October 2019

Procurement Ref. No. KAMU517/WRKS/19-20/00008

BEB Notice: 31st October 2019- 13th November 2019 for display and removal.

Letter of Bid Acceptance: 13th November 2019

Bidder's acceptance: 25th November 2019

The awardee contractor: Ms. Interbuild Technical Services Ltd

Contract agreement: 28th November 2019

Amount: worth UGX. 71,760,586 Exclusive of VAT.

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>At the time of assessment, there was no evidence that grievances had been recorded, investigated, responded to and recorded in line with the grievance redress framework because the LG had not yet established a grievance redress committee.</p>	0
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence of only one school out of the three sampled that LG disseminated the Education Guidelines on Budgeting and Implementation Guidelines for Primary schools and Secondary schools on 26/11/2019., Nabwigulu P/S had received a copy at the time of assessment.</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was no evidence that the LG had in place a costed ESMP and had them incorporated within the BoQs and contractual documents, for example;</p> <p>i. Contract agreement for construction of two (2) classroom block at Nile primary school under Education department. Ref: 517/works/2019-2020/00006. Contractor M/s Paujose Investment limited. Environment and social requirements not captured.</p> <p>ii. Contract agreement for construction of a one (1) classroom block at Nakulabye primary school in Wankole sub county, under Education department. Ref: 517/works/2019-2020/00020. Contractor M/s Paujose Investment limited. Environment and social requirements not captured. BoQ item D Environment issues costed at UGX 50,000.</p> <p>iii. Contract agreement for construction of three (3) classroom block at Galinanda primary school under Education department. Ref: 517/works/2019-2020/00007. Contractor M/s Paujose Investment limited. Environment and social requirements not captured.</p> <p>The assessment team noted that Environment and social management plans were not properly described in the BoQs</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was evidence of a MoU between the school foundation body and the district of Galinandha primary school consented and signed by the foundation body members like Mwasa Stephen chairperson SMC on 16th March 2020. Endorsed by the CAO Kamuli District.</p> <p>ii. An MoU between the school foundation body and the district of Nile primary school consented and signed by the foundation body members like Balliruno Bethel the head teacher and secretary SMC on 3rd March 2020. Endorsed by the CAO Kamuli District.</p> <p>However there was no evidence of consent letters or a MoU for Nakulabye primary school therefore the LG scored zero because he indicator required proof of land ownership for all.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, *score: 2, else score:0*

There was evidence of a monthly Monitoring report for Education department 2019-2020 that had monitoring's of different projects for example;
i. Monitoring report for construction of a two (2) Classroom Block at Nile primary school in Kisozi sub county under SFG dated. Report was dated 30th June 2020 prepared by the Senior CDO and Senior Environment Officer. Description of mitigation measures in ESMPs obtain consent from users of land for the facility. Responsible party: District. Monitoring indicator assessed: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness: good.

ii. Monitoring report for construction of a three (3) Classroom Block at Galinanda primary school in Nawendwa sub county under SFG dated. Report was dated 30th June 2020 prepared by the Senior CDO and Senior Environment Officer. Description of mitigation measures in ESMPs obtain consent from users of land for the facility. Responsible party: District. Monitoring indicator assessed: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness: good.

iii. Monitoring report for construction of a one (1) Classroom Block at Nakulabye primary school in Wankole i sub county under SFG dated. Report was dated 29th June 2020 prepared by the Senior CDO and Senior Environment Officer. Description of mitigation measures in ESMPs obtain consent from users of land for the facility. Responsible party: District. Monitoring indicator assessed: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness: good.

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence that Environment and social certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments for example;

i. Certification form No. KDLG/550/1/2019-2020/07 for construction of a one (1) Classroom block at Nakulabye primary school under SFG, Wankole sub county. Project contractor: M/s Pakasa construction and General contractors. Project phase completion phase. Project activity: Construction of one classroom block. Include fitting and roofing, plastering and painting. Negative environment and social impacts: destruction of grass, mitigation measures: replanting at site surrounding with grass. Implementation progress: paspalum grass planted around the sites. The Environment Officer and Senior CDO certified that mitigation measures as identified in the ESMP and project work plan had been addressed satisfactorily and there recommended approval of works on 10th July 2020.

ii. Certification form No. KDLG/550/1/2019-2020/06 for construction of a two (2) Classroom block at Nile primary school under SFG, Kisozi sub county. Project contractor: M/s Jofar associates limited. Project phase completion phase. Project activity: Construction of the buildings Include excavation of foundation, walling plastering, fitting. Negative environment and social impacts: destruction of grass, mitigation measures: replanting at site surrounding with grass. Implementation progress: paspalum grass planted around the sites. The Environment Officer and Senior CDO certified that mitigation measures as identified in the ESMP and project work plan had been addressed satisfactorily and there recommended approval of works on 16th July 2020.

iii. Certification form No. KDLG/550/2019-2020/05 for construction of 1 Classroom block at Nakulabye primary school under SFG, Namwendwa sub county. Project contractor: M/s Paujose Investments limited. Project phase completion phase. Project activity: Construction of three classroom block activities, excavation of foundation, walling, plastering, finishing. Negative environment and social impacts: destruction of grass and trees at site. mitigation measures: replanting at site surrounding with grass. Implementation progress: paspalum grass planted around the sites. The Environment Officer and Senior CDO certified that mitigation measures as identified in the ESMP and project work plan had been addressed satisfactorily and there recommended approval of works on 16th July 2020.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>Sampled 3 health facilities: Nakandalo HC IV, Nabirumba HC III and Kitayunjwa HC III and compared the total OPD for FY 2018/19 with that of 2019/20; and also compared total deliveries for FY 2018/19 to those of 2019/20.</p> <p>OPD: Total FY 2019/20 was 52,314 and total OPD FY 2018/19 was 49,686. Difference between the two was 2628, a positive change of 5.3%</p> <p>Deliveries: Total deliveries FY 2019/20 was 1619, while total deliveries FY 2018/19 was 1594. The difference between the two FYs was 25, giving a positive change or increase by 1.6%.</p> <p>Increase in service utilization was less than 20%</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 2 • 50 – 69% score 1 • Below 50%; score 0 	na	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> • Above 75%; score 2 • 65 – 74%; score 1 • Below 65% ; score 0 	<p>Kamuli district had 17 Health facilities participating in Result Based financing (RBF). When they were last assessed on 15th October 2020.Naminage HC III 89%; Bulawoli HC III scored 88%; Bugulumbya HC III 93.7%; Bulopa HC III scored 88.8%; Bupadhengo HC III scored 91.2%; Butansi HC III scored 88%;Kitayunjwa 88%; Lulyambuza HC III 93%; Mbulamuti HC III 91.7%; Nabirumba HC 86%; Namasagali HC III 89.9%; Nawanyago HC III 89.6%; Budhatemwa HC III 78.8%; Bugeywa HC III 88%; Nabulezi HC III 77.6%; Namwendwaa HC IV 92.4%; Nankandulo HC IV 88.3%. Average score (1,502/17) = 88.4%</p>	2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

There was evidence that the LG budgeted and spent the health development grant on eligible activities.

The total health grant was Ugx. 84,712,000 Ref: page 22 of the LG budget estimates.

According to the LG budget estimates, Ref: page 22 the following were budgeted for;

1. Retention on latrine worth 2,519,000 (Ref: page 26 and expenditure was 2,378,000 which was 94% as per page 65 of the Q4 report

2. Monitoring 4,236,000 page 27 and expenditure was 4,236,000 which was 100% as per page 70 of the performance report

3. Construction of incinerator worth Ugx 6,379,000 (Ref: page 27 of the budget which was spent at 5,482,000 as per page 66 of the performance

4. Kasambira Maternity Ward 71,578,000 (Ref: page 27 and expenditure was 71,578,000 as per page 66 of the performance)

Total expenditure was $(90,053,000/84,712,000) \times 100 = 106\%$

Thus, in FY 2019/2020 the District had budgeted and spent on all eligible activities as per the Health Grant guidelines.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

There was evidence that the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ Suppliers as was observed below;

- For the construction of a 5 Stance latrine at Luzinga HCII, Contractor submitted the request for works/payment certification on 29th May,2020 by MS Kiira Development, was certified by the DHO on 6th June,2020 and by Engineer on 10th June,2020 and IPC1 paid on 8th July,2020 with Voucher No.30503860 amounting to Ugx.18,917,000 (taking 10working days) The District Environmental Officer and the Community Development Officer both did certify the works and signed the certificate of compliance dated 18th March,2020

- For the construction of a 5 Stance latrine at Namwendwa HCIV, Contractor submitted the request for works/payment certification on 15th June,2020 by MS Kipede Investments Ltd, was certified by the DHO on 23rd June,2020 and by Engineer on 23rd June,2020 and IPC1 paid on 15th June,2020 with Voucher No.30503856 amounting to Ugx.11,553674

The District Environmental Officer and the Community Development Officer both did certify the works and signed the certificate of compliance dated 23rd June,2020.

- For the supply and installation of air conditioning system at Nawkandulo HCIV theatre, supplier submitted the request for supply/payment certification on 17th June,2020 by MS Pakasa general contractors , was certified by the DHO on 23rd June,2020 and by Engineer on 18th June,2020 and IPC1 paid with Voucher No.30503867 amounting to Ugx.16,435,000.

However, there was no evidence on certification of works by the Environmental Officer and Community Development Officer before payment for installation of an air conditioner system at Nawkandulo, thus the LG scored zero.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.

3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.	0
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	It was difficult to ascertain when they were completed because the following information was not available with the district engineer at the time of assessment.	
			Certificate No. 1.	
			For payment Issued on date: Nil	
			Voucher No. Nil	
			Dated: Nil	
			Certificate of Practical completion dated: Nil.	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	Kamuli District LG had approved staffing norm of 644 staff and a wage bill of 6,689,844,920/=. At the time of assessment there were 557 staff on post. This meant a staffing level of $(557/644) \times 100\% = 86.5\%$	1
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	Evidence that the LG health infrastructure construction projects are not necessarily as per the approved MoH Facility Infrastructure Designs. For instance:	0
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<ul style="list-style-type: none"> • Completion of Maternity ward at Kasambira HCII (Bugulumya Sub-county) was not based on the MoH Standard infrastructure designs. The floor was cracked, cracks in the ceiling and incomplete. 	
			<ul style="list-style-type: none"> • Construction works of upgrading Kagumba HCII to HCIII. The construction ore over 80% incomplete and its already in occupation, although the contractor was not on site. However the facility had defects for instance, the floor was already cracked, paint on the walls was peeling, there was no Lightening arrestor, and the door was disconnecting in the joints. 	
			<ul style="list-style-type: none"> • The 5 stance pit latrine at Namwenda HCIV was in good works conditions. 	

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>At the time of assessment the DHO reported 45 filled positions at Nankaandulo HC IV, 19 filled positions at Nabirumba HC III, and 19 filled positions at Kitayunjwa III. Site visit and inspection of staff lists and duty rosters confirmed number of staff on pay roll matched those on staff list and duty rosters found at health facilities.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>Kamuli district LG conducted construction works on 7 health facility projects during FY 2019/2020.</p> <p>5-stance VIP latrine at Luzinga HC, 5-stance VIP latrine at Namwendwa HC IV, Improvement of operating theatre at Nankandulo HC IV, Renovation of Kinu HC II, Construction of maternity ward at Kasambiraa HC II, Incinerator at Nankandulo hc IV, Incinerator at Namasagali HC III, Construction of pit latrine at Kamuli District Headquarters.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Nabirumba HC III submitted its Annual Work Plan & Budget for FY 2019/20 on 26th August 2019, Nankandulo HC IV submitted on 26th August 2019, and Kitayunjwa HC III had submitted but the document had no date indicated of submission to the DHO.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>At the time of assessment there was no evidence that the sampled health facilities Nankandulo HC IV, Nabirumba HC III, and Kitayunjwa HC III had submitted their annual Budget Performance Reports for FY 2019/20 to the DHO.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The three sampled health facilities; Nankandulo HC IV submits its PIP for FY 2020/21 on 23rd September 2020, Nabirumba HC III submitted on 24th September 2020, and Kitayunjwa HC III submitted on 24th September 2020.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>Nankandulo HC IV, Nabirumbi HC III and Kitayunjwa HC III had submitted their Monthly HMIS 105 for 12 months and quarterly HMIS 106 (a) 100% and timely 100% as required during FY 2019/2020.</p>	2
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>Nankandulo HC IV submitted its RBF claim invoices on 1st October 2020, Nabirumba HC III on 8th October 2020, and Kitayunjwa HC III on 10th October 2020. They had all submitted before 15th October 2020</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>Kamuli District LG had submitted the RBF claim invoices to Ministry of Health on 11th November 2020. This was after 3 week of October 2020.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>There was evidence that the Health Department quarterly performance reports were submitted within one month of the next quarter as indicator as observed from acknowledgement of performance report by the District Planner,</p> <ul style="list-style-type: none"> • Quarter 1 was submitted on 10th October 2019 • Quarter 2 was submitted on 13th January 2020 • Quarter 3 was submitted on 10th April 2020 • Quarter 4 was submitted on 13th July 2020. 	1
<p>Maximum 14 points on this performance measure</p>		<p>From the above information, the health performance reports were submitted to the Planner by end of the next month after end of each quarter.</p>		

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>Kamuli DHO/DHT developed an approved PIP 2020/2021 for the weakest performing health facilities and had submitted to CAO on 12th November 2020</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>At the time of assessment, Kamuli district LG had implemented some activities in its PIP for FY 2020/21. For instance a report dated 30th August 2020 indicated that 25th to 28th August 2020 the DHT had conducted Maternal Neonatal Child Health (MNCH) mentorship for Namwendwa HC IV, Lulyambuzi HC III, Butesi HC III, Kagumba HC III, Bulopa HC III, Nawandyo HC II. This training mentorship covered some of the weak areas that had been identified in the assessment namely client care and safety, and clinical management.</p>	1
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>Kamuli District LG had approved staffing norm of 644 staff and a wage bill of 6,689,848,920/=. At the time of assessment Kamuli district health department had 557 staff on post. This meant a staffing level of $(557/644) \times 100\% = 86.5\%$</p>	2
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The Standard staffing norms for HC III was 19 workers, HC IV was 49 workers. At the time of assessment Nabirumba HC III had 18 out of 19 (94.7%), Kitayunjwa HC III had 19 out of 19 (100%), and Nankandulo HC IV had 45 out of 49 (91.8%). All health facilities had a staffing level above 75%</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>According to the payroll Kitayunjwa HC III had 19 staff, Nabirumba HC III had 18 staff, and Nankandulo HC IV had 45 staff. On site visit and inspection of facility staff lists and duty rosters confirmed the staff in Kitayunjwa HC III, Nabirumba HC III, and Nankandulo HC IV matched with deployment lists and payroll list given by DHO for FY 2020/2021.</p>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>Kamuli district LG had publicized health worker's deployment on Departmental noticeboard and on health facilities notice boards during FY 2020/2021. Unfortunately they were not dated.</p>	0

<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>The LG had 34 health facility in-charges who were appraised as follows.</p> <ol style="list-style-type: none"> 1. Dr. Kizaala Susan Nyende a SMO in-charge Nankandulo HCIV was appraised by Ag. DHO on 29/7/2020 and endorsed by the D/CAO on 17/8/2020 with overall performance rating of 5. 2. Ms. Nshemereirwe Mebo a Clinical Officer in-charge Namasagali HCIII was appraised by Buwoya John a SCO on 10/9/2020 and endorsed by the DHO and CAO on 10/9/2020 with overall performance rating of 4. 3. Ms. Nansubuga Esther a MCO in-charge Butansi HCIII was appraised by the DHO on 5/6/2020 and endorsed by the CAO on 10/6/2020 with overall performance rating of 5. 4. Mr. Baliruno Nicholas a Clinical Officer in-charge Mbulamuti HCIII was appraised by SAS on 30/6/2020 and endorsed by Ag. DHO and CAO on 22/9/2020 with overall performance rating of 4. 5. Mr. Wambi Grace a SCO in-charge Bupadheng HC III was appraised by SAS on 30/6/2020 and endorsed by the DHO and CAO on 19/8/2020 with overall performance rating of 5. 6. Ms. Nakyomu Lucy Harriet a SCO in-charge Bugulumbia was appraised by SAS on 10/7/2020 and endorsed by the ADHO and ACAO on 3/8/2020 with overall performance rating of 4. 7. Mr. Mbiira Simon a SMCO in-charge Kitayunja HC III was appraised by the DHO on 10/9/2020 and endorsed by ACAO on 20/10/2020 without overall performance rating. 8. Mr. Isabirye James a Clinical Officer in-charge Kagumba HC III was appraised by the SMCO Kamuli General Hospital on 21/9/2020 and endorsed by the DHO and CAO on 2/10/2020 with overall performance rating of 4. 9. Mr. Tenywa Paul a SMCO in-charge Balawoli HC III was appraised by SAS on 17/7/2020 and endorsed by Ag. DHO and CAO on 30/9/2020 with overall performance rating of 4' <p>Mr. Gabula Kaunga Olivia an Asst. Nursing Officer in-charge Kasambira HCII was appraised by the SAS on 1/7/2020 and endorsed by the ADHO-MCH and ACAO on 11/8/2020 and 13/8/2020 respectively with overall performance rating of 4.</p>
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Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

The LG appraised Health workers as follows.

1. Ms. Nampijja Elizabeth an Asst. Nursing Officer at Kamuli General Hospital was appraised by Babirye Restetutor an ANO on 30/7/2020 and endorsed by Principal Nursing Officer and ACAO on 20/8/2020 and 24/11/2020 respectively with overall performance rating of 4.

2. Mr. Naika Vincent a SMCO at Bupadhengo HCIII was appraised by SAS on 30/6/2020 and endorsed by the DHO and ACAO on 19/8/2020 with overall performance rating of 4.

3. Mr. Bakutame Saleh an Enrolled Nurse at Kibuye HC II was appraised by Mr Wangada Ronald an ANO on 5/8/2020 and endorsed by Principal Nursing Officer on 11/8/2020 with overall performance rating of 4.

4. Mr. Kiirya Samuel an ANO at Kiige HC II was appraised by the SAS on 1/7/2020 and endorsed by the ADHO-MCH and ACAO on 17/8/2020 with overall performance rating of 4.

5. Mr. Muzaaya Noah Joel a Nursing Officer at Buwago HC II was appraised SAS on 22/7/2020 and endorsed by the Ag. DHO and ACAO by 19/8/2020 with overall performance rating of 4.

6. Ms. Kakazi Mebra a MCO at Namwendwa HC IV was appraised by Dr. Mankumba Grace on 2/8/2020 and endorsed by the DHO and ACAO on 3/9/2020 and 9/9/2020 respectively with overall performance rating of 4.

7. Ms. Kalembe Betty an Enrolled Midwife at Nabirumba HC III was appraised by a Nursing Officer ON 3/7/2020 and endorsed by the DHO and ACAO on 19/8/2020 and 20/8/2020 respectively with overall performance rating of 4.

8. Mudooli Recheal an Enrolled Nurse at Kasolwe HC II was appraised by SAS on 12/6/2020 and endorsed by ADHO-MCH and PAS on 12/6/2020 and 15/9/2020 with overall performance rating of 4.

9. Ms. Ndimwatulira Edith Abinze an Entolled Midwife at Kawaga HC II was appraised by SCO on 30/7/2020 and endorsed by ADHO-MCH and ACAO on 11/8/2020 and 13/8/2020 with overall performance rating of 4.

Mr. Tenywa Paul a SMCO at Balawoli HC III was appraised by SAS on 17/7/2020 and endorsed by Ag. DHO and CAO on 30/9/2020 with overall performance rating of 4'

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence of corrective action taken based on the appraisal reports</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>Kamuli DHT had conducted CPD trainings during FY 2019/20. A report dated 10th April 2020 indicated that the DHT had conducted a district TOT training of 13 health workers in COVID-19 case management. This had been followed up with orientation of 113 health on COVID-19 management between 10th and 23rd April 2020.</p> <p>In another report dated 17th April 2020 the DHT oriented 20 health care workers from HC II and III in Family Planning data management and reporting, 8th to 9th April 2020.</p> <p>Besides, each Health Facility had its own on-going internal Continuous medical education held at least once a month. Internal CME was done on rotation assignment amongst the health workers. At Nankandulo HC IV and Nabirumba HC III there were training materials that had been shared in previous presentations..</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>copy and soft copy CPD database. Similarly, Nankandulo HC IV had its own CPD database containing lists of health workers who had received CPD trainings since 26th March 2018 to 1st December 2020. In this HSD the CME beneficiaries had been supported by MOH, RHITES-EC, Plan International, and Baylor.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>In an e-mail communication dated 9th September 2020 the CAO Kamuli district to the PS Ministry of Health, the CAO had informed the Ministry of Health the Health Facilities on PHC NWR grant for FY 2020/21.</p>	2
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was evidence on allocation towards monitoring service delivery and management of District health services in line with the health sector grant guidelines</p> <p>From the LG approved budget estimates, page 22 the total allocated PH funds were Ugx. 1,026,188,000</p> <p>Out of which budget allocations were as follows.</p> <p>NGO Health Services 63,761,000, page 63 of APR</p> <p>Gou Basic 302,291,000, Page 64 of APR</p> <p>District Hospital 351,100,000, page 68 of APR</p> <p>NGO Hospital 234,066,000, page 68 of APR</p> <p>Total 951,218,000</p> <p>Allocation to DHO office was 74,970,000, page 69-70.</p> <p>Funds allocated to monitoring were Ugx. 7,326,000, page 70 of the APR</p> <p>= (74,970,000/ 74,970,000) X 100= 9.8%</p> <p>The 9.8% allocated was with the eligible allocation to monitoring service delivery, hence the LG was compliant.</p>	2

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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

There was evidence that the LG warranted the PHC NWR releases for all quarters. This was seen on the PBS, GOU Approved Warrant Report Kamuli District Ref: 01-Jul-2019 to 30-Jun-2020, dated 7-12-2020 and GoU Cash Limits Report as indicated below.

- Q1 PHC grant cash limits were received on 24th July 2019 and warranted on 8th August 2019.
- Q2 PHC grant cash limits were received on 07th October 2019 and warranted on 21st October 2019
- Q3 PHC grant cash limits were received on 14th January 2020 and warranted on 28th January 2020
- Q4 PHC grant cash limits were received on 14th April 2020 and warranted on 28th April 2020

From the above information, all warrants were submitted beyond 5 days; from the date of receipt of cash limits thus the LG was non-compliant.

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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0

There was no documentary communication on PHC NWR grant transfers from the CAO to the health facilities by the time of the assessment.

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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

The local Government had publicized all the four quarterly four releases on the notice board for FY 2019/20 as follows,

- Quarter 1 was dated 15th August 2019
- Quarter 2 was dated 25th October 2019
- Quarter 3 was dated 12th February 2020
- Quarter 4 was dated 24th April 2020

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

Kamuli DHT conducted its FY 2019/20 quarterly review meetings as follows: Quarter I conducted on 30th July 2019; Quarter II conducted on 28th November 2019; Quarter III conducted on 21th February 2020; and Quarter IV conducted on 8th June 2020.

Minute 2 of Quarter I meeting indicated that the DHT had discussed staff absenteeism and suggested re-deployment and transfers among other sanctions.

Minute 2 of Quarter II review meeting minutes indicated that the meeting had discussed making preparations for introduction of RBF program in Kamuli District health facilities to improve quality of service delivery. In the same minute they had also discussed the district performance in the National League table in which Kamuli district was 60th. The district planned to make improvement.

In Minute 2 of Quarter III review meeting the meeting discussed absenteeism in the general Hospital and how to curb it.

In Minute 2 Quarter IV review meeting, the meeting discussed re-locating COVID-19 isolation unit from the hospital to the newly constructed Busola HC III.

In the review minutes that followed each quarter, indicated that action had been taken on some of the proposals made in previous review meetings. For instance, transfers had been effected to curb absenteeism, health facilities had had RBF pre-mock assessment as a preparation for the proposed assessment by MOH, and COVID-19 isolation was transferred from the Hospital to the newly constructed HC III as previously proposed by the Quarter IV review meeting

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

The review meetings conducted on 30th July 2019; and on 28th November 2019; were attended by DHO, ADHO-MCH, ADHO-EH, PNO-Kamuli Hospital, SMCO, SEHO, Biostat, Sec Health, DEO

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>During FY 2019/20 Kamuli district LG had 46 health facilities and 3 Health sub-Districts (HSD).</p> <p>In a supervision report for quarter I dated 15th August 2019 the DHT had supervised 16 facilities including Kamuli General Hospital, Kamuli Missionary Hospital, Nankandulo HC IV, Namuyindwa HC IV, and 12 lower Health facilities</p> <p>Quarter II report dated 28th November 2019 the DHT had supervised 16 facilities; in quarter III report dated 9th March 2020 the DHT had supervised 10 health facilities; and in quarter IV report dated 6th April 2020 the DHT had supervised 7 health facilities.</p> <p>Some of the challenges identified during the supervision included high rates of absenteeism in some health facilities like the General hospital, and continued delivery of mothers by TBAs, quarter IV report noted poor observation of COVID-19 Standard Operating Procedures (SOPs) at Nibirumbi HC III. In Kitayinjwa HC III patographs documentations were not updated, internal CME was not done, and there were no duty rosters. Corrective actions were recommended by the supervising teams in the books at the health facilities.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>At the time of assessment Kamuli district had 3 HSDs namely Bugabula North HSD based at Kamuli General Hospital; Bugabula South HSD based at Namwendwa HC IV; and Buzaya HSD based at Nankandulo HC IV.</p> <p>During FY 2019/20 the 3 HSDs had conducted quarterly support supervision of the lower health facilities.</p> <p>Bugabula North HSD supervision reports are dated; 8th October 2019; 28th January 2020; 30th April 2020;</p> <p>Bugabula South HSD reports are dated 13th October 2019; 22nd December 2019; 19th March 2020; and 30th May 2020.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The DHO had given feedback to health facilities in the supervision books found at the health facilities. For instance at Nibirumba HC III on 2nd November 2019 and on 22nd June 2020; and at Kitayunjwa HC III on 3rd March 2020 when commenting about absenteeism, and Continuing medical education for staff.</p>	1

10	Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.	f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0	Kamuli district DHT had one staff designated to coordinate supervision of management of medicines and health supplies (MMS-FP) Reports available at the time of assessment indicated that (each facility had its own report): During quarter I, FY 2019/20 the MMS-FP had supervised 22 health facilities; supervised 10 facilities in Quarter II; supervised 20 health facilities in quarter III; and supervised 14 facilities in quarter IV	1
	Maximum 7 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	There was evidence on allocation of at least 30% of the District Health Office Budget to Health Promotion and prevention activities. Allocation to DHO office was 74,970,000, page 69-70. Therefore, allocation to health management was 67,944,000, page 70 of the APR The percentage of funds allocated to health promotion and prevention activities was $(67,944,000 / 74,970,000) \times 100 = 90.6\%$ The 90.6% was within the allowable allocation thus the LG was compliant.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	A report by the DHE dated 9th June 2020 indicated that Kamuli LG had trained 74 parish VHT coordinators on COVID-19 surveillance. And further sensitized 1127 VHTs and distributed COVID-19 IEC materials between 15th and 20th June 2020.	1
	Maximum 4 points on this performance measure			

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>Health Promotion and disease prevention follow-up activities were not available at the time of assessment</p>	0
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>Kamuli District availed an asset register which consisted of Transport Equipment. The DHO office also had an inventory for medical equipment.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)): score 1 or else score 0</p>	<p>Investment projects for health were derived from the development plan, prioritized in the AWP and discussed by TPC.</p> <p>The following health projects were derived from Kamuli LG District Development Plan (DDP II) 2015/16-2019/20 dated May 2015 on page 138 to 139 of 254 of DDP II</p> <ol style="list-style-type: none"> 1. Construction of 30 staff houses, District Hospital Nankandulo & Namwendwa HC IV, Bugulumbya HC III, Kinu HC II, projected at 70,000,000 2. Renovation of health facilities including staff houses in Bupadhego, Nankandulo, Bugulumbya & Namasagali HC III 3. Connection of health facilities to the main power grid and procurement of solar lighting 4. Procurement of solar lighting system and the automation of the power system at Kamuli General Hospital 5. Fencing of Public health facilities and processing of land titles <p>However, there was no evidence on desk review of the health projects, thus the LG was not compliant.</p>	0
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was no evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs to site conditions by the time of the assessment.</p>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklists, for example;</p> <p>i. Completion of maternity ward at Kasambira Health Center II in Bugulumbya sub county. Screening forms filled and endorsed by the Senior Environment Officer on 10th January 2020. ESMP for completion of maternity ward at Kasambira Health Center II. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Not costed.</p> <p>ii. Construction of five (5) stance lined pit latrines at Luzinga Health Center II. Screening forms filled and endorsed by the Senior Environment Officer on 13th January 2020. ESMP for construction of a 5 stance lined pit latrine at Luzinga Health Center II. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Costed at UGX 500,000.</p> <p>iii. Construction of a five (5) lined pit latrine at Namwendwa Health Center IV in Namwendwa sub county. Screening forms filled and endorsed by the Senior Environment Officer on 7th January 2020. ESMP for construction of a 5 stance lined pit latrine at Namwendwa Health Center IV. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Costed at UGX 200,000.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>Submission letters to the PDU/memos available and submitted on 15th April 2020.</p> <p>The AWP was available. Example include:</p> <p>a) Construction of a 4 stance lined pit latrine at Kinawapere HCII (Namweda Sub county)/ S. No. 012 page 2.</p> <p>b) Upgrading of Bubago HCII to HCIII in Magogo Sub county.</p> <p>c) Procurement of equipment for Kagumba HCIII (Kaguba Sub county) and Bubago HCIII (Magogo Sub county)</p> <p>No PPF1 because the department has not submitted them.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no PP Form1 because the department had not submitted them.</p>	0

<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Minutes of the 8th Kaliro District Contracts Committee Meeting held on 27th February 2019 in the PDU office in Kaliro.</p> <ul style="list-style-type: none"> • The chairperson: Edhaya David • Mbaja Lydia Member • Isooba Peter- Member • Mulumba Mathius • Muweza Paul • Hamoone Nimorod- Head PDU Kaliro • Mwiru Emmanuel- Head PDU Kamuli <p>N.B. Borrowed contracts committee of Kaliro DLG because its term of office had expired.</p> <ul style="list-style-type: none"> • Completion of Maternity ward at Kasambya HCIII in Bugulumbya sub county <p>Procurement Ref. No. KAMU517/WRKS/19-20/00011</p> <p>Minute: 04/DCC/2019/20/5</p> <ul style="list-style-type: none"> • Construction of 5 stance lined pit latrine in Luzinga HCII (Wankole Sub county) <p>Procurement Ref. No. KAMU517/WRKS/19-20/00016</p> <p>Minute: 05/DCC/2019/20/4</p> <ul style="list-style-type: none"> • Construction of a 5 stance pit latrine at Namwendwa HCIV in Namwendwa sub county. <p>Procurement Ref. No. KAMU517/WRKS/19-20/00017</p> <p>Minute: 05/DCC/2019/20/5</p> <ul style="list-style-type: none"> • Construction works of upgrading Kagumba HCII to III (Namwendwa sub county). <p>The Solicitor General letter Dated 26TH November 2019.</p>
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<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence adduced from both the acting District Engineer (Grace Mulondo) and Assistant Engineering Officer (Fred Wamuzigo) at the time of assessment.</p>
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13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG health infrastructure construction projects are not necessarily as per the approved MoH Facility Infrastructure Designs and those designs and BOQs provided by the LG Engineer,</p> <p>Sampled project:</p> <ul style="list-style-type: none"> • Construction works of upgrading Kagumba HCII to III (Namwendwa sub-county).The lighting protection system not installed, areas with gypsum board ceiling need more filling and paintings. Screen for post natal and waiting areas need to be reworked on. <p>However, there were no reports and minutes from site supervision and monitoring visits.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Physical progress report on Building works for the fourth quarter FY 2019/2020 AS OF Monday 29th June 2020 to the CAO signed DHO- Dr. Duku Fred; DIA- Kifuse Alex; DEO- Akoyo Charles; AEO Civil –Wamuzigo Fred; DE- Mulondo Grace.</p> <p>But the report above did not involve environment officers and CDO.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence of monthly site meetings. However, there were record of Physical progress report on Building works for the fourth quarter FY 2019/2020 AS OF Monday 29th June 2020 to the CAO signed DHO- Dr. Duku Fred; DIA- Kifuse Alex; DEO- Akoyo Charles; AEO Civil –Wamuzigo Fred; DE- Mulondo Grace.</p> <p>But the report above did not involve environment officers, Chairperson HUMC, In charger for the beneficiary facility and CDO.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>At the site both the visitors' book and the Site Instruction Book were not available due to absence of the site supervisor. However, there was Physical progress report on Building works for the fourth quarter FY 2019/2020 as of Monday 29th June 2020 to the CAO signed DHO- Dr. Duku Fred; DIA- Kifuse Alex; DEO- Akoyo Charles; AEO Civil – Wamuzigo Fred; DE- Mulondo Grace.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<ul style="list-style-type: none"> • For the construction of a 5 Stance latrine at Luzinga HCII, MS Kiira Development, submitted the request for payment on 29th May,2020 which was certified by the DHO on 6th June,2020 and by Engineer on 10th June,2020 and IPC1 paid on 8th July,2020 with Voucher No.30503860 amounting to Ugx.18,917,000 (taking 10working days) The District Environmental Officer and the Community Development Officer both did certify the works and signed the certificate of compliance dated 18th March,2020 • For the construction of a 5 Stance latrine at Namwendwa HCIV, Contractor submitted the request for payment. on 15th June,2020 which was certified by the DHO on 23rd June,2020 and by Engineer on 23rd June,2020 and IPC1 paid on 15th June,2020 with Voucher No.30503856 amounting to Ugx.11,553674 (taking 1 working day) <p>The District Environmental Officer and the Community Development Officer both did certify the works and signed the certificate of compliance dated 23rd June,2020.</p> <ul style="list-style-type: none"> • For the supply and installation of air conditioning system at Nawkandulo HCIV theatre, MS Pakasa general contractors submitted the request for payment on 17th June,2020 by,which was certified by the DHO on 23rd June,2020 and by Engineer on 18th June,2020 and IPC1 paid with Voucher No.30503867 amounting to Ugx.16,435,000 (taking 7working days) <p>From the above information, all payments were initiated and recommended by the DHO within two weeks.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA</p>	<p>Procurement files for health infrastructure projects for the previous FY weres complete. For instance:</p> <ul style="list-style-type: none"> • Completion of Maternity ward at Kasambya HCIII in Bugulumbya sub county <p>Procurement Ref. No. KAMU517/WRKS/19-20/00011</p>	1

Maximum 10 points on this performance measure

Law score 1 or else score 0

Minute: 04/DCC/2019/20/5

Advert: Open National Bidding 14th January 2020 in the Red pepper.

Invitation to bid: dated 14th January 2020

Closing on 3rd February 2020

Date for Opening: 3rd February 2020

Display: 27th February 2020

Removal of Display: 11th March 2020

Requisition forms PPF1: 3rd July 2019

The Evaluation report : 20th February 2020

Minutes 08th Kaliro District Contracts Committee Meeting decision dated, 27th February 2020; Min 04/DCC/2019/20/5

BEB Notice: 27th February 2020

Letter of Bid Acceptance: 11th March 2020

Bidder's acceptance: 15th March 2020

The awardee contractor : Lyana Investments Ltd

Contract agreement: 18th March 2020

Contract sum: 69,673,533 Vat Inclusive

• Construction of 5 stance lined pit latrine in Luzinga HCII (Wankole Sub county)

Procurement Ref. No. KAMU517/WRKS/19-20/00016

Minute: 05/DCC/2019/20/4

Advert: Selective bidding

Invitation to bid: dated 3rd February 2020

Closing on 14th February 2020

Date for Opening: 14th February 2020

Display: Missing

Removal of Display: Missing

Requisition forms PPF1: 3rd July 2019

The Evaluation report : No date

Minutes 08th Kaliro District Contracts Committee Meeting decision dated, 27th February 2020; Min 05/DCC/2019/20/4

BEB Notice: Missing

Letter of Bid Acceptance: 4th March 2020

Bidder's acceptance: 14th March 2020

The awardee contractor : Kiira Development Group Ltd

Contract agreement: 10 March 2020

Contract sum: 18,917,000 Vat Exclusive

• Construction of a 5 stance pit latrine at Namwendwa HCIV in Namwendwa sub county.

Procurement Ref. No. KAMU517/WRKS/19-20/00017

Minute: 05/DCC/2019/20/5

Advert: Selective National Bidding 3rd February 2020

Invitation to bid: dated 3rd February 2020

Closing on 14th February 2020

Date for Opening: 14th February 2020

Display: 27TH February 2020

Removal of Display: 31st February 2020

Requisition forms PPF1: 3rd July 2019

The Evaluation report : 21st February 2020

Minutes 08th Kaliro District Contracts Committee Meeting decision dated, 27th February 2020; Min 05/DCC/2019/20/5

BEB Notice: 27th February 2020

Letter of Bid Acceptance: 4th March 2020

Bidder's acceptance: 4th March 2020

The awardee contractor : Kipede Investments Ltd

Contract agreement: 10th March 2020

Contract sum: 18,987,150 VAT Exclusive

Environment and Social Safeguards

14

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

At the time of assessment, there was no evidence that the Local Government had recorded, investigated, responded and reported in line with the LG grievance redress framework because there was no grievance redress committee. Therefore the LG scored zero.

0

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0	There was evidence that the LG disseminated guidelines on health care / medical waste management to health facilities. The guidelines were compiled by the District Senior Environment Officer Mr. Bakali Samuel, Environment Health Officer Ms. Kaiza Winnie, and the Senior CDO Ms. Namusoke Susan. The guidelines were disseminated in a training held on 18th September 2019, a copy of attendance list indicated that twenty five (25) members participated some of whom were Munyette Budala Ag Health Inspector for Bulopa Health Center III, Nankya Mary Ag Health Inspector for Balawoli Health Center III, Lugada Bernard Health Inspector for Kiyunga Health Center II. There were Minutes of the meeting to disseminate guidelines on safe waste management in Health Centers held at District boardroom with Health workers on 18th September 2019. Minute 4 remarks by the Senior Environment Officer/ Training and dissemination guidelines on waste management. The facilitator led the participants in the guidelines for waste management.	2
	Maximum 5 points on this performance measure			
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	There was evidence of a letter of introduction to the District Health Officer kamuli dated 19th June 2020. Introducing Green label services limited supported by United States Agency for International Development to collect and dispose of Health care waste in selected facilities that carry out VMMC in Uganda authored by Dr. Grace Mugume Director Green label services limited.	2
	Maximum 5 points on this performance measure			
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence that the LG conducted a training and created awareness in healthcare waste management. The training was held at the District boardroom with Health care workers on 18th September 2019 facilitated by the DHO. a copy of attendance list indicated that twenty five (25) members participated some of whom were Munyette Budala Ag Health Inspector for Bulopa Health Center III, Nankya Mary Ag Health Inspector for Balawoli Health Center III, Lugada Bernard Health Inspector for Kiyunga Health Center II. There were Minutes of the meeting/training and in the training, guidelines on safe waste management were disseminate to Health Centers through their representatives.	1
	Maximum 5 points on this performance measure			

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>The assessment team noted that in the BoQs environmental aspects were vaguely described therefore the LG scored zero. For example;</p> <p>Completion of maternity ward at Kasambira Health center II in Bugulumbya sub county. Ref: Kamu 517/works/2219-2020/00011. BoQ item E environment issues costed at UGX 100,000.</p> <p>ii. Construction of 5 stance lined latrine at luzinga Health center II. Ref: Kamu 517/works/2219-2020/00016. BoQ item E environment issues costed at UGX 400,000.</p> <p>iii. Construction of a 5 stance lined latrine at Namwendwa Health center IV in Bugulumbya sub county. Ref: Kamu 517/works/2219-2020/00017. BoQ item E environment issues costed at UGX 587,269.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence of a certificate of title for Namwendwa Health Center IV, where the LG had a construction of five (5) stance pit latrine. Detailed: Freehold, Register, Volume JJA 26A FOLIO area 2.860 hectares, Bugabulo County. Ownership Kamuli District. Known as Block (Road) 12 Plot 95 and 96 at Buyingo-Nankandulo Health Center IV. P.o Box 88, Kamuli dated 20th July 2016. However Kasambira Health Centre II, Luzinga Health Centre II and Nankandulo Health Centre IV were not titled therefore the LG scored zero because the indicator required that all projects are implemented on land where the LG has proof of ownership, access and availability in terms of land title, agreement, letter of consent, MoU.</p>	0

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	<p>There was evidence of a monitoring report on construction of five (5) stance lined pit latrines at Namwendwa Health Center Iv at Namwendwa. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by Senior Environment Officer and Senior CDO.</p> <p>ii. Monitoring Report for construction of a five (5) stance lined pit latrine at Luzinga Health Center II at Wankole sub county dated. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by the Senior Environment Officer and Senior CDO.</p> <p>iii. Monitoring Report for completion of maternity ward at Kasambira Health Center II at Bugulumbya sub county. Ref: CR/550/1. Some of the description of the mitigation measures in ESMP. Obtain consent from users of the land for the facility. Responsible party: District. Monitoring indicator method: MoU/Agreements. Dates monitored: 30th June 2020. Mitigation effectiveness good. Report was dated 30th June 2020 prepared by the Senior Environment Officer and Senior CDO.</p>
Maximum 8 points on this performance measure		

<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence of environment and social mitigation certification forms completed and signed by the LG Environment Officer and CDO. prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects for;</p> <p>i. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/01 by M/s Kipede Investments Ltd for construction of five (5) stance lined pit latrine at Namwendwa Health Center IV. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p> <p>ii. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/02 by M/s Kiira Development Group Limited for construction of five (5) stance lined pit latrine at Luzinga Health Center II. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p> <p>iii. Compliance certification forms completed and signed by the Senior Environment Officer and Senior CDO. Certification form No. KDLG/550/1/2019-2020/04 by M/s Pakasa Construction and General Contractors supply and installation of air condition system at Nankandulo Health Center IV. Project Phase Implementation phase. Certificate endorsed by Senior Environment Officer and Senior CDO on 23rd June 2020 where they certified that mitigation measures identified in the ESMP were addressed satisfactorily and therefore approved of works.</p>
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	From the Ministry of Water and Environment MIS sector data report, it was observed that Kamuli DLG had rural water source functionality percentage of 89% as per the sector MIS (Water summary 2019/2020	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	From Water and Sanitation committee FY 2019/20 from the Ministry of Water and Environment, it was observed that Kamuli DLG established 1034 Water and Sanitation committees out of which only 948 WSCs were functional translating to $(948/1034)*100= 91.7\%$	2

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p>	Not applicable	0
	<p><i>Maximum 8 points on this performance measure</i></p>	<p>If LG average scores is</p> <p>a. Above 80% score 2</p> <p>b. 60 -80%: 1</p> <p>c. Below 60: 0</p> <p>(Only applicable when LLG assessment starts)</p>		
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p>	<p>There was evidence that Kamuli DLG planned and budgeted for water projects during FY 2019/20 in sub counties with safe water coverage below the district average as was observed below;</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>	<p>o If 100 % of water projects are implemented in the targeted S/Cs: Score 2</p> <p>o If 80-99%: Score 1</p> <p>o If below 80 %: Score 0</p>	<ul style="list-style-type: none"> • Completion report of drilling, casting and installation of 07 boreholes by East Africa boreholes Ltd dated 31st May, 2020 • A final report on supervision of 07 boreholes by Geobot Group Ltd dated 17th June, 2020 • Completion report for drilling 05 boreholes in Kamuli District by KLR (U) Ltd dated 19th April, 2020 in the following locations; Busikwe in Namwendwa sub county, Bunamanda in Kagumba sub county, Buganza in Bulopa sub county, Kavule C in Namasagali sub county. <p>In the AWP FY 2019/2020 dated 24th July, 2019, the LG planned to drill 15 number Boreholes at estimated budget of Ugx.313,095,000 and Ugx.184,725,721 to construct 8 number boreholes in addition to those above leading to a total number of 23 boreholes.</p> <p>Balawoli sub county 72%</p> <p>Bulopa sub county 70 %</p> <p>Kagumba sub county 36%</p> <p>Nabwigulu sub county 70%</p> <p>Namasagali sub county 60%</p> <p>Namwendwa sub county 68%</p> <p>Kisozi sub county 76%</p> <p>The district average safe water access by the end of the FY 2018/19 was at 78%</p>	

In the fourth quarter progress report FY 2019/20 dated 26th June, 2020 page 12 issue 4.6 in the budget expenditure indicated Ugx.497,820,721 (Ugx.313,095,000+Ugx.184,725,721) of the development budget spent for the twenty three (23) number boreholes planned out of which 17 number were successful and 6 number unsuccessful giving $(17/23)*100 = 73.9\%$ and issue 1.1 planned one construction of a latrine at Ndalike RGC in Namwendwa sub county at Ugx.18,930,000 and made 72.2% complete as evidenced by the payment certificate dated .

In the final supervision report dated 17th June, 2020 by Geobot Group Ltd for the 7 boreholes.

Completion report of drilling, casting, and installation of 7 boreholes by East Africa Borehole Ltd dated 31st May, 2020.

Completion report for drilling 5 boreholes in Kamuli District by KLR (U) Ltd dated 19th April, 2020 in the following locations.

Busikwe in Namwendwa sub county, Bunamanda in Kagumba sub county, Kavule C in Namasagali. it was observed that all the 16 projects planned in sub counties below the district average access had been implemented leading to,

$(6/7)*100 = 85.7\%$

Therefore, above 70%, LG was equated to have had 100% implementation rate to the above water sources projects.

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

c. If variations in the
contract price of
sampled WSS
infrastructure
investments for the
previous FY are
within +/- 20% of
engineer's
estimates

o If within +/-20%
score 2

o If not score 0

For the sampled projects the following observations were recorded concerning;

The engineers' estimate and the contract price'

- Drilling, test pumping, casting, and installation of 8 boreholes, Lot3; Engineers' estimate was Ugx.113,772,000 the contract price was UGX.111,160,720. Variation was Ugx. 2,611,280 equivalent less to $(-2,611,280/113,772,000)*100$

= 2.3% lower.

- Drilling, test pumping, casting and Installation of 07 Boreholes, Lot2; Engineers' estimate was Ugx.105,000,000 the contract price was UGX.105,093,868 variation was Ugx. 93,868 higher equivalent to $(93,868/105,000,000)*100$

= 0.1% higher

- Drilling, test pumping, casting and Installation of 08 Boreholes, Lot1; Engineers' estimate was Ugx.120,000,000 the contract price was UGX.113,848,878 variation was Ugx.6,151,122 higher equivalent to $(6,151,122/120,000,000)*100$

= 5.13% lower

- Construction of 5 stance pit latrine at Ndalike RGC.

the engineers' estimate was Ugx.19,000,000, the contract price was Ugx.18,950,000 with a variation of Ugx.50,000 equivalent less to $(50,000/19,000,000)*100 = 0.27%$ lower.

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

d. % of WSS
infrastructure
projects completed
as per annual work
plan by end of FY.

o If 100% projects
completed: score 2

o If 80-99% projects
completed: score 1

o If projects
completed are
below 80%: 0

There was evidence that all of WSS infrastructure projects were completed as per the annual work plan by the end of the FY as observed from;

- The fourth quarter progress report of FY2019/2020 dated 13th August 2020 indicated that the DWO planned 1 latrine at Ndalike RGC at Ugx.18,930,000 and constructed on at 72.2% as seen from the payment certificated dated 19th June, 2020.

- Also page 12 of the fourth quarter report indicated out of 23 planned sources only 18 boreholes were successful giving 78.3% of boreholes were successful The following were the unsuccessful boreholes;

Butomasi source in Bugulumbya sub county

Butyama source in Kagumba sub county

Buvumbi source in Balawoli sub county

Kabanda 11 source in Bulopa sub county Butula source in Kagumbya sub county, and Buganza source in Bulopa sub county..

3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>From the MWE MIS data on functionality of water sources, it was observed that during FY 2018/19, Kamuli DLG registered water source functionality of 78% and in FY 2019/20, the functionality was 78% giving no increase in functionality.</p>	0
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 5%: score 2</p> <p>o If increase is between 0-5%: score 1</p> <p>o If there is no increase: score 0.</p>	<p>According to MWE MIS data for FY 2018/19, Kamuli DLG had functional WSCs equivalent to 928 and in FY 2019/20 functional WSCs the WSS functionality was at 948 in number, giving an increase of 20 functional WSCs and $(20/928) \times 100\%$ WSCs functionality of 2.2% increase.</p>	1

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>There was evidence that DWO had accurately reported on WSS facilities constructed in the previous FY and performance of the facilities was as reported according to;</p> <p>On field visits for the sampled completed projects for FY 2019/20 revealed the following;</p> <ul style="list-style-type: none"> • Completion report of drilling, casting and installation of 07 boreholes by East Africa boreholes Ltd dated 31st May, 2020 • A final report on supervision of 07 boreholes by Geobot Group Ltd dated 17th June, 2020 • Completion report for drilling 05 boreholes in Kamuli District by KLR (U) Ltd dated 19th April, 2020 in the following locations; Busikwe in Namwendwa sub county, Bunamanda in Kagumba sub county, Buganza in Bulopa sub county, Kavule C in Namasagali sub county. <p>Fourth quarter report dated 13th August, 2020 page 12 indicated 100% execution for all planned investment project. 77% of the drilled boreholes were successful as indicated in table 4.2</p>	3
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was evidence availed for assessment.</p> <p>Regular data collection report for quarter four dated 6th July, 2020 by AEO on status of protected water sources.</p> <p>Report on water sources regular data collection for quarter two FY 2019/2020 by AEO.</p> <p>Report on regular data collection of water sources for quarter one (1) FY 2019/2020 dated 6th January, 2020 by AEO.</p> <p>Data for quarter three was not availed therefore, the LG scored zero.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>No evidence was availed for verification during the period of assessment</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>There was no evidence availed to the Assessment team to verify that Kamuli DLG held LLG assessment during the FY 2019/20</p>	0

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was no evidence that the DWO had budgeted for its departmental staff. In the Annual work plan and approved budget for FY 2019/20.</p>	0
<p><i>Maximum 4 points on this performance measure</i></p>				
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>No evidence was availed</p>	0
<p><i>Maximum 4 points on this performance measure</i></p>				
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The DWO had two staffs out of three who were appraised. The details of appraisals were as follows; Mufumba Daniel, Position DWO file no. KAML/P/16347 appraised for the period 1st July, 2019 to 30th June, 2020 by DCAO on 9th July, 2020 and counter signed by CAO on 10th July, 2020. Waiswa Tom, Position AEO, file no. KAML/P.13277 appraised for the period 1st July, 2019 to 30th June, 2020 by Mufumba Daniel position; DWO on 10th August, 2020 and not counter signed Kaliisa Joel, position AEO file no. KAML/P.16345 was last appraised in FY 2016/2017</p>	0
<p><i>Maximum 6 points on this performance measure</i></p>				

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database :
Score 3

There was no evidence that District Water Office has identified capacity needs of staff from the performance appraisal process and no training activities were conducted in adherence to the training plans at district level.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

According to the MWE Kamuli DLG had average safe water coverage during FY 2019/20 at 78% and in the AWP and budget for 2020/21 page 7 (Approved budget estimates) dated 5th August, 2020, the following sub counties were planned and budgeted with a total annual development budget of Ugx.1,194,350,485 and the allocation was as below;

Kagumba sub county at 36% with Ugx.97,508,948,

Balawoli sub county at 72% with Ugx.73,131,711,

Nabwiguli sub county at 70% with Ugx.73,131,711

Namasagali sub county at 60% with Ugx.24,377,237

Bulopa sub county at 70% with Ugx.73,131,711

Kisozi sub county at 76% with Ugx.0

Namwendwa sub county at 68% with Ugx.48,754,474 giving a total development allocation to all sub counties of Ugx.609,430,917 of which Ugx.390,035,792 was to sub counties below the district average access coverage

Leading to $(390,035,792/609,430,917) * 100 = 64\%$ budget allocation to sub counties below the district average.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

The DWO had communicated to the LLGs their respective allocations per source to be constructed in the current FY.

This was done only using the DWO carried out advocacy meeting dated 24th August, 2020 in Balawoli, Kaguma, Butansi, Bulopa sub counties.

But there was no any written communication on the LG main notice board, water office or sub county notice board.

0

9

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

• If more than 95% of the WSS facilities monitored quarterly: score 4

• If 80-99% of the WSS facilities monitored quarterly: score 2

• If less than 80% of the WSS facilities monitored quarterly: Score 0

There was evidence that the district Water Office had monitored each of WSS facilities at least quarterly as the assessment team observed below;

- Report on regular data collection of water sources for quarter one FY 2019/2020 dated 6th January, 2020 by Kaliisa Joel, the AEO

- Report on water sources regular data collection for quarter two FY 2019/2020 dated 5th February, 2020 by Waiswa Tom, AEO.

- Report on water sources regular data collection for quarter two FY 2019/2020 dated 07th January, 2020 by AEO

- Public latrine construction report dated 6th July, 2020 by Waiswa Tom, AEO in Ndaliike RGC in Namwendwa sub counties.

No monitoring plans for FY2019/2020 was availed by the DWO for computation.

0

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>There was no evidence that the DWO conducted quarterly DWSCC meetings during FY 2019/2020.</p>	0
	<p><i>Maximum 8 points on this performance measure</i></p>			
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>There was no evidence that the DWO had communicated to the LLGs their respective allocations per source to be constructed in the current FY.</p>	0
	<p><i>Maximum 8 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>There was evidence that the DWO allocated budget to the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities as follows;</p> <p>In approved Annual work plan and budget FY 2019/20 page 11 the following was observed,</p> <p>NWR was equivalent to Ugx.34,347,634,</p> <p>Software activities allocated Ugx.12,156,000 ,</p> <p>Stakeholder coordination allocated ugx.8,567,000</p> <p>Therefore, the total budget allocated Ugx.20,723,000 was equivalent to Ugx. 34,347,634 giving $(20,723,000/34,347,634)*100$ =60.3%.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>			

10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	<p>There was evidence that the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on OEM of WSS facilities during FY2019/20 as was observed from the following training minutes reports;</p> <p>On 31st January, 2020, training communities to fulfill critical requirements in all Sub counties by ADWO-Mobilization.</p> <p>On 31st January, 2020, formation of WSCs in all Sub counties by ADWO-mob.</p> <p>On 25th October, 2019, activity rapport creation for CLTs in 18 selected villages of Wankole and Namwendwa sub counties by Senior Environmental Health Officer (SEHO).</p>	3
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Investment Management

11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	<p>The assessment team was able to see and verify the existence of an up—to-date LG asset register which sets out water supply and sanitation facilities by location and LLG and the following information was observed;</p> <p>The assets register for water facilities was last up-dated on 31st August, 2020;</p> <ul style="list-style-type: none"> • Bunakaboko source found in Nabirumba Parish in Nabwigulu sub county, • Busaama source found in Kawaaga parish in Balawoli sub county, Kadholwe source found in Kasolwe Parish in Kagumba Sub County. 	4
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11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>There was evidence that all water projects were derived from the LGDP and were eligible for expenditure.</p> <p>In the Kamuli Development Plan (DDP) 2015/16 to 2019/20 page 141 of 254 incorporated the water projects at estimated budget of Ugx.3,264,961,724 , had shallow well construction motorized drilled at Ugx.236,534,785 for five years, deep boreholes drilling (hand pump) at Ugx.1,798,457,102, construction of piped water scheme at Ugx.800,000,000. Also desk appraisal was conducted as was evidenced by the inception report for hydrological and geophysical survey of Borehole design and drilling supervision of 07 hand pump boreholes for FY 2019/2020 prepared by Geobot Group Ltd dated 19th February, 2020.</p>	4
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>Score 4 or else score 0.</p>		
11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was evidence that all budgeted investments for current FY had completed applications from beneficiary communities as observed below;</p> <p>Application dated 21st September, 2020 for Butansi H/C111 source in Butansi sub county requesting for Boreholes,</p> <p>Application dated 24th August, 2016 for Nabitolo B source in Balawoli sub county requesting for borehole.</p> <p>Application dated 21st October, 2019 for Busikwe source in Nabwigulu sub county requesting for borehole.</p> <p>Application dated 11th February,2016 for Butonti B source in Bulopa sub county requesting for borehole</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was no evidential to prove that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPS prepared before being approved for construction</p> <p>Costed ESMPs incorporated into designs. BoQs, bidding and contract documents for 13 projects under Lot 1 and Lot 2 proposed sites for construction of public lined pit latrines were screened conducted by the Environment Officer and Senior CDO. Some if the proposed sites were;</p> <p>i.Kisaikye in Nansololo C Namasagali sub county, Kaszoi in Buggobi village Namasagali Sub County, Nawango in Buwwaya village, Kitayunjwa sub county.</p> <p>ESMP prepared and endorsed by the Senior Environment Officer and Senior CDO for siting and drilling boreholes in Kamuli District Lot 1, 13 villages in Namasagli, Kagumba, Balawoli, Kitayunjwa, Bulopa, Namwendwa, Bugulumbya sub county. Project activity: Land acquisition. Negative environment and social impact: Land use conflicts displacement people and their businesses. Mitigation measures: community involvement land on acquisition. Create awareness on the planned construction activities, seek consent form the community for the appropriate sites. Indicator: Consent agreements. Implementing agency: Kamuli District. Monitoring persons: CDO, DWO, SAS, DEO. Frequency: Quarterly, Cost: UGX 500,000. Capacity building needs: Community engagement.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the water infrastructure Investments for FY 2019/20 were incorporated in the LG approved procurement plan approved by PPDA on, 17th February, 2020 page 3, and the following procurements were observed;</p> <p>S/No. 001 drilling, test pumping and casting of platform for 8 boreholes, Lot1,</p> <p>S/No. 003 drilling, test pumping, and casting of 8 boreholes, Lot3,</p> <p>S/No. 006 siting and supervision of construction of 8 boreholes, Lot1,</p> <p>S/No. 010, construction of 5 stance lined pit latrine at Bulopa RGC funded by DWSCG in the procurement plan</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for FY2019/20 were approved by the Contracts Committee before commencement of construction as verified from the following Contract Committee minutes;</p> <ul style="list-style-type: none"> Contract committee meeting held on 27th February, 2020, minute number 04/DCC/2019/2020/1, approving the evaluation report for drilling, test pumping, casting and installation of borehole under Lot3 by Brottos (U) Ltd, Contract committee meeting, minute number KDCC/106/2019/3/2, held on 31st October, 2019 approving the evaluation report for awarding drilling, test pumping and installation of 07 boreholes under Lot 2 by East Africa Boreholes Ltd <p>Contract committee meeting held on 27th February, 2020 approving the evaluation report for construction of five stance lined pit latrine at Ndalike RGC by Barona investments Ltd.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The project manager was appointed by the CAO in a letter dated 13th December, 2019 BUT no project implementation plans were availed for verification however implementation reports were seen like;</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided as follows;</p> <ul style="list-style-type: none"> • lined pit latrine at Ndalike RGC in Namwendwa sub county <ol style="list-style-type: none"> 1. serial number J in the BOQ required 1m3 ramp 2. serial number L in B.O.Q required 100mm thick reinforced concrete slab (12SM) 3. serial number D in the B.O.Q required IT4 Gauge 28 roof cover. All measured correct as in the BOQ. <ul style="list-style-type: none"> • Busooma village, Kitayunjwa Sub County had a well-cast platform, and the fencing was good <p>Bunakabuko village in Nabwigulu sub county, water flow trench and platform casting was done as specified in the B.O.Q.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers in Kamuli DLG carried out monthly technical supervision of WSS infrastructure projects as observed from the following site reports;</p> <ul style="list-style-type: none"> • A report dated 31st December, 2019 on the assessment of Boreholes for rehabilitation by AEO. • A report dated 24th January, 2020 on guiding siting of water sources activities in Buzaaya county by Waiswa Tom the AEO • Environmental and social screening report for water projects FY 2019/2020 dated 4th March, 2020 by Bakaki Samuel, the E/O • Report dated 28th November, 2019 on water quality testing of 15 water sources in Kitayunjwa sub county for FY 2019/2020 by Waiswa Tom, AEO <p>Report dated 22nd November, 2019 on borehole rehabilitation needs assessment and monitoring of newly constructed water sources by AEO</p>	2

<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p>	<p>There was evidence that the DWO has verified works and initiated 100% payments of contractors as follows ;</p> <ul style="list-style-type: none"> • For consultancy services for siting, design and drilling supervision of 07 boreholes Lot2 contract constructed by Geobot Group Ltd requisition was raised on 1st April, 2020 by the contractor and certified by the DWO on 16th April, 2020, IPC-01 raised on 16th April, 2020 taking one day, amounting Ugx.7,450,800 (first payment) • For consultancy services for siting, design and drilling supervision of 07 boreholes Lot2 contract constructed by Geobot Group Ltd requisition was raised on 8th June, 2020 by the contractor and certified by the DWO on 8th June,2020, IPC-02 raised on 18th June,2020 taking ten days, amounting Ugx.4,967,200 (second payment) • For consultancy services for siting, design and drilling supervision under Lot3, contract constructed by LHM Ground Water Exploration and Geo mapping services Ltd requisition was raised on 9th March, 2020 by the contractor and certified by the DWO on 10th March, 2020, IPC1 raised on 18th June, 2020 taking three months, amounting Ugx.11,160,000 . • For drilling, pump testing and platform casting of 05 boreholes under Lot3 contract constructed by Brottos (U) Ltd requisition was raised on 17th June, 2020 by the contractor and certified by the DWO on 17th June,2020, IPC-01 raised on 18th June,2020 and measurement sheet attached, taking one day, amounting Ugx.68,278,800 • For drilling, pump testing and platform casting of 08 boreholes under Lot1 contract constructed by KLR (U) Ltd requisition was raised on 5th May, 2020 by the contractor and certified by the DWO on 7th May, 2020, IPC-01 raised on 7th May, 2020, taking one day, amounting Ugx.57,889,260 • For the construction of five (5) stance lined pit latrine contract by Barona Investments Ltd requisition was raised on 19th June, 2020 (72.2% completion) by the contractor and certified by the DWO on 19th June, 2020, IPC1 raised on 19th June,2020 taking one day, amounting Ugx.13,681,063. All payments were executed within the required timeframe of thirty (30) days.
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<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>The assessment team was able to see the complete procurement file for water infrastructure investments in place for each contract with all records as required by the PPDA Law as given below;</p> <ul style="list-style-type: none"> • For lot 1 contract; Drilling, pump testing, casting and installation of 08 Boreholes, date of advert was 21st June, 2019 in the Daily Monitor newspaper, bid issue on 19th July, 2019, bid receipt date was 19th July, 2019, bid close /Opening date was 19th July, 2019 <p>Evaluation report on the bid was 24th October, 2019 Contract committee minutes was on 31st October, 2019 MIN NO. KDCC/106/2019/3/2 and best evaluated bidder notice placed on, 31st October, 2019 awarded to KLR (u) Ltd.</p> <ul style="list-style-type: none"> • For lot 2 contract; Drilling, pump testing, casting and installation of 07 Boreholes, date of advert was 21st June, 2019 in the Daily Monitor newspaper, bid issue on 19th July, 2019, bid receipt date was 19th July, 2019, bid close /Opening date was 19th July, 2019 <p>Evaluation report on the bid was 24th October, 2019, Contract committee minutes was on 31st October, 2019 MIN NO. KDCC/106/2019/3/2 and best evaluated bidder notice placed on, 31st October, 2019 awarded to East Africa Boreholes Ltd.</p> <ul style="list-style-type: none"> • For lot 3 contract; Drilling, pump testing, casting and installation of 08 Boreholes, date of advert was 14th January, 2020 in the New vision newspaper, bid issue on 3rd February, 2020 bid receipt date was 3rd February, 2020, bid close /Opening date was 3rd February, 2020, <p>Evaluation report on the bid was 20th February, 2020, Contract committee minutes was on 27th February, 2020 MINUTE NO. 04/DCC/2019/2020/1 and best evaluated bidder notice placed on, 27th February, 2020 awarded to Brottos Uganda Ltd</p> <p>For Construction of 5-stance lined pit latrine at Ndalike RGC, date of advert was 21st June, 2019 in the Daily Monitor newspaper, bid issue on 5th February, 2020, bid receipt date was 14th February, 2020, bid close /Opening date was 14th February, 2020 Evaluation report on the bid dated 21st February, 2020, and the Contract committee minutes was on 27th February, 2020 MINUTE NO. 05/DCC/2019/2020/1 and best evaluated bidder notice placed on, 27th February, 2020 awarded to Barona Investments Ltd.</p>
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Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p>	<p>At the time of assessment, there was no evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported a water and environment grievances as per the LG grievance redress framework, therefore the LG scored zero.</p>	0
		<p>Score 3, If not score 0</p>		
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p>	<p>There was evidence that the DWO and the Environment Officer had disseminated guidelines on water source & catchment protection and natural resource management to CDOs through a copy of Environment and social guidelines for contractors at LGs. Minutes of meeting on training to disseminate the guidelines on water source and catchment protection and Natural resources management held at community boardroom with CDOs on 12th December 2019. Minute 4. Remarks from Senior Environment Officer where he took the CDOs through general environmental and social guidelines for construction by contractors in LGs, the general environment and social management plans for boreholes and highlighted to the CDOs different catchment management zones and informed them that Kamuli lies in the greater Kyoga management zone. He mentioned the major wetland systems/catchments including Nalwekonmba wetland, Nabigaga wetland, Kiko catchment and Victoria Nile River bank catchments which play a major role in regulating water levels in the water tables in addition to other products and services they offer to communities. There was evidence of a copy of a list of attendance with fourteen (14) participants for example: Nambi Irene CDO Kitayungwa, Kyabaaki Dorothy CDO Kagumba, Namasoga Lydia CDO Bugulumba</p>	3
		<p>Score 3, If not score 0</p>		
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence that the water source protection plans and natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented as were presented in the Social Management Plans for example regular water quality testing by the District Water Health Inspector and have water user committees regulate sanitation in areas at all the nineteen (19) borehole sites, Monitor erosion and intensity construct soak pits waste water from boreholes, install drainage structures properly and culverts to allow free water movement and collection..</p>	3

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>At the time of assessment, there was no evidence that the LG had proof of consent without any encumbrances at the sites where the bore holes were drilled. For example at Ndalike trading center, Kayaga trading center in Bunangwe village, Nagamuli, Luzinga-Buyima zone in wankole sub county. Therefore the LG scored zero.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was no evidence that Environment and Social certification forms were completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects for example;</p> <p>For siting and drilling boreholes (13) and construction of a 5 stance lined pit latrine. Therefore the LG scored zero.</p>	0

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the
CDO and
environment
Officers undertakes
monitoring to
ascertain
compliance with
ESMPs; and
provide monthly
reports:

Score 2, If not score
0

There was evidence of a monitoring report for example;

Environment and social monitoring report for water projects FY 2019/2020 dated 15th June 2020. Re: Environment and Social Monitoring Report for water projects, nineteen (19) borehole sites and one site for a lined pit latrine were monitored for compliance to the environmental management plan. The department planned to sink boreholes in nineteen (19) sites in the village of Sub counties of the District and construct one 5 stance lined pit latrine to improve on sanitation levels in Ndalike Trading Center. The activity involved field monitoring visits to the sites to establish compliance and guide water users/contractors on how the impacts of the project to surrounding environment would be minimized. Sites where proposed boreholes were to be sited were on private land whose owners and local leaders consented to allocation of the water point on their land. Consent letters were signed in the presence of family members, community leafers. This reduced on social aspects related to compensation. Some of the environmental aspects according to the screening carried out, there would be no adverse impacts on the natural environment in terms of Natural forest types, Natural critical habitats of threatened species that required protection under internal agreement. Mitigations: All identified sites should be constructed as per approved designs and lay out. Construction materials and excavated soil should be disposed of in a regulated manner. Priority should be dumping it in unrestored marram borrow pits and covered with top soil to allow natural colonization of the area with vegetation. From the findings the environment monitoring carried out on the proposed projects in the mentioned areas compiled to the mitigation measures as detailed in the environment and social management plans for the sites screened.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>There was no evidence of available data on irrigated land for the last two FYs</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY as follows:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>There was no evidence of increased acreage on newly irrigated land, Since LG was just starting the irrigation programme there was no record for previous year</p>	0
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 4 • 60 – 69%; score 2 • Below 60%; score 0 <p>Maximum score 4</p>	<p>There was no evidence of any score in micro scale irrigation for LLG, the grant was rolled out this financial year and activities were just kicking off</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>There was no evidence that micro scale irrigation grant was used on eligible activities.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There was no evidence on the approved farmer signed Acceptance Form confirming that the equipment was working well.	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	There was no evidence that the variation in contract price and Engineer's estimate was within +/-20% since the procurement process had not started	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	There was no evidence that contracts were signed for MSI equipment during the previous FY since the procurement had not started	0
Maximum score 6				
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	There was no evidence that the LG had recruited LLG extension workers as per the staffing structure. The LG recruited 40 extension workers at in all the LLGs against the expected total of 84 in all the the 14 subcounties giving a percentage of 48.	0
Maximum score 6				
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	xxx	0
Maximum score 6				

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	There was no evidence of installed micro scale irrigation systems during last FY since there was no grant for this activity	0
	Maximum score 6			

Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was no evidence that the information on positions of extension workers filled was accurate. When the assessor visited the 3 sampled LLGs accompanied by the PHRO (Sec. DSC) the following findings were made. 1. At Butansi S/C, there was no staff to except the office messenger who could not provide the information to assess. 2. At Butayunja S/C, Mr. Ntambi Patrick the parish chief for Budatema parish explained that all the attendance records and reports of staff and staff list were locked up in the office of the SAS who was not in office at the time of visit. 3. At Bugulumbya S/C, only the office Messenger was found. Inside the office, there was a list of staff at the LLG. Basing on the above it was not possible to assess the accuracy of the information on position of extension workers.	0
	Maximum score 4			

5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	There was no evidence that the information on micro scale irrigation system installed and functionality was accurate, there was no inventory of the equipment	0
	Maximum score 4			

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>There was no evidence that information was collected quarterly on newly irrigated land since there was no any irrigation activities running.</p>	0
Maximum score 6				
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>There was evidence that LG entered upto date LLG information into MIS and hit the target Of 220 according to MIS report generated on 12th/10/2020</p>	1
Maximum score 6				
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>There was evidence that LG prepared quarterly report using information compiled from LLG in the MIS; 1st Quarter report FY 2020/2021 dated 30th/11/2020 compiled by Kisadha Alex the DAE</p>	1
Maximum score 6				
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p>	<p>d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>There was no evidence of developed approved performance improvement plan and therefore no implementation was done</p>	0
Maximum score 6				

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0</p>	<p>There was no evidence of developed approved performance improvement plan and therefore no implementation was done</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	<p>There was evidence that the LG budgeted for extension workers as per the Departmental work plan 2020/2021 and production staff list of 2020/2021 prepared by the DPO Musena Aggrey</p>	1
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	<p>The LG had not recruited extension staff as per guidelines and deployed workers as per the guidelines. The staffing structure provides for 6 extension staff in every LLG thus 84 extension workers in all the 14 LLGs of the LG. However the LG had recruited and deployed only 40 extension staff as per staffing list provided by the DPO</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>There was no evidence that extension workers were working in LLGs where they are deployed. This was because when the assessor visited the 3 sampled LLGs accompanied by the PHRO (Sec. DSC) the following findings were made.</p>	0
	<p>Maximum score 6</p>		<p>1. At Butansi S/C, there was no staff to except the office messenger who could not provide the information to assess.</p> <p>2. At Butayunja S/C, Mr. Ntambi Patrick the parish chief for Budatema parish explained that all the attendance records and reports of staff and staff list were locked up in the office of the SAS who was not in office at the time of visit.</p> <p>3. At Bugulumbya S/C, only the office Messenger was found. Inside the office, there was a list of staff at the LLG.</p> <p>Basing on the above it was not possible to assess whether extension workers were working in LLGs where they were deployed.</p>	
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>Only at 1 out of 3 LLGs sampled did the assessor find the extension staff publicized on the wall of the SAS' officer and this was at Bugulumbya S/C.</p>	0
	<p>Maximum score 6</p>			
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>The LG had 53 Extension staff workers who were appraised as follows.</p> <p>1. Mr. Wagose Paul Fredrick an Agricultural Officer at Nabwigulu S/C was appraised by SAS on 1/7/2020 and endorsed by the Principal Agriculture Officer (PAO) on 15/7/2020 with overall performance rating of 5.</p> <p>2. Mr. Musenja Grace an</p>	1
	<p>Maximum score 4</p>			

Agriculture Officer at Kagumba S/C was appraised by the SAS on 6/7/2020 and endorsed by the PAO and ACAO on 9/7/2020 and 7/8/2020 respectively with overall performance rating of 4.

3. Mr. Boyi Sanon James anASST. Agriculture Officer at Magogo S/C was appraised by the SAS on 3/7/2020 and endorsed by the PAO on 3/7/2020 with overall performance rating of 4.

4. Ms. Balibuzani Ronald an Agriculture Officer at Bugulumya S/C was appraised SAS 6/7/2020 and endorsed by the PAO and ACAO on 9/7/2020 and 7/8/2020 respectively with overall performance rating of 4.

5. Mr. Tigatoola Mubaraka an Agriculture Officer at Namwendwa S/C was appraised by SAS on 2/7/2020 and endorsed by the PAO and ACAO on 9/7/2020 and 7/8/2020 respectively with overall performance rating of 4.

6. Ms. Nambi Caroline an Asst. Fisheries Officer at Kagumba S/C was appraised by SAS and endorsed by Senior Fisheries Officer and ACAO on 28/7/2020 and 7/8/2020 respectively

7. Mr. Mudankanu George William an Asst. Vet. Officer at Namasagali/Nabwigulu S/Cs was appraised by SAS Namasagali on 6/7/2020 and endorsed by the DVO and ACAO on 27/7/2020 and 7/8/2020 with overall performance rating of 4.

8

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has;

Taken corrective actions: Score 1 or else 0

There was no evidence of corrective actions taken.

0

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was no evidence that the training activities were conducted in accordance to the training plans at District level	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was no evidence that training activities were documented in the training data base	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	There was no evidence on grant allocations made to capital and complementary services. The total grant received was Ugx. 18,056,000, page 35 of the APR and it was all allocated to training farmers on water harvesting and simple irrigation technologies and awareness creation on control and management of the major crop diseases & pests.	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	There was no evidence on grant allocations made to capital and complementary services. The total grant received was Ugx. 18,056,000 and it was all allocated to training farmers on water harvesting and simple irrigation technologies and awareness creation on control and management of the major crop diseases & pests.	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no reflection of co-funding LG Budget.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no evidence on the farmer co-funding to the micro scale irrigation grant.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence that the LG disseminated information on use of the farmer co-funding as per DTPC meeting held on 27th/10/2020 minute 10/DTPC/10/2020</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 <p>Less than 70% score 0</p>	<p>There was no evidence that the DPO monitored on monthly basis the installed micro scale irrigation equipment. There were no equipment installed since the grant was just starting</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	There was no evidence that the LG oversaw technical training and support to the approved farmers since the grant was just starting and no farmers had been approved	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	There was no evidence that LG provided hands on support to the LLG extension workers	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	There was no evidence that LG established and ran farmer field schools	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	There was evidence that the LG conducted activities to mobile farmers as per the, attendance lists of 19th/08/2020, 24th/09/2020,29th/09/2020 for awareness creation on MSI programme conducted by Kisadha Alex DAE	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	There was no evidence that the District trained staff and sensitised political leaders at LG and LLG levels,	0

Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>There was no evidence that the LG had an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was evidence that the LG keeps an up to date database of applications which was found on the UgIFT MICRO-SCALE IRRIGATION PROGRAM</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was no evidence that the District carried out farm visit to farmers that submitted complete EOI</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence to show that the LG District Agricultural engineer publicized the eligible farmers that were approved on the notice board.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>na</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	na	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	na	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0	na	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	na	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	There was no evidence to show that the micro scale irrigation equipment installed was in line with the design output sheet since no installations had been done	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0	There was no evidence that LG conducted regular technical supervision of micro scale irrigation projects	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	There was no evidence to show that the LG oversaw the equipment supplier during the testing of the functionality of the installed equipment	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	There was no evidence to show that the LG oversaw the handover of equipment to the approved farmer since no equipment had been delivered	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	na	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	na	0

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There was no evidence of nature and avenues to address grievance displayed on the production department notice boards	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	N/A	0
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	N/A	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	N/A	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	N/A	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	N/A	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	N/A	0
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation Maximum score is 70	If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.	Mr. Isabirye Ali was appointed Senior Agriculture Engineering Officer on probation on 17/10/2017 under Min. 497/KDSC/2017 and confirmed on 14/12/2018 under Min. 60/KDSC/2018 (B) (39)	70
Environment and Social Requirements				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. Maximum score is 30	If the LG: a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.	N/A	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. Maximum score is 30	b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.	N/A	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	Eng. Mufumba Daniel was appointed Senior Civil Engineer (Water) on accelerated promotion from Asst. Engineering Officer (Civil) on 11/4/2019 under Min. 243/KDSC/2019	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Kabbale Simon Humphrey an Asst. CDO was assigned the duties of Water Officer Mobilization by letter of dated 1/9/2018 and written by Mr. Auma Geoffrey Will for CAO.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Waiswa Tom was appointed Asst. Engineering Officer (Water) on 16/1/2001 under Min. 4/2001 (iv)	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	Mr. Isabirye Robert was appointed the DNRO on accelerated promotion from Senior EnviromentEnvironment Officer on 4/10/2013 under Min. 85/KDSC/2013 (1)	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	Mr. Mutyabule Charles Naluswa was appointed on transfer of services as Enviroment Officer from Forest Ranger on 10/10/2013 under Min. 93/KDSC/2013 (1)	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	Mr. Isanga Joseph was appointed Senior Forest Officer on probation on 15/11/2006 under Min. 145/2006 (iv) and confirmed on 12/7/2911 under Min. 125/2011 (a) (2)	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:
a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence of Environmental, Social and Climate Change screening/Environment forms for nineteen (14) boreholes and construction of a five (5) stance v.i.p latrine. Forms were filled and endorsed by the Environment Officer Bakali Samuel, some of the sampled screening forms were for;

i. Borehole drilling at Kayaga Trading Centre in Namwendwa sub-county dated 3rd February 2020.

ii. Borehole drilling at Nagamuli in Bulope sub-county dated 3rd February 2020.

iii. Borehole drilling at Luzinga-Buyima zone in Wankole sub-county dated 5th February 2020.

iv. Borehole drilling at Kavule C in Namasagali sub-county dated 6th February 2020.

v. Construction of a five (5) stance lined V.I.P pit latrine at at Ndalike Trading Center under the Health department.

However the CDO had not endorsed on the screening forms.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

There was evidence that the LG carried out Environmental social management plans for;

i. ESMP for drilling, construction and operation of Boreholes in the District in 19 villages, 11 sub counties of Kagumba, Nabwigulu, Kifayunjwa, Bulopa, Namwendwa, Bugulumbya, Magogo, Wankole, Butensi, Namasagali, Balawoli. Planning phase: Land acquisition. Negative Environment and Social impacts: Land use conflicts, displacement of people and their businesses. Mitigation measures: Community involvement and sensitization on land laws, create awareness on the planned construction activities, seek consent from the community for the appropriate sites. Indicator: Consent agreements from the community/owners of the land, quarterly reports. Implementing Agency: Kamuli Sub county authority. Monitoring persons CDO, DWO, District Senior Environment Officer. ESMP costed at UGX 2,000,000, complied with.

ii. ESMP for construction of a five (5) stance V.I.P latrine. Project activity: Site clearance. Negative Environment and Social Impacts: loss of indigenous vegetation. Mitigation measures: Replant after construction. Monitoring persons: Contractor, costed at UGX 80,000. Complied. ESMP prepared and endorsed by the Senior Environment Officer and Senior CDO

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.

At the time of assessment, there was no evidence that the contractors got abstraction permits issued by DWRM. Therefore, the LG scored zero.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	If the LG has substantively recruited or formally requested for secondment of: a. District Health Officer, score 10 or else 0.	Dr. Duku Fred a Senior Medical Officer was assigned the duties of DHO on 5/6/2020 by the CAO – Ms. Elizabeth Namanda in a letter Ref: CR/156/1 .	0
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Mr. Lyagoba Moses was appointed ADHO – MCH on promotion from Senior Nursing Officer on 3/1/2019 under Min. 89/KDSC/2017	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Mr. Mulindwa Alex was appointed ADHO – Enviromental Health on promotion from Senior Enviromental Health Officer on 29/4/2015 under Min. 119/KDSC/2015 (c)	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	d. Principal Health Inspector (Senior Environment Officer) , score 10 or else 0.	The LG appointed Ms. Kaiza Winfred was appointed SEHO on promotion from Health Inspector on 26/5/2017 under Min. 390/KDSC/2017	10

1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	e. Senior Health Educator, score 10 or else 0.	The LG had not recruited a Senior Health Educator	0
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	f. Biostatistician, score 10 or 0.	Mr. Mwesigwa Geoffrey Frenhait was appointed Biostatistician on 27/3/2012 under Min. 203/2010 (4). He died in November 2020	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions. Applicable to Districts only. Maximum score is 70	g. District Cold Chain Technician, score 10 or else 0.	No evidence of appointment letter of DCCT was availed to the assessor	0
1	Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions. <i>Applicable to MCs only.</i> <i>Maximum score is 70</i>	i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.		

1

Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.

j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The LG had Environmental, Social and Climate Change screenings done for;

i. Completion of maternity ward at Kasambira Health Center II in Bugulumbya sub county. Screening forms filled and endorsed by the Senior Environment Officer on 10th January 2020.

ii. Construction of five (5) stance lined pit latrines at Luzinga Health Center II. Screening forms filled and endorsed by the Senior Environment Officer on 13th January 2020.

iii. Construction of a five (5) lined pit latrine at Namwendwa Health Center IV in Namwendwa sub county. Screening forms filled and endorsed by the Senior Environment Officer on 7th January 2020.

However, the CDO had not endorsed on the screening forms yet both the CDO and Environment officer are required to do so. There the LG scored zero.

0

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was evidence of ESIA forms and ESMPs prepared and endorsed by the CDO and Environment Officers for example;

i. ESMP for construction of a 5 stance lined pit latrine at Namwendwa Health Center IV. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Costed at UGX 200,000.

ii. ESMP for construction of a 5 stance lined pit latrine at Luzinga Health Center II. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Costed at UGX 500,000.

iii. ESMP for completion of maternity ward at Kasambira Health Center II. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer. Not costed.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: a) District Education Officer/ Principal Education Officer, score 30 or else 0.	Mr. Akoyo Charles was appointed the DEO on Retention in Service on 29/9/2005 under Min. 1 12/2005 by the Kamuli DSC	30
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: b) All District/Municipal Inspector of Schools, score 40 or else 0.	The LG appointed Mr. Kanakulya Ibrahim as Senior Inspector of Schools on promotion on 15/11/2006 under Min. 145/2006 (iii) (DIS) The LG appointed two inspectors of school. 1. Ms. Kisa Alitwala Annet was appointed Inspector of Schools on transfer of service within service from Head teacher on 13/5/2019 under Min. 463/KDSC/2019 Second Inspector's file not availed for assessment	0

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence of report on ESMPs for Education projects 2019-2020 dated 29th November 2020 Ref: CR/550/1. The report described the ESMPs as follows;

i. ESMP for Construction of a three (3) Classroom block at Galinanda mixed primary school in Namwendwa sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, DEO . ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019.

ii. ESMP for Construction of a two (2) Classroom block at Nile primary school in Kisozi sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, District Environment Officer. ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019. iii. ESMP for construction of an ESMP for Construction of a one (1) Classroom block at Galinanda mixed primary Nakulabye primary school in Wankole sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, District Environment Officer. ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019.

The Maximum score is 30

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was evidence of report on ESMPs for Education projects 2019-2020 dated 29th November 2020 Ref: CR/550/1. The report described the ESMPs as follows;

i. ESMP for Construction of a three (3) Classroom block at Galinanda mixed primary school in Namwendwa sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, DEO . ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019.

ii. ESMP for Construction of a two (2) Classroom block at Nile primary school in Kisozi sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, District Environment Officer. ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019. iii. ESMP for construction of an ESMP for Construction of a one (1) Classroom block at Galinanda mixed primary Nakulabye primary school in Wankole sub county. Project activities: Pre-Construction phase. Negative Environment and social impacts: Loss of native vegetation, loss of productive soils. Mitigation measures: Seek Minimize vegetation loss, native grass replanted. Implementing agency: Contractor Monitoring agency: Environment Officer, District Environment Officer. ESMP costed at UGX 500,000 prepared and endorsed by the Senior Environment Officer and senior CDO on 18th October 2019.

The Maximum score is 30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The appointment letter of the CFO was not availed for assessment	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Bunafamu Robert was appointed District Planner on 3/5/2005 under Min. 29/2005	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	Mr. Mulondo Grace was appointed the Ag. District Engineer on 18/10/2012 under Min. 121/JDSC/2012/KML	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Mr. Isabirye Robert was appointed the DNRO on accelerated promotion from Senior Environment Officer on 4/10/2013 under Min. 85/KDSC/2013 (1)	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mr. Musenero Richard was appointed on transfer of service from DNRO to DPO on 31/5/2012 under Min. 81/JDSC/2012/KML	3

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/ Principal CDO, score 3 or else 0	Mr. Mmerewoma Leo was appointed on promotion from SCDO to DCDO on 2/5/2013 under Min. 206/KDSC/2013 (1)	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	There was no letter appointing a staff to this office but verbally the assessor was informed that Ms. Inhensiko Monic the Senior Commercial Officer appointed on 13/5/2019 under Min. 461/KDSC/2019 was serving as the DCO	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	other critical staff h (i). A Senior Procurement Officer (Municipal: Procurement Officer) score 2 or else 0.	Mr. Mwiru Emmanuel was appointed Senior Procurement Officer on promotion from Procurement Officer on 31/5/2012 under Min. 113/JDSC/2012/KML	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer), score 2 or else 0	The LG appointed Mr. Mugumba Samuel on probation as the Procurement Officer on 15/3/2018 under Min. 154/KDSC/2018 (B) and confirmed on 11/4/2019 under Min. 160/KDSC/2018 (A) (2)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms. Nalimansi Agnes was appointed on transfer of service and promotion as PHRO from SHRO on 9/7/2020 under Min. 17/KDSC/2020-2021	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Bakaki Joshua was appointed on accelerated promotion from Wetlands Officer to Senior ENVIRONMENT Officer on 4/10/2013 under Min. 85/KDSC/2013 (2)	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer, score 2 or else 0	The LG had not recruited a SLO at the time of assessment according to the SHRO Mr. Mbode Gaster	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	Mr. Mulangira Pius was appointed on promotion as Senior Accountant on 9/7/2020 under Min. 19/KDSC/2020-2021	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs, score 2 or else 0	Mr. Kifuse Alex was appointed on promotion as Principal Internal Auditor on 31/5/2012 under Min. 110/JDSC/2012/KML (II)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	Mr. Makoosi Baker was appointed PHRO (Sec DSC) from SHRO on 19/6/2019 under Min. 571/KDSC/2019	2

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

a. Senior Assistant Secretaries in all LLGS,

score 5 or else 0

The LG had 14 LLGs and appointed 13 SAS as follows.

1. Mr. Tumwebaze Dennis was appointed SAS on 14/8/2008 under Min. 133/2008 (1) and currently deployed at Namwendwa S/C
2. Mr. Wabusigo Benard was appointed SAS on 14/8/2008 under Min. 133/2008 (3) and deployed at Nawanyago S/C
3. Ms. Namwase Juliet was appointed SAS on 14/12/2009 under Min. 160/2009 (1) and deployed at Nabwigulu S/C
4. Ms. Mirembe Dinah was appointed SAS on 10/7/2003 under Min. 160/2003 (v) and deployed at Bugulumbya S/C
5. Mr. Sentongo Simon was appointed SAS on probation on 17/10/2017 under Min. 490/KDSC/2017 and confirmed on 2/10/2020 under Min. 106/KDSC/2020-2021 (a) (5) and deployed at Balawoli S/C
6. Mr. Weyale Andrew was appointed SAS on promotion from Hospital Administrator on 24/4/2017 under Min. 311/KDSC/2017 (E) and deployed at Namasagali S/C
7. Mr. Tidhomu Moses B.W was appointed SAS on transfer of service on 15/11/2006 under Min. 145/2006 (iii) and deployed at Butansi S/C
8. Mr. Kiyuba Simon was appointed SAS on 10/7/2003 under Min. 160/2003 (v) and deployed at Bulopa S/C
9. Mr. Mutyaba Irene Gracious was retained in Service as SAS on 29/9/2005 under Min. 112/2005 and deployed at Kitayunja S/C
10. Mr. Kiyimba Paul was appointed SAS on 10/7/2003 under Min. 160/2003 (v) and deployed at Magogo S/C
11. Mr. Mawerere Grace was appointed SAS on transfer of service from CDO on 4/7/2013 under Min. 241/KDSC/2013 (a) and deployed at Mbulamuti S/C
12. Mr. Musulo Fred was appointed on retention and deployment in service under Min. 112/2005 and deployed at Kisozi S/C
13. Mr. Kirya George was appointed SAS on probation on 15/11/2006 under Min. 145/2006 and no confirmation

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS

score 5 or else 0.

The LG had 14 LLGs and appointed 11 CDOs as follows.

1. Ms. Kasiri Mwajuma was appointed CDO on 17/10/2017 on probation under Min. 488/KDCS/2017 (iii) and deployed at Mbulamiti S/C but no confirmation letter was seen.

2. Ms. Babirye Barbra was appointed CDO on 11/4/2019 under Min. 148/KDSC/2018 (B) (ii) and deployed at Butansi S/C

3. Ms. Nambi Irene was appointed CDO on probation on 17/12/2017 under Min. 488/KDSC/2017 (v) and not yet confirmed. She was deployed at Kitayunja S/C

4. Ms. Nsooli Justine was appointed CDO on probation on 24/5/2011 under Min. 98/2011 (3) and confirmed on 31/5/2012 under Min. 90/JDSC/2012/KML and deployed at Namasagali S/C

5. Ms. Nabunyo Hellen Esther was appointed CDO on probation on 17/10/2017 under Min. 488/KDSC/2017 (iv), not yet confirmed and deployed at Nawanyago S/C

6. Ms. Namasoga Lydia was appointed CDO on probation on 24/5/2011 under Min. 98/2011 (2) and confirmed on 31/May/2012 under Min. 124/JDSC/2012/KML and deployed at Bugulumbya S/C.

7. Mr. Olwenyi Aloysius Peter was appointed on promotion as CDO on 3/2/2016 under Min. 76/KDSC/2016 and deployed at Kagumba S/C

8. Ms. Nabirye Zowena was appointed CDO on promotion from ACDO on 3/3/2009 under Min. 12/2009 (C)(2) (iii) and deployed at Nawayigulu S/C

9. Ms. Anyango Dona was appointed CDO on probation on 9/7/2020 under Min. 24/KDSC/2020-2021 (i) and deployed at Balawoli S/C

10. Ms. Mudondo Winnie was appointed CDO on probation on 9/7/2020 under Min. 24/KDSC/2020-2021 (iv) and deployed at Namwendwa S/C .

11. Mr. Kagodo Samuel was appointed CDO on probation on 9/7/2020 under Min. 24/KDSC/2020-2021 (iii) and deployed to Bulopa S/C.

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,

score 5 or else 0.

The LG had 14 LLGs and appointed 13 Senior Accounts Assistants (SAA) as follows.

1. Mr. H'Njaye Jesse was appointed SAS on retention in service on 29/9/2005 under Min. 112/2005 and deployed at Namwendwa S/C

2. Mr. Binza Robert Lutaama was appointed SAA on retention in service on 29/9/2005 under Min. 112/2005 and deployed at Namasagali S/C

3. Ms. Nakiseka Lydia was appointed SAA on probation on 25/7/2017 under Min. 491/KDSC/2017 and confirmed on 11/4/2019 under Min. 148/KDSC/2018 (B) (i) and deployed at Nawanyago S/C

4. Mr. Waziko Ronald was appointed SAA on probation on 25/7/2017 under Min. 491/KDSC/2017 (iv) and confirmed on 14/12/2018 under Min. 60/KDSC/2018 (B) (2) and deployed at Bugulumba S/C

5. Mr. Wakabi Michael was appointed SAA on retention in Service on 29/9/2005 under Min. 112/2005 and deployed at Bulopa S/C

6. Mr. Tabingwa John was appointed SAA on retention in service on 29/9/2005 under Min. 112/2005 and deployed at Kagumba S/C

7. Mr. Lulaba Moses was appointed SAA on retention in service on 29/9/2005 and deployed at Kisozi S/C

8. Ms. Mugimba Phoebe was appointed SAA on probation on 25/7/2017 under Min. 491/KDSC/2017 (i) and confirmed on 14/12/2018 under Min. 60/KDSC/2018 (B) (1) and deployed at Magogo S/C

9. Ms. Mbiira Moses was appointed on retention in service on 29/9/2005 under Min. 112/2005 and deployed at Butansi S/C

10. Mr. Akoyo Paul was appointed SAA on retention in service on 29/9/2005 under Min. 112/2005 and deployed at Kitayunja S/C

11. Mr. Kyebakola Paul was appointed on probation as SAA on 30/6/2006 under Min. 47/2006 and confirmed on 4/9/2008 under Min. 153/2008 (3) and posted to Balawoli S/C

12. Mr. Musenze Felix was appointed SAA on probation on 25/7/2017 under Min. 491/KDSC/2017 (ii) and confirmed on 24/6/2019 under Min. 536/KDSC/2019 (g) and deployed at Wankole S/C

13. Ms. Apiiiti Doreen was appointed SAA

on probation on 9/7/2020 under Min. 27/KDSC/2020-2021 and yet to be confirmed and deployed at Nabwigulu S/C

Environment and Social Requirements

3 Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.
Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:
a. Natural Resources department,
score 2 or else 0

From the Final Accounts for FY 2019/20, ref. page 10, the budgeted funds for Natural Resources were Ugx. 208,393,340.
The funds allocated to Natural Resources from page 10 of the Final Accounts for FY 2019/20 were Ugx. 203,763,869
The percentage allocated to Natural Resources was $(203,763,869 / 208,393,340) \times 100 = 97.7\%$
The 97.7% allocated to Natural Resources was below the budgeted funds, hence the LG was non-compliant

0

3 Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.
Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:
b. Community Based Services department.
score 2 or else 0.

From the Final Account FY 2019/20, ref. page 10 the budgeted funds for Community Services were Ugx. 1,350, 682,472
The funds allocated to Community Services, ref page 16, of Final Accounts for FY 2019/20 were Ugx. 1, 344, 206, 796
The percentage allocated to community services was $(1,344,206,796 / 1,350,682,472) \times 100 = 99.5\%$
Thus, the LG was non-compliant to the minimum condition.

0

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening,

score 4 or else 0

There was evidence that the LG had carried out Environmental, Social and Climate Change screening for;

i. Completion of maternity ward at Kasambira Health Center II in Bugulumbya sub county. Screening forms filled and endorsed by the Senior Environment Officer Bakali Samuel on 10th January 2020.

ii. Construction of five (5) stance lined pit latrines at Luzinga Health Center II. Screening forms filled and endorsed by the Senior Environment Officer Bakali Samuel on 13th January 2020.

iii. Construction of a five (5) lined pit latrine at Namwendwa Health Center IV in Namwendwa sub county. Screening forms filled and endorsed by the Senior Environment Officer Bakali Samuel on 7th January 2020.

However, the CDO had noted endorsed on the screening yet the indicator required both CDO and Environment officer to endorse on the forms. Therefore, the LG scored zero.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

There was evidence that the LG had carried out Environment and Social Impact Assessments and came up with social management plans prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) through a

Report on Environment and social management plans for Health projects for 2010—2020 dated 12th February 2020, Ref: CR/550/1 prepared and endorsed by the Senior Environment Officer Bakali Samuel and Senior CDO Namusoke Susan for example;

i. ESMP for construction of a 5-stance lined pit latrine at Namwendwa Health Center IV. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Dumped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer Bakali Samuel. Costed at UGX 200,000.

ii. ESMP for construction of a 5-stance lined pit latrine at Luzinga Health Center II. Project phase: construction. Negative environment and social impacts: accumulation of soil in the compound. Mitigation measures: Remove the excavated soil and dump in recommended sites. Indicators: Damped soil at site. Implementing agency: Contractor. Monitoring agency: DHO, District Environment Officer Bakali Samuel. Costed at UGX 500,000.

iii. ESMP for completion of maternity ward at Kasambira Health Center II. No significant impacts were identified according to the Senior Environment Officer Bakali Samuel and Senior CDO Namusoke Susan.

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>There was evidence that the LG had costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for example;</p> <p>ESMP for construction of a 5-stance lined pit latrine at Namwendwa Health Center IV. Costed at UGX 200,000.</p> <p>ii. ESMP for construction of a 5 stance lined pit latrine at Luzinga Health Center II. Costed at UGX 500,000.</p> <p>iii. ESMP for completion of maternity ward at Kasambira Health Center II. Not costed, there were no significant impacts identified.</p>	4
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Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Will be reviewed in January 2021.</p>	0
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>• Kamuli District submitted the responses on the Internal Auditor General's report for FY 2018/19 on 17th March 2020, Ref: CR/250/2. The responses were received by MOFPED, Office of Internal Auditor General, Ministry of Local Government on 18th March 2020.</p> <p>• Fourteen queries were raised, and all were responded to and their status clarified as detailed below:</p> <ol style="list-style-type: none"> 1. Shortfall in releases. 2. Unimplemented outputs. 3. Accumulated verified payables. 4. Vacant positions 5. Non-replacement of LPAC members. 6. Shortage of District Roads in kms. 7. Anomalies in the payroll 8. Under utilisation of UgIFT funds 	0

9. Few sittings of URF committee

10. Non recovery of YLP funds

11. Non recovery of UWEP funds

12. Non-functional valley dams

13. Variance in UPE budgets

14. Shortage of medical equipment

• The LG submitted responses on the Auditor General report for 2018/19 on 19th December 2019 which was received by MOFPED, Internal Auditor General and Accountant General. The number of queries raised were six and they were cleared, as detailed below:

1. Unrecovered YLP funds.

2. Unauthorized payments

3. Unrecovered UWEP funds

4. Poor recovery of UWEP funds

5. Abandoned construction of administration block

6. Procurement anomalies

7. Absence of contract managers and project implementation schemes

8. Failure to hold pre-bid meetings with contractors

9. Lack of registration numbers of some teachers

10. Anomalies in issuance of employee identity cards

11. Lack of activity reports

12. Lack of records under registry

13. Unvouched expenditure

14. Un accounted for funds

From the above, the status of implementation of Internal Auditor General's report was outside the submission deadline of February hence the LG was compliant.

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG had submitted the Annual performance contract for the FY 2020/2021 on 12th June 2020. The report was acknowledged by the Permanent Secretary/Secretary to the Treasury, Permanent Secretary, Office of the Prime Minister, LG Finance Commission, Municipal Mayor. Ref: PBS submission form dated 12/06/2020 signed by the Chief Administrative Officer, Kamuli District.</p> <p>This was within the eligible time frame of 31st August 2020 hence the LG was compliant.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The annual performance report for FY 2019/20 was submitted on 22nd August 2020 and received on 22nd August 2020. Ref: PBS submission form dated 22/8/2020 signed by the Chief Administrative Office, Kamuli District.</p> <p>This was within the submission deadline of 31st August 2020.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>There was evidence on submission of the four quarterly budget performance reports within the deadline of 31st August 2020 as per PFMA Act 2015 as indicated below;</p> <ul style="list-style-type: none"> • Quarter 1 was submitted on 10th December 2019 • Quarter 2 was submitted on 12th February 2020 • Quarter 3 was submitted on 14th May 2020 • Quarter 4 was submitted on 22nd August 2020. <p>Ref: PBS Kamuli District quarterly performance report FY 2019/2020 dated 22/08/2020</p> <p>All reports were submitted via PBS.</p>	4